



Nationwide Mortgage Licensing System & Registry

MU4R Filing and Processes

MU4R Filing and processes

The screenshot shows the NMLS Federal Registry website. The header includes the NMLS logo and 'FEDERAL REGISTRY'. A navigation menu at the top right contains tabs for 'FILING', 'TASKS', 'COMPOSITE VIEW', 'ADMIN', 'REPORTS', and 'HOME'. The 'FILING' tab is circled in red. Below the navigation, there are links for 'Home | My Account | Invoice' and a user login status 'Logged in as BinghamR | Logout'. A left sidebar contains a 'Portal' link. The main content area features a welcome message and a list of actions available by tab: FILING, TASKS, COMPOSITE VIEW, ADMIN, and REPORTS. Each tab has a brief description of its function. A 'NOTE' at the bottom states that NMLS is the system of record for all information contained within it. The footer contains copyright information for SRR, LLC and contact details for the NMLS Call Center.

FILING
Choose this tab to *complete, amend and/or submit* MU1R filings for your Institution or any Federal MLO Registration filings (Form MU4R) for MLOs associated to your Institution. You also can view a list of MLO records your Institution has access to.

TASKS
Choose this tab to *perform* an MLO Batch Upload, *view* previous MLO Batch Upload files, including information regarding their disposition, or *manage* Employment Records. Users with the Financial Admin role should choose this tab to *access and pay* outstanding Invoices for MU4R fees.

COMPOSITE VIEW
Choose this tab to *view* your Institution record or the record of any MLO associated with your Institution.

ADMIN
Choose this tab to *perform administrative functions* for your Institution's system users (e.g. resetting passwords, managing user roles and assigning system notifications).

REPORTS
Choose this tab to *request* available reports.

On this page...

- Select **My Account** above to *change* your NMLS user settings.
- Select **Invoice** above to *repay* failed invoices or *view* payment history.

Access the [Federal Registration page](#) of the NMLS Resource Center for information regarding the registration process that can assist you in using NMLS to create and maintain Institution and MLO records to meet federal registration requirements.

NOTE: NMLS is the system of record for all information contained within it. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

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MU4R Filing and processes

NMLS FEDERAL REGISTRY

MU1R | **MU4R** | Institution Access

Logged in as BinghamR | Logout

Form Filing Home

This section of NMLS allows you to complete, amend and/or submit your Institution's record (Form MU1R) and mortgage loan originator (MLO) records (Form MU4R). Below is a list of actions available by sub-menu. Available actions/sub-menu items vary based on user roles assigned.

MU1R
Select this link above to *complete, amend and/or submit a filing* of your Institution's record.

MU4R
Select this link above to *complete, amend and/or submit a filing* of an MLO record.


Institution Access
Select this link above to *view a list of MLO records your Institution has access to*.

PRIVACY ACT STATEMENT: The information you provide will be used to register you as a residential mortgage loan originator employed by an institution regulated by the Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, the Office of Thrift Supervision, the National Credit Union Administration or the Farm Credit Administration (the "Agencies"). In order to do this, we may disclose your information consistent with the routine uses listed in the respective Privacy Act Notices for the BGFRRS, FDIC, OTS, NCUA, and FCA, including for enforcement, statutory and regulatory purposes; to another federal agency or Reserve Bank; to a Member of Congress; to the Department of Justice, a court, an adjudicative body or administrative tribunal, or a party in litigation; to Federal, state and local and professional licensing boards, to contractors, agents, and others; and where security or confidentiality has been compromised. Specified information may also be shared with members of the public, other banking regulatory agencies, the National Mortgage Licensing System and Registry call center, the Financial Institution Regulatory Authority, state credit union regulators and state mortgage regulators. We are authorized to collect your information by the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. In accordance with Executive Order 9397, the Agencies collect your Social Security Number to ensure that their records are accurate because other people may have the same name and birth date. In addition, the Agencies use your Social Security Number when we make requests for information about you from law enforcement. Furnishing the information requested is voluntary; however, your failure to provide any of the information requested may result in the Agencies' inability to register you as a residential mortgage loan originator. You may be subject to a fine or imprisonment under 18 U.S.C. § 1001 for any false statements you make in connection with any information you provide concerning a registration.

OFFICE OF THE COMPTROLLER OF THE CURRENCY: STATEMENT REGARDING SOLICITATION OF SOCIAL SECURITY ACCOUNT NUMBERS

As authorized by Executive Order 9397, the Office of the Comptroller of the Currency ("OCC") requests your Social Security Number to assist in the maintenance of accurate records in circumstances where other people may have the same names and birth dates. Your social security number may also be used by the OCC when it seeks information about you from other agencies or persons. Furnishing your social security number is voluntary. However, your failure to provide this information may result in the inability of the OCC to register you as a residential mortgage loan originator, a registration that is required under regulations implementing the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. Additionally, if the social security number or other information you provide concerning your registration is false, you may be subject to a fine or imprisonment under 18 U.S.C. § 1001.

MU4R Filing and processes



FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

MU1R | MU4R | Institution Access Logged in as BinghamR | Logout

Federal

- Pending Filings
- Historical Filings
- Pay MU4R Fees

Pending Filings - MU4R

Total Fees: 0.00

























Below is a list of unsubmitted MU4R filings your Institution has created. Click the applicable icon under Available Actions to take action on each MLO filing. Payment is not submitted with each filing. A user with the appropriate role must submit payment.

Filtering Options

Filing ID: Applicant Last Name:

Individual Id: Filing Status:

Created By: Group Name:

Available Actions	Filing ID	Creation Date	Created By	Applicant Name	Status	Group Name
  	74493	1/27/2011 10:53:27 PM	BinghamR	Terminate, One Rapp (30127)	Unsubmitted	Term
  	74492	1/27/2011 10:53:27 PM	BinghamR	Reject, Two (30126)	Unsubmitted	Reject
  	74494	1/27/2011 10:53:27 PM	BinghamR	Terminate, Two (30128)	Unsubmitted	Tem
  	74490	1/27/2011 10:53:26 PM	BinghamR	Confirm, Five Manual (30124)	Attestation Requested	Confirm
  	74491	1/27/2011 10:53:26 PM	BinghamR	Reject, One Rapp (30125)	Unsubmitted	Reject
  	74486	1/27/2011 10:53:25 PM	BinghamR	Confirm, One Auto (30120)	Unsubmitted	Confirm
  	74487	1/27/2011 10:53:25 PM	BinghamR	Confirm, Two Rapp (30121)	Unsubmitted	Confirm
  	74298	1/26/2011 2:04:37 PM	BinghamR	Grates, Howard Smith (30046)	Unsubmitted	

MU4R Filing and processes

The screenshot shows the NMLS Federal Registry interface for filing a MU4R. The header includes the NMLS logo and 'FEDERAL REGISTRY'. Navigation tabs include FILING, TASKS, COMPOSITE VIEW, ADMIN, REPORTS, and HOME. The user is logged in as BinghamR. The main content area is titled 'Identifying Information' and shows details for Howard Smith Grates (30046), with a filing created on 1/26/2011 by BinghamR. A 'Total Charges: \$3.00' box is visible. The form is divided into sections: 'Individual's identifying information' (Full Name: Howard Smith Grates, Gender: Male, State of Birth: Texas, Country/Province of Birth: United States), 'Business phone, home phone, cell phone, fax and email' (with input fields for Business Phone, Home Phone, Cell Phone, Fax Line, and Email Address), and 'Do you want to amend your legal name?' (with a checkbox and input fields for First Name, Full Middle Name, Last Name, and Suffix). A 'Save' button is located at the bottom of the form.

MU4R Filing and processes

NMLS FEDERAL REGISTRY

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

MU1R | **MU4R** | Institution Access

Logged in as BinghamR | Logout

Federal

- Identifying Information
- Other Names**
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Other Names

Howard Smith Grates (30046) MU4R filing created 1/26/2011 by BinghamR.

Total Charges: **\$3.00**

Other than your legal name, list all name(s) you are using or have used since the age of 18. Examples include nicknames, aliases, and names used before or after marriage.

Name
<input checked="" type="checkbox"/> Smith Grates

Add

Previous Next

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MU4R Filing and processes

NMLS FEDERAL REGISTRY

MU1R | **MU4R** | Institution Access

Logged in as BinghamR | Logout

Employment Records

Howard Smith Grates (30046) MU4R filing created 1/26/2011 by BinghamR.

Total Charges: \$3.00

You must provide information for any financial services-related employment you have held over the past ten years. To provide information regarding a current job, click **Add Current**. To provide information regarding a previous job, click **Add Prior**.

Pending Employment Actions

Current employment records associated with an Institution in NMLS with pending actions are listed below. Click to edit or delete the record or click to undo the pending action.

From	To	Employer Name	Employer NMLS ID	Status	Work Location	Pending Action
	01/2011	Present	Road Warrior Mortgage Company	29706	,	Add

Employment History

Employment records created previously or that are not associated with an Institution in NMLS are listed below. Click to edit, delete, withdraw or terminate the record, as applicable. Click to view a record not available for editing.

From	To	Employer Name	Employer NMLS ID	Status	Work Location
	02/2006	04/2010	Lexington Mortgage		Lexington, KY

Previous Next

MU4R Filing and processes

NMLS FEDERAL REGISTRY

MU1R | **MU4R** | Institution Access

Logged in as BinghamR | Logout

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence**
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Current Residence

Howard Smith Grates (30046) MU4R filing created 1/26/2011 by BinghamR.

Total Charges: \$3.00

Provide the street address for your current primary residence below.

Street Address: 215 Dalton Rd

City: Parkville

State: Maryland


Country / Province: United States

Postal Code: 21234

Save

Previous Next

MU4R Filing and processes



MU1R | MU4R | Institution Access

Logged in as BinghamR | Logout

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions**
- Criminal Background Check
- Completeness Check and Submit

Disclosure Questions

Howard Smith Grates (30046) MU4R filing created 1/26/2011 by BinghamR. Total Charges: \$3.00

Remember to file updates to these disclosures as required.

Criminal Disclosure

(A) Have you ever been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any criminal offense involving dishonesty, breach of trust, or money laundering or agreed to enter into a pretrial diversion or similar program in connection with the prosecution of such offense(s)? Yes No

(B) Based upon the activities that occurred while you exercised control over an organization, has any such organization ever been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any criminal offense involving dishonesty, breach of trust, or money laundering or agreed to enter into a pretrial diversion or similar program in connection with the prosecution of such offense(s)? Yes No

Civil Judicial Disclosure

(C) Has any domestic or foreign court ever:

(1) enjoined you, or taken other action against you, in connection with any financial services-related activity? Yes No

(2) found that you were involved in a violation of any financial services-related statute(s) or regulation(s)? Yes No

(3) dismissed, pursuant to a settlement agreement, a financial services-related civil action brought against you by a State, federal, or foreign financial regulatory authority? Yes No

Regulatory Action

(D) Has any State or federal regulatory agency or foreign financial regulatory authority ever:

(1) found you to have made a false statement or omission or been dishonest, unfair or unethical? Yes No

(2) found you to have been involved in a violation of a financial services-related business regulation(s) or statute(s)? Yes No

(3) found you to have been a cause of a financial services-related business having its authorization to do business denied, suspended, revoked or restricted? Yes No

(4) entered an order against you in connection with a financial services-related activity? Yes No

(5) denied, suspended or revoked your registration or license to engage in a financial services-related activity, disciplined you, or otherwise by order, prevented you from associating with a financial services-related business or restricted your activities? Yes No

(6) barred you from association with an entity or its officers regulated by such agency or foreign financial regulatory authority or from engaging in a financial services-related business? Yes No

(7) issued a final order against you based on violations of any law or regulations that prohibit fraudulent, manipulative, or deceptive conduct? Yes No

(E) Have you ever had an authorization to act as an attorney, accountant, or State or federal contractor that was revoked or suspended? Yes No


Customer Arbitration/Civil Litigation Disclosure

(F) Have you ever been named as a respondent/defendant in a financial services-related consumer-initiated arbitration or civil litigation which:

(1) resulted in an arbitration award or civil judgment against you, regardless of amount, or that required corrective action; or Yes No

(2) was settled for any amount? Yes No

MU4R Filing and processes

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME
MU1R | MU4R | Institution Access Logged in as BinghamR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check**
- Completeness Check and Submit

Criminal Background Check

Howard Smith Grates (30046) MU4R filing created 1/26/2011 by BinghamR. Total Charges: \$3.00

To request a federal criminal background check with this filing, you must mark the checkbox requesting a background check, confirm the method and provide demographic information below. If a previous request is still pending, a criminal background check cannot be requested. You can access [Composite View](#) to view the status of previous requests.

I am requesting a Federal Criminal Background Check.

Confirm background check method:

Submit New Prints

Demographics

The FBI requires the following information to be provided:

Eye color: Hair color:

Height: ft in Weight: lbs

Race:

Date of Birth is included with the demographic information provided to the FBI and can be verified against your record in [Composite View](#).

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Section 8-10

MU4R Filing and processes

The screenshot shows the NMLS Federal Registry interface. The header includes the NMLS logo and 'FEDERAL REGISTRY'. Navigation tabs include FILING, TASKS, COMPOSITE VIEW, ADMIN, REPORTS, and HOME. The user is logged in as 'BinghamR'. The main content area is titled 'Completeness Check' and displays a warning for a filing created on 1/26/2011 by BinghamR. The warning states that the filing cannot be submitted until certain actions are taken. A table lists the required actions and fees: Federal MLO Registration (\$3.00) and Criminal Background Check for Howard Smith Grates (\$3.90), totaling \$6.90. A 'Request Attestation' button is highlighted with a red circle. The footer contains copyright information for SRR, LLC.

Completeness Check

Howard Smith Grates (30046) MU4R filing created 1/26/2011 by BinghamR.

WARNING: You should not submit any information through NMLS until you receive authorization from your employer to make the submission. Any Institution identified as a new current employer in this filing will be granted access to your NMLS record, including criminal background check results, upon submission of this filing.

Your filing cannot be submitted until the actions detailed below have been taken. Click on the section name to access the section of the filing and complete the listed action. Certain action items may require you to access other functions under the Filing Tab by clicking a link provided in the Action Required section. After completing all required actions, a **Submit Filing** button will appear.


Section	Action Required
MU4R	This filing must be attested by individual.

Subject	Fee Type	Amount
Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$3.00
Criminal Background Check (Grates, Howard Smith)	CBC Processing Fee Federal Criminal Background Check	\$3.90
Total Charges		\$6.90

[Request Attestation](#)

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MU4R Filing and processes



FILING
TASKS
COMPOSITE VIEW
ADMIN
REPORTS
HOME

MU1R | **MU4R** | Institution Access
Logged in as BinghamR | [Logout](#)

Federal

▶ Pending Filings

Historical Filings

Pay MU4R Fees

Pending Filings - MU4R

Total Fees: 0.00

Below is a list of unsubmitted MU4R filings your Institution has created. Click the applicable icon under Available Actions to take action on each MLO filing. Payment is not submitted with each filing. A user with the appropriate role must submit payment.

Filtering Options

Filing Id:

Individual Id:

Created By:

Applicant Last Name:

Filing Status:

Group Name:

Available Actions	Filing ID	Creation Date	Created By	Applicant Name	Status	Group Name
✕	74493	1/27/2011 10:53:27 PM	BinghamR	Terminate, One Rapp (30127)	Unsubmitted	Term
✕	74492	1/27/2011 10:53:27 PM	BinghamR	Reject, Two (30126)	Unsubmitted	Reject
✕	74494	1/27/2011 10:53:27 PM	BinghamR	Terminate, Two (30128)	Unsubmitted	Tem
✕	74490	1/27/2011 10:53:26 PM	BinghamR	Confirm, Five Manual (30124)	Attestation Requested	Confirm
✕	74491	1/27/2011 10:53:26 PM	BinghamR	Reject, One Rapp (30125)	Unsubmitted	Reject
✕	74486	1/27/2011 10:53:25 PM	BinghamR	Confirm, One Auto (30120)	Unsubmitted	Confirm
✕	74487	1/27/2011 10:53:25 PM	BinghamR	Confirm, Two Rapp (30121)	Unsubmitted	Confirm
✕	74298	1/26/2011 2:04:37 PM	BinghamR	Grates, Howard Smith (30046)	Attestation Requested	

Switching From Institution View to MLO View

The screenshot shows the NMLS Federal Registry interface. The header includes the NMLS logo and 'FEDERAL REGISTRY'. The main navigation bar has 'FILING', 'COMPOSITE VIEW', and 'HOME' buttons. The user is logged in as 'gratesHS4'. The page title is 'MU4R | Institution Access'. The left sidebar shows 'Pending Filings' and 'Historical Filings'. The main content area is titled 'Pending Filings' and contains two sections: 'Attestation Requested Filings' and 'My MU4R Filings'. The 'Attestation Requested Filings' section includes a table with one row of data. The 'My MU4R Filings' section includes a note and a message stating 'There is no pending filing.' at the bottom of the content area.

Attestation Requested Filings
All unsubmitted MU4R filings initiated by an Institution with access to your record are listed below. Before the Institution can submit your MU4R filing, you must review it for accuracy and attest to the information contained within. Select to view, edit, and attest to a filing for which the status is "Attestation Requested". Filings displaying an "Attested" or "Unsubmitted" status require no action by you.

Available Actions	Filing ID	Form Type	Applicant Name	Created By	Creation Date	Status
	74298	MU4R	Road Warrior Mortgage Company (29706)	BinghamR	1/26/2011 2:04:37 PM	Attestation Requested

My MU4R Filings
If you have an MU4R filing created by you or your employer that has not been submitted, it is listed below. You may have only one pending filing at a time. Click to complete and submit the filing. Select to delete a pending filing without submitting the information to NMLS. Click **Create New Filing** to create your first MU4R filing or make amendments to a previously submitted MU4R if no pending filings are listed below.


NOTE: Each time you submit a filing it is stored as your current record and will be the source of information for the next filing. *Please consult your employer before completing and submitting any information to NMLS.*

There is no pending filing.

[Create New Filing](#)

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MU4R Filing and processes



FILING COMPOSITE VIEW HOME

MU4R | Institution Access

Logged in as gratesh4 | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit**

Completeness Check

Howard Smith Grates (30046) MU4R filing created 1/26/2011 by BinghamR - Road Warrior Mortgage Company.

WARNING: You should not submit any information through NMLS until you receive authorization from your employer to make the submission. Any Institution identified as a new current employer in this filing will be granted access to your NMLS record, including criminal background check results, upon submission of this filing.

All completeness checks pass for this filing.

I, Howard Smith Grates (Registrant), on this date Friday, January 28, 2011, certify that:

- (1) The information and statements contained herein, whether submitted by me or by a Federal agency-regulated institution on my behalf, are current, true, accurate and complete as of Friday, January 28, 2011;
- (2) To the extent any information previously submitted is not amended, such information and statements remain current, true, accurate and complete as of Friday, January 28, 2011;
- (3) I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal Agency-regulated institution;
- (4) The Registry and my employing Federal agency-regulated financial institution(s) are authorized to obtain information related to sanctions or findings in any administrative, civil or criminal action, to which I am a party, made by any governmental jurisdiction;
- (5) I will keep the information contained in this form current and will file accurate supplementary information on a timely basis, pursuant to applicable law; and
- (6) The Registry may make available to the public [information submitted on this filing](#) pursuant to Federal regulations implementing the S.A.F.E. Act.

I certify that I am the named person above and that I agree to the above.

Attest

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Switching From MLO View to Institution View


The screenshot displays the NMLS Federal Registry interface for MU4R Institution Access. The top navigation bar includes links for FILING, TASKS, COMPOSITE VIEW, ADMIN, REPORTS, and HOME. The user is logged in as BinghamR. The main content area is titled "Pending Filings - MU4R" and shows a list of 8 unsubmitted filings. A "Total Fees: 0.00" badge is visible in the top right of the content area. Below the list is a "Create New Filing" button.

Filtering Options

Filing ID: Applicant Last Name:
Individual Id: Filing Status:
Created By: Group Name:

Available Actions	Filing ID	Creation Date	Created By	Applicant Name	Status	Group Name
	74493	1/27/2011 10:53:27 PM	BinghamR	Terminate, One Rapp (30127)	Unsubmitted	Term
	74492	1/27/2011 10:53:27 PM	BinghamR	Reject, Two (30126)	Unsubmitted	Reject
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	74298	1/26/2011 2:04:37 PM	BinghamR	Grates, Howard Smith (30046)	Attested	

MU4R Filing and processes



FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

MU1R | MU4R | Institution Access Logged in as BinghamR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit**

Completeness Check

Howard Smith Grates (30046) MU4R filing created 1/26/2011 by BinghamR.

WARNING: You should not submit any information through NMLS until you receive authorization from your employer to make the submission. Any Institution identified as a new current employer in this filing will be granted access to your NMLS record, including criminal background check results, upon submission of this filing.

All completeness checks pass for this filing.

Subject	Fee Type	Amount
Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$3.00
Criminal Background Check (Grates, Howard Smith)	CBC Processing Fee Federal Criminal Background Check	\$3.90
Total Charges		\$6.90

I, Ryan Bingham, of Road Warrior Mortgage Company (Federal agency-regulated institution), on this date Friday, January 28, 2011, certify that I executed this form on behalf, and with the authority, of said Federal agency-regulated institution and that:

(1) To the best of my knowledge and belief, information on whether Howard Smith Grates is/is no longer employed by said Federal agency-regulated institution listed above is current, true, accurate and complete as of Friday, January 28, 2011;

(2) I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal agency-regulated institution; and

(3) Said Federal agency-regulated institution will notify the Registry of the date the Registrant ceases employment with the said Federal agency-regulated institution listed above, pursuant to applicable law.

I certify that I am the named person above and that I agree to the above.

Submit Filing

MU4R Filing and processes

FEDERAL REGISTRY

MU1R | **MU4R** | Institution Access

Logged in as BinghamR | Logout

Total Fees: 0.00

Below is a list of unsubmitted MU4R filings your Institution has created. Click the applicable icon under Available Actions to take action on each MLO filing. Payment is not submitted with each filing. A user with the appropriate role must submit payment.

Filtering Options

Filing Id: Applicant Last Name:
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	74298	1/26/2011 2:04:37 PM	BinghamR	Grates, Howard Smith (30046)	Submitted	

MU4R Filing and processes

NMLS FEDERAL REGISTRY

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

MU1R | **MU4R** | Institution Access

Logged in as BinghamR | [Logout](#)

Federal

Pending Filings
Historical Filings
▶ Pay MU4R Fees

MU4R Invoice

Invoice Amount: \$6.90
Invoice Date: 1/26/2011
Institution User: BinghamR
Oldest Unpaid Fee Dated: 1/26/2011

[Pay Invoice](#)

Individual	Subject	Charge Name	Amount	Filing ID	Processed On
Grates, Howard Smith (30046)	Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$3.00	74298	1/28/2011
Grates, Howard Smith (30046)	Criminal Background Check (Grates, Howard Smith)	CBC Processing Fee Federal Criminal Background Check	\$3.90	74298	1/28/2011
Total Charges			\$6.90		

[Pay Invoice](#)

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MU4R Filing and processes

NMLS FEDERAL REGISTRY

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

Logged in as BinghamR | [Logout](#)

One Time Payment

PAYMENT TERMS AND CONDITIONS

Thank you for using the Nationwide Mortgage Licensing System & Registry (NMLS) for your mortgage licensing or registration needs. Licensing and registration payment services on this web site (the "Payment Site") are provided by the State Regulatory Registry LLC ("SRR"), and are subject to these payment terms and conditions (these "Payment Terms"). To the extent that you use the Payment Site, these Payment Terms supplement, and are incorporated into, the user agreement ([Applicant Agreement](#) or [Agency Agreement](#)) applicable to you for your use of NMLS. It is important that you read carefully and understand these Payment Terms. You must agree to these Payment Terms prior to using the Payment Site to make payments to register for SAFE Mortgage Loan Originator Test Components, to apply for, amend or renew a license or registration, or for subscription fees. If you do not agree to these Payment Terms, please do not click "I agree" and do not use the Payment Site to make any payments. We reserve the right at any time to change these Payment Terms. Any changes we make will be effective immediately.


No Refunds or Changes. All fees paid through NMLS are non-refundable, non-changeable and non-transferable.

Making Payments. The Payment Site is solely provided to allow you and other users to make payments to register for SAFE Mortgage Loan Originator Test Components.

[Printable Page](#)

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MU4R Filing and processes



FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

Logged in as BinghamR | [Logout](#)

One Time Payment

ALL NMLS SYSTEM PROCESSING FEES ARE NON-REFUNDABLE.

Invoice Details

Payment Amount \$: Payment Date: User Id:

Payment Type

Credit Card
 Bank Account

Credit Card Information

* Indicates a mandatory field where applicable.

Credit Card Type: *

Credit Card Number: *

Credit Card Expiration: Month: Year: *

Card Security ID Number: * [What's This?](#)

Name on Credit Card: *

Billing Address: *

City: *

State: *

Zip Code: *

Save this payment information for future payments (note: information is saved for 12 months only)

WARNING: Once you click Confirm and Submit, your payment will be submitted. You will not have another chance to confirm payment.

MU4R Filing and processes

NMLS FEDERAL REGISTRY

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

Logged in as BinghamR | [Logout](#)

One Time Payment

Payment Confirmation

Thank you, your payment was successfully processed. Payment details are below. Please print a copy for your records.

Pay to the order of: **Nationwide Mortgage Licensing System & Registry**

User Id: **BinghamR**

Payment Method: **Credit Card**

Payment Date: **01/28/2011**

Amount: **6.90**

Your **Payment Confirmation Number** is **V75A2E1D6EDC**

Print this page for your records. Your bank or credit card statement will read "Mortgage License or Registration - NMLS" for this transaction. To view full invoice details, access the [Invoice](#) option from your Home tab and enter the **Payment Confirmation Number** provided above as your search criteria.

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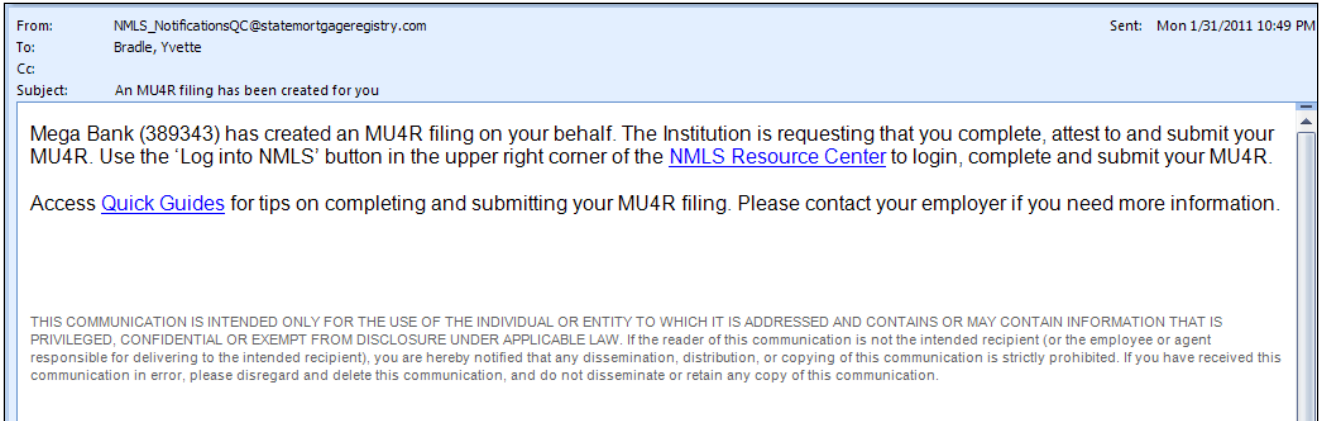


Nationwide Mortgage Licensing System & Registry

MLO Completes Fingerprint Process

MLO Completes Fingerprint Process

MLO receives notification.



MLO Completes Fingerprint Process

NMLS FEDERAL REGISTRY

Login

Log In

User Name:

Password:

[Forgot your password?](#) - OR - [Request an Account](#)

Logging In:

- Your user name and password were sent to you from NMLS_NotificationsQC@statemortgageregistry.com when your user account was created.
- If you are not able to locate your user name and/or password and you are a company/institution or regulator/agency user, contact your organization's account administrator.
- If you are an individual user and need assistance, contact the NMLS Entitlement Group at (240) 386-4444.

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MLO Completes Fingerprint Process

NMLS FEDERAL REGISTRY

Home | [My Account](#) | [Invoice](#) | [FILING](#) | [COMPOSITE VIEW](#) | [HOME](#)

Logged in as ConfirmMR | [Logout](#)

Federal

Change Password

Change Password
Question
Update User Profile

Change your Password

I You logged in with a system-generated password. You must change it before continuing.

Passwords must be between 8 and 16 characters in length, and contain characters from 3 of the following 4 categories:

- English uppercase characters (A to Z)
- English lowercase characters (a to z)
- Base 10 digits (0 to 9)
- Special characters (For example, #, \$, and ^)

Current Password:

New Password:

Confirm New Password:

Change Password

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MLO Completes Fingerprint Process

NMLS FEDERAL REGISTRY

Home | [My Account](#) | [Invoice](#) | [FILING](#) | [COMPOSITE VIEW](#) | [HOME](#) | Logged in as ConfirmMR | [Logout](#)

Federal

- Change Password
- Change Password Question**
- Update User Profile

Change Password Question and Answer

I You have not yet set your password question and answer, which you can use to reset your password if you forget it in the future. You must provide the question and answer before you continue.

If you forget your password and need to have it reset, you will use this password question and answer to confirm your identity.

Password:

Password Question:

Password Answer:



[Change Password Question and Answer](#)

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MLO Completes Fingerprint Process

The screenshot shows the NMLS Federal Registry website interface. At the top left is the NMLS logo and 'FEDERAL REGISTRY' text. A navigation bar contains 'Home | My Account | Invoice' and a user status 'Logged in as ConfirmMR | Logout'. The 'FILING' tab is highlighted in a blue circle. A sidebar on the left has a 'Portal' link. The main content area features a welcome message: 'Welcome to the Nationwide Mortgage Licensing System & Registry!'. Below this, a text block explains that NMLS creates a single record (MU4R) for each MLO. It then lists actions under the 'FILING' section: 'Complete your record', 'amend your record', 'attest to your record', 'grant or remove access to your record', 'submit a filing of your record, or', and 'terminate an employment record'. The 'COMPOSITE VIEW' section instructs users to view their complete record. A section titled 'On this page...' lists links to 'My Account' and 'Invoice'. A note at the bottom of the text block states that MLO records can be completed by authorized representatives and that users must attest to accuracy. At the very bottom of the page, there is a small copyright notice: '©2011 SRR | All Rights Reserved | Privacy Policy' and 'SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 386-4444.'

MLO Completes Fingerprint Process

FILING COMPOSITE VIEW HOME
MU4R | Institution Access Logged in as ConfirmMR | Logout

Federal

Form Filing Home

Form Filing Home

This section of NMLS allows you to complete, amend and/or submit your record (Form MU4R) to comply with Federal MLO Registration requirements. Below is a list of actions available by sub-menu item.

MU4R

Select this link above to *complete or amend* your record, *submit* a filing, or *attest* to your record for a filing to be submitted on your behalf.

Institution Access

Select this link above to *grant* an Institution access to your record, *terminate* an Institution's access to your record, or view a list of Institutions that currently have access to your record.

PRIVACY ACT STATEMENT: The information you provide will be used to register you as a residential mortgage loan originator employed by an institution regulated by the Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, the Office of Thrift Supervision, the National Credit Union Administration or the Farm Credit Administration (the "Agencies"). In order to do this, we may disclose your information consistent with the routine uses listed in the respective Privacy Act Notices for the BGFERS, FDIC, OTS, NCUA, and FCA, including for enforcement, statutory and regulatory purposes; to another federal agency or Reserve Bank; to a Member of Congress; to the Department of Justice, a court, an adjudicative body or administrative tribunal, or a party in litigation; to Federal, state and local and professional licensing boards, to contractors, agents, and others; and where security or confidentiality has been compromised. Specified information may also be shared with members of the public, other banking regulatory agencies, the National Mortgage Licensing System and Registry call center, the Financial Institution Regulatory Authority, state credit union regulators and state mortgage regulators. We are authorized to collect your information by the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. In accordance with Executive Order 9397, the Agencies collect your Social Security Number to ensure that their records are accurate because other people may have the same name and birth date. In addition, the Agencies use your Social Security Number when we make requests for information about you from law enforcement. Furnishing the information requested is voluntary; however, your failure to provide any of the information requested may result in the Agencies' inability to register you as a residential mortgage loan originator. You may be subject to a fine or imprisonment under 18 U.S.C. § 1001 for any false statements you make in connection with any information you provide concerning a registration.

OFFICE OF THE COMPTROLLER OF THE CURRENCY: STATEMENT REGARDING SOLICITATION OF SOCIAL SECURITY ACCOUNT NUMBERS

As authorized by Executive Order 9397, the Office of the Comptroller of the Currency ("OCC") requests your Social Security Number to assist in the maintenance of accurate records in circumstances where other people may have the same names and birth dates. Your social security number may also be used by the OCC when it seeks information about you from other agencies or persons. Furnishing your social security number is voluntary. However, your failure to provide this information may result in the inability of the OCC to register you as a residential mortgage loan originator, a registration that is required under regulations implementing the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. Additionally, if the social security number or other information you provide concerning your registration is false, you may be subject to a fine or imprisonment under 18 U.S.C. § 1001.

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MLO Completes Fingerprint Process

The screenshot shows the NMLS Federal Registry interface. The header includes the NMLS logo and 'FEDERAL REGISTRY'. The navigation bar contains 'FILING', 'COMPOSITE VIEW', and 'HOME'. The user is logged in as 'ConfirmMR' and has access to 'MU4R | Institution Access'. The left sidebar shows 'Pending Filings' and 'Historical Filings'. The main content area is titled 'Pending Filings' and contains two sections: 'Attestation Requested Filings' and 'My MU4R Filings'. The 'Attestation Requested Filings' section includes a table with one entry: Filing ID 148812, Form Type MU4R, Applicant Name Mega Bank (389343), Created By MegaY, Creation Date 1/31/2011 10:49:05 PM, and Status Attestation Requested. The 'My MU4R Filings' section contains a note and a 'Create New Filing' button.

Attestation Requested Filings
All unsubmitted MU4R filings initiated by an Institution with access to your record are listed below. Before the Institution can submit your MU4R filing, you must review it for accuracy and attest to the information contained within. Select to view, edit, and attest to a filing for which the status is "Attestation Requested". Filings displaying an "Attested" or "Unsubmitted" status require no action by you.

Available Actions	Filing ID	Form Type	Applicant Name	Created By	Creation Date	Status
	148812	MU4R	Mega Bank (389343)	MegaY	1/31/2011 10:49:05 PM	Attestation Requested

My MU4R Filings
If you have an MU4R filing created by you or your employer that has not been submitted, it is listed below. You may have only one pending filing at a time. Click to complete and submit the filing. Select to delete a pending filing without submitting the information to NMLS. Click **Create New Filing** to create your first MU4R filing or make amendments to a previously submitted MU4R if no pending filings are listed below.


NOTE: Each time you submit a filing it is stored as your current record and will be the source of information for the next filing. *Please consult your employer before completing and submitting any information to NMLS.*

[There is no pending filing.](#)

[Create New Filing](#)

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MLO Completes Fingerprint Process

MU4R | Institution AccessFILING COMPOSITE VIEW HOMELogged in as ConfirmMR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Identifying Information

Mike Rapp Confirm (405391) MU4R filing created 1/31/2011 by MegaY - Mega Bank.

Individual's identifying information:

Full Name: Mike Rapp Confirm

Gender: Female Male

State of Birth: Montana

Country / Province of Birth: United States

Business phone, home phone, cell phone, fax and email:

Business Phone: 555-555-5555

Home Phone: 555-555-5555

Cell Phone:

Fax Line:

Email Address: jvette.bradle@finra.org

Do you want to amend your legal name?

Check here to signify a name change:

First Name:

Full Middle Name:

Last Name:

Suffix:

Save

Next

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MLO Completes Fingerprint Process

NMLS FEDERAL REGISTRY

FILING **COMPOSITE VIEW** **HOME**

MU4R | [Institution Access](#) Logged in as ConfirmMR | [Logout](#)

Federal

- Identifying Information
- Other Names**
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Other Names

Mike Rapp Confirm (405391) MU4R filing created 1/31/2011 by MegaY - Mega Bank.

Other than your legal name, list all name(s) you are using or have used since the age of 18. Examples include nicknames, aliases, and names used before or after marriage.


No Record found.

Add

Previous Next

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MLO Completes Fingerprint Process

FILING COMPOSITE VIEW HOME
MU4R | Institution Access Logged in as ConfirmMR | Logout



- Identifying Information
- Other Names
- Employment Records**
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit


Employment Records

Mike Rapp Confirm (405391) MU4R filing created 1/31/2011 by MegaY - Mega Bank.



You must provide information for any financial services-related employment you have held over the past ten years. To provide information regarding a current job, click **Add Current**. To provide information regarding a previous job, click **Add Prior**.

Pending Employment Actions

Current employment records associated with an Institution in NMLS with pending actions are listed below. Click  to edit or delete the record or click  to undo the pending action.

From	To	Employer Name	Employer NMLS ID	Status	Work Location	Pending Action
	11/2010	Present	Mega Bank	389343	.	Add

Employment History


Employment records created previously or that are not associated with an Institution in NMLS are listed below. Click  to edit, delete, withdraw or terminate the record, as applicable. Click  to view a record not available for editing.

[There are no existing employment records.](#)

[Previous](#) [Next](#)

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MLO Completes Fingerprint Process

FILING COMPOSITE VIEW HOME
MU4R | Institution Access Logged in as ConfirmMR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records**
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Employment Record

Mike Rapp Confirm (405391) MU4R filing created 1/31/2011 by MegaY - Mega Bank.

The following completeness check issues exist on this page:

- The employment address is required for current employments. Street, city, postal code and country must be provided.

If you are creating a new employment record, access to your NMLS record will be granted to the employer listed below upon submission. Once access is granted, your employer will be able to view your criminal background check results.

Employer NMLS ID: 389343

Employer (company name):

From:

Start Date (MM/DD/YYYY)

Is this your current employer?

Status:

Work Location

Address:

City:

State:


Country / Province:

Postal Code:

Is the employment financial services-related? Yes No

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MLO Completes Fingerprint Process

MU4R | Institution AccessFILING COMPOSITE VIEW HOMELogged in as ConfirmMR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence**
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Current Residence

Mike Rapp Confirm (405391) MU4R filing created 1/31/2011 by MegaY - Mega Bank.

The following completeness check issues exist on this page:

- The current residence address is required. Street, city, postal code and country must be provided.

Provide the street address for your current primary residence below.

Street Address:

City:

State:

Country / Province:

Postal Code:

Save

Previous


Next

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Section 9-13

MLO Completes Fingerprint Process

MU4R | Institution AccessFILING COMPOSITE VIEW HOMELogged in as ConfirmMR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions**
- Criminal Background Check
- Completeness Check and Submit

Disclosure Questions

Mike Rapp Confirm (405391) MU4R filing created 1/31/2011 by MegaY - Mega Bank.

The following completeness check issues exist on this page:

- All disclosure questions must be answered yes or no.

Remember to file updates to these disclosures as required.

Criminal Disclosure

(A) Have you ever been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any criminal offense involving dishonesty, breach of trust, or money laundering or agreed to enter into a pretrial diversion or similar program in connection with the prosecution of such offense(s)? Yes No

(B) Based upon the activities that occurred while you exercised control over an organization, has any such organization ever been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any criminal offense involving dishonesty, breach of trust, or money laundering or agreed to enter into a pretrial diversion or similar program in connection with the prosecution of such offense(s)? Yes No

Civil Judicial Disclosure

(C) Has any domestic or foreign court ever:

(1) enjoined you, or taken other action against you, in connection with any financial services-related activity? Yes No

(2) found that you were involved in a violation of any financial services-related statute(s) or regulation(s)? Yes No

(3) dismissed, pursuant to a settlement agreement, a financial services-related civil action brought against you by a State, federal, or foreign financial regulatory authority? Yes No

Regulatory Action

(D) Has any State or federal regulatory agency or foreign financial regulatory authority ever:

(1) found you to have made a false statement or omission or been dishonest, unfair or unethical? Yes No

(2) found you to have been involved in a violation of a financial services-related business regulation(s) or statute(s)? Yes No

(3) found you to have been a cause of a financial services-related business having its authorization to do business denied, suspended, revoked or restricted? Yes No

(4) entered an order against you in connection with a financial services-related activity? Yes No

(5) denied, suspended or revoked your registration or license to engage in a financial services-related activity, disciplined you, or otherwise by order, prevented you from associating with a financial services-related business or restricted your activities? Yes No

(6) barred you from association with an entity or its officers regulated by such agency or foreign financial regulatory authority or from engaging in a financial services-related business? Yes No

(7) issued a final order against you based on violations of any law or regulations that prohibit fraudulent, manipulative, or deceptive conduct? Yes No

(E) Have you ever had an authorization to act as an attorney, accountant, or State or federal contractor that was revoked or suspended? Yes No

Customer Arbitration/Civil Litigation Disclosure

(F) Have you ever been named as a respondent/defendant in a financial services-related consumer-initiated arbitration or civil litigation which:

(1) resulted in an arbitration award or civil judgment against you, regardless of amount, or that required corrective action; or Yes No

(2) was settled for any amount? Yes No


Save

◀ Previous

Next ▶

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MLO Completes Fingerprint Process

FILING | COMPOSITE VIEW | HOME
MU4R | Institution Access Logged in as ConfirmMR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check**
- Completeness Check and Submit

Criminal Background Check

Mike Rapp Confirm (405391) MU4R filing created 1/31/2011 by MegaY - Mega Bank.

To request a federal criminal background check with this filing, you must mark the checkbox requesting a background check, confirm the method and provide demographic information below. If a previous request is still pending, a criminal background check cannot be requested. You can access [Composite View](#) to view the status of previous requests.

I am requesting a Federal Criminal Background Check.

Confirm background check method:

Submit New Prints

Demographics

The FBI requires the following information to be provided:

Eye color: Hair color:

Height: ft in Weight: lbs

Race:


Date of Birth is included with the demographic information provided to the FBI and can be verified against your record in [Composite View](#).

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Section 9-15

MLO Completes Fingerprint Process

FILING COMPOSITE VIEW HOMEMU4R | Institution AccessLogged in as ConfirmMR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit**

Completeness Check

Mike Rapp Confirm (405391) MU4R filing created 1/31/2011 by MegaY - Mega Bank.

WARNING: You should not submit any information through NMLS until you receive authorization from your employer to make the submission. Any Institution identified as a new current employer in this filing will be granted access to your NMLS record, including criminal background check results, upon submission of this filing.

All completeness checks pass for this filing.

I, **Mike Rapp Confirm** (Registrant), on this date **Monday, January 31, 2011**, certify that:

- (1) The information and statements contained herein, whether submitted by me or by a Federal agency-regulated institution on my behalf, are current, true, accurate and complete as of **Monday, January 31, 2011**;
- (2) To the extent any information previously submitted is not amended, such information and statements remain current, true, accurate and complete as of **Monday, January 31, 2011**;
- (3) I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal Agency-regulated institution;
- (4) The Registry and my employing Federal agency-regulated financial institution(s) are authorized to obtain information related to sanctions or findings in any administrative, civil or criminal action, to which I am a party, made by any governmental jurisdiction;
- (5) I will keep the information contained in this form current and will file accurate supplementary information on a timely basis, pursuant to applicable law; and
- (6) The Registry may make available to the public [information submitted on this filing](#) pursuant to Federal regulations implementing the S.A.F.E. Act.

I certify that I am the named person above and that I agree to the above.

Attest

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Section 9-16

MLO Completes Fingerprint Process

NMLS FEDERAL REGISTRY

MU4R | Institution Access | Logged in as ConfirmMR | [Logout](#)

Pending Filings

Attestation Requested Filings
All unsubmitted MU4R filings initiated by an Institution with access to your record are listed below. Before the Institution can submit your MU4R filing, you must review it for accuracy and attest to the information contained within. Select to view, edit, and attest to a filing for which the status is "Attestation Requested". Filings displaying an "Attested" or "Unsubmitted" status require no action by you.

Available Actions	Filing ID	Form Type	Applicant Name	Created By	Creation Date	Status
	148812	MU4R	Mega Bank (389343)	MegaY	1/31/2011 10:49:05 PM	Attested

My MU4R Filings
If you have an MU4R filing created by you or your employer that has not been submitted, it is listed below. You may have only one pending filing at a time. Click to complete and submit the filing. Select to delete a pending filing without submitting the information to NMLS. Click **Create New Filing** to create your first MU4R filing or make amendments to a previously submitted MU4R if no pending filings are listed below.

NOTE: Each time you submit a filing it is stored as your current record and will be the source of information for the next filing. *Please consult your employer before completing and submitting any information to NMLS.*

There is no pending filing.

[Create New Filing](#)

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MLO Completes Fingerprint Process

Institution receives notification, then submits filing and pays for fees.

From: NMLS_NotificationsQC@statemortgageregistry.com
To: Bradle, Yvette
Cc:
Subject: Attestation has been completed by Mike Confirm (405391)

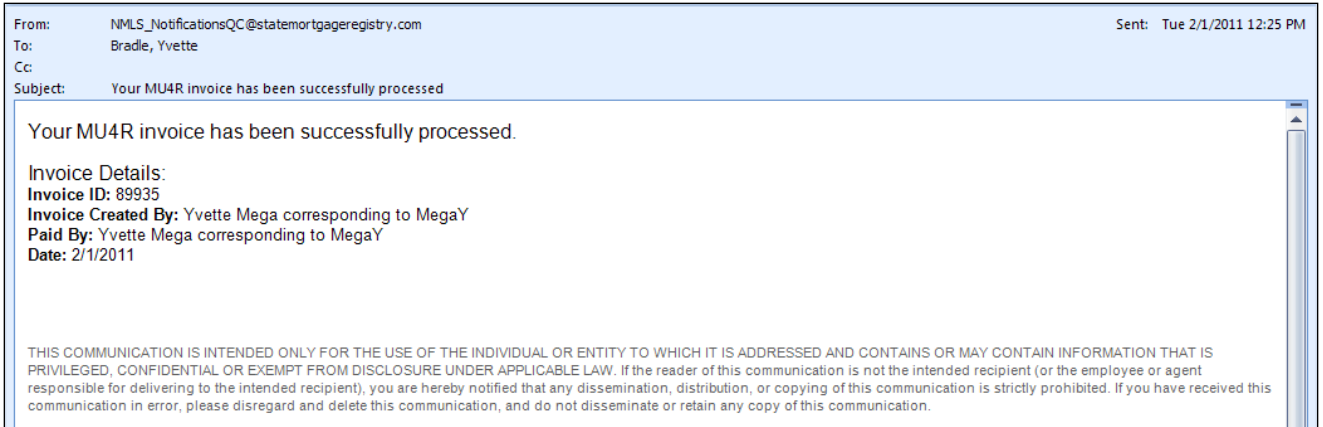
Sent: Mon 1/31/2011 11:52 PM

Mike Confirm (405391) has attested to their MU4R with Filing ID 148812 for Mega Bank (389343).

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. If the reader of this communication is not the intended recipient (or the employee or agent responsible for delivering to the intended recipient), you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please disregard and delete this communication, and do not disseminate or retain any copy of this communication.

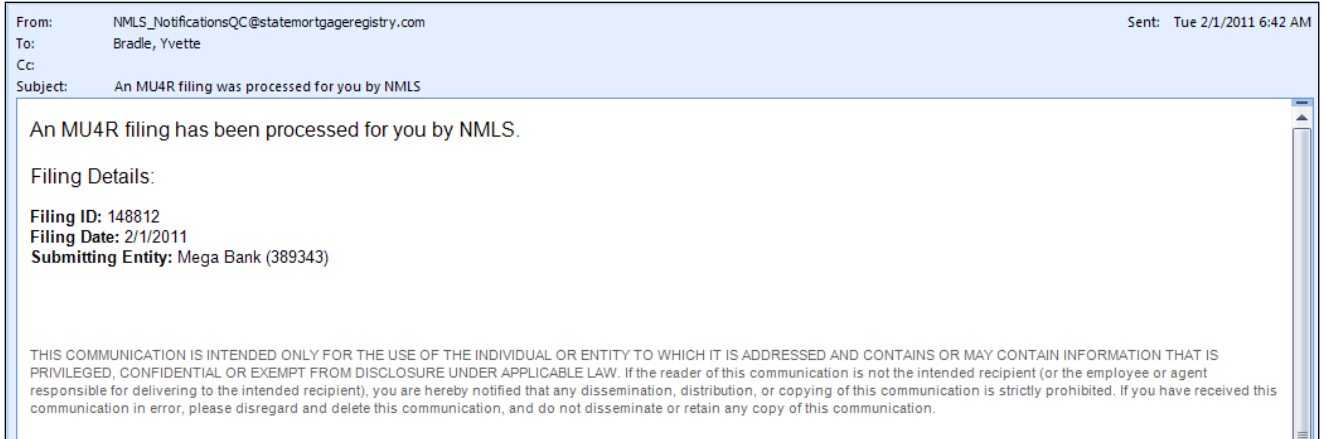
MLO Completes Fingerprint Process

The Institution receives another notification that fees were paid.



MLO Completes Fingerprint Process

MLO receives notification that an MU4R has been processed.



MLO Completes Fingerprint Process

What communication does the MLO get to know the

The screenshot shows the NMLS Federal Registry website. The header includes the NMLS logo and 'FEDERAL REGISTRY'. Navigation links for 'Home', 'My Account', and 'Invoice' are visible. The user is logged in as 'ConfirmMR'. The main content area is titled 'Welcome to the Nationwide Mortgage Licensing System & Registry!' and contains the following text:

The Nationwide Mortgage Licensing System & Registry (NMLS) creates a single record (MU4R) for each MLO required to submit information to obtain a Federal MLO Registration.

FILING
Choose this tab if you would like to:

- Complete your record,
- amend your record,
- attest to your record,
- grant or remove access to your record,
- submit a filing of your record, or
- terminate an employment record.

COMPOSITE VIEW
Choose this tab to view your complete record, including your Federal MLO Registration status and/or employment record.

On this page...

- Select **My Account** above to change your NMLS user settings.
- Select **Invoice** above to pay outstanding invoices or view payment history.

Access the [Federal Registration page](#) of the NMLS Resource Center for information regarding the registration process that can assist you in using NMLS to create and maintain your individual record to meet Federal MLO Registration requirements.

NOTE: An MLO record (Form MU4R) can be completed by an authorized representative from an Institution with access to your record. In all cases, you must personally attest to the accuracy of your record before it can be submitted. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

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MLO Completes Fingerprint Process

The screenshot shows the NMLS Federal Registry interface. At the top left is the NMLS logo and 'FEDERAL REGISTRY'. On the right, there are navigation tabs for 'FILING', 'COMPOSITE VIEW', and 'HOME'. A user is logged in as 'ConfirmMR' with a 'Logout' link. A 'View Individual' link is circled in the top navigation bar. The main content area is titled 'View - Home' and contains a section for 'VIEWING INDIVIDUAL RECORDS'. This section explains that users can view their own records, including historical filings and employment records. It provides instructions: 'To view your record, select [View Individual](#) above.' and 'To amend your record, select the FILING tab above.' It also states, 'You are fully accountable for information contained in your record in NMLS each time you attest to a filing.' At the bottom of the content area, there is a copyright notice: '©2011 SRR | All Rights Reserved | [Privacy Policy](#). SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 388-4444.'

MLO Completes Fingerprint Process

NMLS FEDERAL REGISTRY

FILING COMPOSITE VIEW HOME

View Individual

Logged in as ConfirmMR | Logout

View Individual Information

Mike Rapp Confirm (405391)

Name: Mike Rapp Confirm
Social Security Number: xxx-xx-4233
Individual ID: 405391
Business Phone Number: 555-555-5555
Email Address: yvette.bradle@finra.org

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- View Personal Information
- View NMLS MLO Registration List
- View Employment Records
- View Historical Filings
- View Criminal Background Check Requests
- View Individual Snapshot

MLO Completes Fingerprint Process

NMLS FEDERAL REGISTRY

FILING COMPOSITE VIEW HOME

View Individual Logged in as ConfirmMR | Logout

Federal

- View Individual
- View Personal Information
- View NMLS MLO Registration List
- View Employment Records
- View Historical Filings
- View Criminal Background Check Requests**
- View Individual Snapshot

View Criminal Background Check Requests

Mike Rapp Confirm (405391)


CRIMINAL BACKGROUND CHECK CERTIFICATE
A criminal background check request is pending.

Criminal Background Check Requests

Status	Reason Closed	Status Date	Method	Fingerprints Taken Date
Pending Fingerprint		2/1/2011	Fingerprint	


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MLO Completes Fingerprint Process

English Español

NMLS Fingerprint Scheduling

You must create a Fieldprint account before you can schedule an appointment. The account will be good for the collection of one set of fingerprints and will be deleted upon the successful submission of your fingerprints to the NMLS.



Note that you must have a valid, unexpired government-issued photo identification in order to continue scheduling your appointment.

Your NMLS Resource Center log-in information will not automatically transfer.

If at any point you experience an issue with this website, please contact customer service at 877-614-4361 for assistance.

New Users | Sign Up

If you are a new user, please register with Fieldprint® in order to schedule your fingerprinting appointment. Begin the registration process by entering your e-mail address below.

Email address:

Existing Users | Sign In

If you already have an account, please log in below to :

- Check your appointment status
- Re-schedule your appointment
- View and print your receipt


Email address:

Password:


 [Forget Password?](#)


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MLO Completes Fingerprint Process

Fieldprint  English Español


Need More Help?
Call 877-614-4361 or [Email Us](#)


Sign Up 


 We value your personal information and keeping it secure at ALL times. [Privacy Statement](#)


 Your information is saved as you complete each step. You can log in and continue at any time.

To register with Fieldprint®, please enter the password you would like to use below, along with a security question and answer.
All of the following fields are required.

Password you would like to use
 

Re-type Password
 


Security Question
What is your favorite color? 

Answer to your Security Question
 

Sign Up and Continue

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MLO Completes Fingerprint Process

Welcome, [yvette.bradle@finra.org](#) | [Logout](#)[English](#) | [Español](#)

1 Data Collection 2 Time and Location 3 Confirmation

Need More Help?
Call 877-614-4361 or [Email Us](#)

Personal Information

We value your personal information and keeping it secure at ALL times. [Privacy Statement](#) Your information is saved as you complete each step. You can log in and continue at any time.

Processing your criminal background check will be significantly delayed if the name entered here is not included in your NMLS record. Provide your full legal name as it is issued on your government issued identification document.

First Name: Middle Name: Last Name: Suffix:

NMLS ID: You must enter a valid NMLS ID. Entering an invalid or incorrect NMLS ID can result in significant delays in processing your license.
[Unsure of NMLS ID?](#)

Date of Birth: Month: Day: Year:

Contact Phone:

Contact E-mail:

Preferred Contact Method:
 Phone E-mail

I am seeking state licensure in Florida and/or Hawaii.

FEDERAL REGISTRANTS ONLY

Certain institutions are providing their employees with an institution-specific Federal Registration Code to facilitate the use of existing fingerprint files for the federal registration process. If your employer is a federal agency-regulated financial institution and provided you with a Fieldprint Federal Registration Code, please enter it here.

Fieldprint Federal Registration Code (optional): This code is provided to employees by their employing federal agency-regulated institution to facilitate the use of existing fingerprint files for the federal registration process. Not all institutions are participating in this program and, therefore, not all institutions will have a code for their employees. If you do not have a code and believe you should, please contact your employer.

If your employer does not have an institution-specific code, please leave this field blank, click "Save and Continue" and continue with the scheduling process.

Save and Continue

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MLO Completes Fingerprint Process

Fieldprint Welcome, yvette.bradle@fnra.org! Logout English Español

1 Data Collection 2 Time and Location 3 Confirmation

Need More Help?
Call 877-614-4361 or Email Us

Schedule Your Visit

We value your personal information and keeping it secure at ALL times. [Privacy Statement](#)

Your information is saved as you complete each step. You can log in and continue at any time.

Find a Fingerprinting Location

Please enter your home, work, or other convenient address below and click the Find button.


9513 Key West Avenue **Find**

Back

[Alternate scheduling flow](#)


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MLO Completes Fingerprint Process


Welcome, yvette.bradle@fnra.org! [Logout](#)[English](#) [Español](#)


1 Data Collection 2 Time and Location 3 Confirmation

Need More Help?
Call 877-614-4361 or [Email Us](#)



Schedule Your Visit

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 Your information is saved as you complete each step. You can log in and continue at any time.

Find a Fingerprinting Location

Please enter your home, work, or other convenient address below and click the Find button.


[?](#) Find

[Back](#)

Locations

Once an appointment is made, you may not make a change or cancel less than 24 hours before the appointment time without incurring a charge.

The following locations host Fieldprint fingerprinting units. Please click the Schedule Appointment button related to the desired location to begin scheduling your appointment or click Find to search for locations near a different address.


Location Name	Distance	Hours of Operation	Notes
 1. NMLS - Test Site 9509 Key West Ave. Rockville, MD 20850-3351	0.2 mi	M TU W TH F SA 07:00 AM - 07:00 PM	No Additional Fee Preferred Site Select for Expedited Processing

[Alternate scheduling flow](#)

[Schedule Appointment](#)




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MLO Completes Fingerprint Process

Fieldprint  Welcome, yvette.bradle@fnra.org! [Logout](#) English Español

1 Data Collection 2 Time and Location 3 Confirmation

Need More Help?
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 **Schedule Your Visit**  We value your personal information and keeping it secure at ALL times. [Privacy Statement](#)  Your information is saved as you complete each step. You can log in and continue at any time.


Find a Fingerprinting Location

Please enter your home, work, or other convenient address below and click the Find button.

Locations

Once an appointment is made, you may not make a change or cancel less than 24 hours before the appointment time without incurring a charge.

The following locations host Fieldprint fingerprinting units. Please click the Schedule Appointment button related to the desired location to begin scheduling your appointment or click Find to search for locations near a different address.

Location Name	Distance	Hours of Operation	Notes
 1. NMLS - Test Site 9509 Key West Ave. Rockville, MD 20850-3351	0.2 mi	MTUWTHFSA 07:00 AM - 07:00 PM	No Additional Fee Preferred Site Select for Expedited Processing

Available Dates and Times

Enter a date (mm/dd/yyyy) or select an available date from the calendar:

Select an available time on:
FEBRUARY 4, 2011

Morning: (8 AM - 12 PM)

Afternoon: (12 PM - 5 PM)


Evening: (5 PM - 11 PM)

[Close](#)

[Alternate scheduling flow](#)




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MLO Completes Fingerprint Process

Fieldprint  Welcome, yvette.bradie@fnra.org [Logout](#) [English](#) [Español](#)

1 Data Collection 2 Time and Location 3 **Confirmation**

Need More Help?
Call 877-614-4361 or [Email Us](#)

 **Confirmation**  We value your personal information and keeping it secure at ALL times. [Privacy Statement](#)  Your information is saved as you complete each step. You can log in and continue at any time.

Appointment # **201770** for **Mike Confirm** is scheduled for:
February 4, 2011 at 3:00 PM

You must print this appointment confirmation and bring it with you to your appointment.

Your appointment information will also be emailed to you for additional reference. If an email is not received within one hour, please contact Fieldprint@ at 877-614-4361.

[Print](#)
[Get Printable Directions](#)

Your Appointment Location
NMLS - Test Site
9509 Key West Ave.
Rockville, MD 20850-3351

Please note: Once an appointment is made, you may not make a change or cancel less than 24 hours before the appointment time without incurring a charge.

Please call us at 800-799-1067 to rate your fingerprint collection experience. We would appreciate feedback on your appointment and our site.

If you decide to reschedule your fingerprinting appointment in the future, please return to acceptance.fieldprint.com, sign in as an existing user, and click on the red Reschedule link to make a new appointment.

What identification to bring?
You must print this appointment confirmation and bring it with you to your appointment.

You must bring two forms of identification. At least one form has to be a picture ID from the Picture ID list below and the second has to be from the Secondary ID list.


Picture ID: <ul style="list-style-type: none">• State-issued drivers license• State-issued non-driver identity• U.S. passport• Military Identification Card• Work Visa w/ Photo• Foreign Passport	Secondary ID: <ul style="list-style-type: none">• State-issued drivers license• State-issued non-driver identity• U.S. passport• Military Identification Card• Social Security Card• Bank Statement• Utility bill• Credit Card• Marriage Certificate• Vehicle Registration• State Government Issued Certificate of Birth• Foreign Passport• Federal Government Personal Identity Verification• Certificate of Citizenship• Certificate of Naturalization• INS I-551 Resident/ Alien Card• INS I-888 Temporary Resident Identification Card
---	---

Rescheduling Your Appointment

If you need to reschedule your fingerprinting appointment, please click on the link below. Please note that once an appointment is made, you may not make a change or cancel less than 24 hours before the appointment time without incurring a charge. Please call our support line if you need to cancel an appointment that is more than 24 hours away.

After your appointment time no refunds will be issued. If you miss your appointment you will incur additional charges to schedule another appointment.

[Reschedule Appointment](#)



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MLO Completes Fingerprint Process

MLO receives appointment confirmation.

From: Fieldprint Customer Service [customerservice@fieldprint.com] Sent: Tue 2/1/2011 1:15 PM
To: Bradle, Yvette
Cc:
Subject: Fingerprinting Appointment Information - Mike Confirm

Greetings Mike Confirm:

Your fingerprinting appointment has been scheduled for:

Appointment Date/Time: 02/04/2011 @ 3:00 PM Registration #: 201770

Appointment location:

NMLS - Test Site
9509 Key West Ave.
Rockville, MD 20850

Please be mindful of the following important items:

- You must present two forms of identification (one must be government issued and contain a photo).
- You will not be required to provide any paperwork or forms to the fingerprint technician.
- Prior to arriving for your appointment, please remove all jewelry (rings and bracelets) from your hands and wrists.

Please be advised that Fieldprint is not responsible for your personal belongings.

- You will not be required to make any payment for your appointment.

Please print this email and bring it with you to your fingerprinting appointment so that you may refer to it as needed. To complete the fingerprint process, please call our Fieldprint Post-Collection Survey line at 800-799-1067 to rate your fingerprint collection experience. We would appreciate feedback on your appointment and our site. Please feel free to call us at any time if you have any questions or difficulty at the collection site.

Please also note that you may not make a change or cancel your appointment less than 24 hours before the appointment time without incurring a charge.

Thank you for your cooperation.
Fieldprint Services Team
877-614-4361

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Nationwide Mortgage Licensing System & Registry

Submit MU4R
Pay Fees
Request Prints

Submit MU4R and Pay Fees

NMLS FEDERAL REGISTRY

Home | My Account | Invoice

Logged in as BinghamR | Logout

Welcome to the Nationwide Mortgage Licensing System & Registry!

The Nationwide Mortgage Licensing System & Registry (NMLS) allows for the creation and maintenance of a single record (Form MU1R) for your Institution. Your Institution also can create and submit mortgage loan originator (MLO) filings (Form MU4R) and manage employment records for MLOs associated with your Institution.

Below please find a list of actions available by tab. Available actions/tabs vary based on user roles assigned.

FILING
Choose this tab to *complete, amend and/or submit* MU1R filings for your Institution or any Federal MLO Registration filings (Form MU4R) for MLOs associated to your Institution. You also can view a list of MLO records your Institution has access to.

TASKS
Choose this tab to *perform* an MLO Batch Upload, *view* previous MLO Batch Upload files, including information regarding their disposition, or *manage* Employment Records. Users with the Financial Admin role should choose this tab to *access and pay* outstanding Invoices for MU4R fees.

COMPOSITE VIEW
Choose this tab to *view* your Institution record or the record of any MLO associated with your Institution.

ADMIN
Choose this tab to *perform administrative functions* for your Institution's system users (e.g. resetting passwords, managing user roles and assigning system notifications).

REPORTS
Choose this tab to *request* available reports.

On this page...

- Select **My Account** above to *change* your NMLS user settings.
- Select **Invoice** above to *repay* failed invoices or *view* payment history.

Access the [Federal Registration page](#) of the NMLS Resource Center for information regarding the registration process that can assist you in using NMLS to create and maintain Institution and MLO records to meet federal registration requirements.

NOTE: NMLS is the system of record for all information contained within it. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

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Submit MU4R and Pay Fees

NMLS FEDERAL REGISTRY

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

MU1R (MU4R) Institution Access Logged in as BinghamR | Logout

Federal

Form Filing Home

Form Filing Home

This section of NMLS allows you to complete, amend and/or submit your Institution's record (Form MU1R) and mortgage loan originator (MLO) records (Form MU4R). Below is a list of actions available by sub-menu. Available actions/sub-menu items vary based on user roles assigned.

MU1R
Select this link above to *complete, amend and/or submit a filing* of your Institution's record.

MU4R
Select this link above to *complete, amend and/or submit a filing* of an MLO record.

Institution Access
Select this link above to view a list of MLO records your Institution has access to.


PRIVACY ACT STATEMENT: The information you provide will be used to register you as a residential mortgage loan originator employed by an institution regulated by the Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, the Office of Thrift Supervision, the National Credit Union Administration or the Farm Credit Administration (the "Agencies"). In order to do this, we may disclose your information consistent with the routine uses listed in the respective Privacy Act Notices for the BCFRS, FDIC, OTS, NCUA, and FCA, including for enforcement, statutory and regulatory purposes; to another federal agency or Reserve Bank; to a Member of Congress; to the Department of Justice, a court, an adjudicative body or administrative tribunal, or a party in litigation; to Federal, state and local and professional licensing boards, to contractors, agents, and others; and where security or confidentiality has been compromised. Specified information may also be shared with members of the public, other banking regulatory agencies, the National Mortgage Licensing System and Registry call center, the Financial Institution Regulatory Authority, state credit union regulators and state mortgage regulators. We are authorized to collect your information by the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. In accordance with Executive Order 9397, the Agencies collect your Social Security Number to ensure that their records are accurate because other people may have the same name and birth date. In addition, the Agencies use your Social Security Number when we make requests for information about you from law enforcement. Furnishing the information requested is voluntary; however, your failure to provide any of the information requested may result in the Agencies' inability to register you as a residential mortgage loan originator. You may be subject to a fine or imprisonment under 18 U.S.C. § 1001 for any false statements you make in connection with any information you provide concerning a registration.

OFFICE OF THE COMPTROLLER OF THE CURRENCY: STATEMENT REGARDING SOLICITATION OF SOCIAL SECURITY ACCOUNT NUMBERS

As authorized by Executive Order 9397, the Office of the Comptroller of the Currency ("OCC") requests your Social Security Number to assist in the maintenance of accurate records in circumstances where other people may have the same names and birth dates. Your social security number may also be used by the OCC when it seeks information about you from other agencies or persons. Furnishing your social security number is voluntary. However, your failure to provide this information may result in the inability of the OCC to register you as a residential mortgage loan originator, a registration that is required under regulations implementing the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. Additionally, if the social security number or other information you provide concerning your registration is false, you may be subject to a fine or imprisonment under 18 U.S.C. § 1001.

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Submit MU4R and Pay Fees

FILING | TASKS | COMPOSITE VIEW | ADMIN | REPORTS | HOME
MU1R | MU4R | Institution Access Logged in as MegaY | Logout

Federal

- Pending Filings
- Historical Filings
- Pay MU4R Fees

Pending Filings - MU4R

Total Fees: 0.00

Below is a list of unsubmitted MU4R filings your Institution has created. Click the applicable icon under Available Actions to take action on each MLO filing. Payment is not submitted with each filing. A user with the appropriate role must submit payment.

Filtering Options

Filing ID: Applicant Last Name:

Individual ID: Filing Status:

Created By: Group Name:

Available Actions	Filing ID	Creation Date	Created By	Applicant Name	Status	Group Name
	148819	1/31/2011 10:49:09 PM	MegaY	Terminate, Jim (405398)	Unsubmitted	Tem
	148817	1/31/2011 10:49:08 PM	MegaY	Reject, David (405396)	Unsubmitted	Reject
	148818	1/31/2011 10:49:08 PM	MegaY	Terminate, Luke Rapp (405397)	Unsubmitted	Tem
	148815	1/31/2011 10:49:07 PM	MegaY	Confirm, Chuck Manual (405394)	Attestation Requested	Confirm
	148816	1/31/2011 10:49:07 PM	MegaY	Reject, Tim Rapp (405395)	Unsubmitted	Reject
	148812	1/31/2011 10:49:05 PM	MegaY	Confirm, Mike Rapp (405391)	Attested	Confirm
	148811	1/31/2011 10:49:02 PM	MegaY	Confirm, Joe Auto (405390)	Unsubmitted	Confirm

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Submit MU4R and Pay Fees

Filing opens in a separate window.

Form MU4R

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check

Identifying Information

Individual's Identifying Information.

Full Name: [Mike Rapp Confirm](#)
Gender: [Male](#)
State of Birth: [Montana](#)
Country / Province of Birth: [United States](#)

Do you want to amend your legal name?

Check here to signify a name change:

Last Name:
First Name:
Full Middle Name:
Suffix:

Business phone, home phone, cell, fax and email address:

Business Phone: [555-555-5555](#)
Home Phone: [555-555-5555](#)
Cell Phone:
Fax Line:
Email Address: yvette.bradle@finra.org

Other Names

[No Record found.](#)

Employment History

Item 1 of 1:

Employer NMLS ID: 389343
From: 11/01/2010
(MM/DD/YYYY)
To:
(MM/DD/YYYY)

Check here if this is your current employer.

Employer (company name): Mega Bank
Address: [123 Main Street](#)
City: [Rockville](#)
State: [Maryland](#)
Country / Province: [United States](#)
Postal Code: [20850](#)


Is the employment financial services-related? [True](#)
[Yes](#)

Current Residence

Current Residence.

Street Address: [555 Home Street](#)
City: [Rockville](#)
State: [Maryland](#)
Country / Province: [United States](#)
Postal Code: [20850](#)

Submit MU4R and Pay Fees

MU1R | **MU4R** | Institution AccessLogged in as BinghamR | [Logout](#)

Federal

- Pending Filings**
- Historical Filings
- Pay MU4R Fees

Pending Filings - MU4R

Total Fees: 0.00




Below is a list of unsubmitted MU4R filings your Institution has created. Click the applicable icon under Available Actions to take action on each MLO filing. Payment is not submitted with each filing. A user with the appropriate role must submit payment.

Filtering Options

Filing ID: Applicant Last Name:


Individual ID: Filing Status:

Created By: Group Name:

Available Actions	Filing ID	Creation Date	Created By	Applicant Name	Status	Group Name
  	74486	1/27/2011 10:53:25 PM	BinghamR	Confirm, One Auto (30120)	Attested	Confirm

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Submit MU4R and Pay Fees



MU1R | **MU4R** | Institution Access

Logged in as BinghamR | [Logout](#)

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit**

Completeness Check

One Auto Confirm (30120) MU4R filing created 1/27/2011 by BinghamR.

WARNING: You should not submit any information through NMLS until you receive authorization from your employer to make the submission. Any Institution identified as a new current employer in this filing will be granted access to your NMLS record, including criminal background check results, upon submission of this filing.

All completeness checks pass for this filing.

Subject	Fee Type	Amount
Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$6.00
Criminal Background Check (Confirm, One Auto)	CBC Processing Fee Federal Criminal Background Check	\$3.90
Total Charges		\$9.90


I, **Ryan Bingham**, of **Road Warrior Mortgage Company** (Federal agency-regulated institution), on this date **Sunday, January 30, 2011**, certify that I executed this form on behalf, and with the authority, of said Federal agency-regulated institution and that:

- (1) To the best of my knowledge and belief, information on whether **One Auto Confirm** is/is no longer employed by said Federal agency-regulated institution listed above is current, true, accurate and complete as of **Sunday, January 30, 2011**;
- (2) I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal agency-regulated institution; and
- (3) Said Federal agency-regulated institution will notify the Registry of the date the Registrant ceases employment with the said Federal agency-regulated institution listed above, pursuant to applicable law.

I certify that I am the named person above and that I agree to the above.

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Submit MU4R and Pay Fees



FILING | TASKS | COMPOSITE VIEW | ADMIN | REPORTS | HOME

MU1R | **MU4R** | Institution Access

Logged in as BinghamR | Logout

Federal

- Pending Filings
- Historical Filings
- Pay MU4R Fees

Pending Filings - MU4R

Total Fees: 0.00

Below is a list of unsubmitted MU4R filings your Institution has created. Click the applicable icon under Available Actions to take action on each MLO filing. Payment is not submitted with each filing. A user with the appropriate role must submit payment.

Filtering Options

Filing Id: Applicant Last Name:

Individual Id: Filing Status:

Created By: Group Name:

Available Actions	Filing ID	Creation Date	Created By	Applicant Name	Status	Group Name
	74494	1/27/2011 10:53:27 PM	BinghamR	Terminate, Two (30128)	Unsubmitted	Tem
	74492	1/27/2011 10:53:27 PM	BinghamR	Reject, Two (30126)	Unsubmitted	Reject
	74493	1/27/2011 10:53:27 PM	BinghamR	Terminate, One Rapp (30127)	Unsubmitted	Term
	74490	1/27/2011 10:53:26 PM	BinghamR	Confirm, Five Manual (30124)	Attestation Requested	Confirm
	74491	1/27/2011 10:53:26 PM	BinghamR	Reject, One Rapp (30125)	Unsubmitted	Reject
	74486	1/27/2011 10:53:25 PM	BinghamR	Confirm, One Auto (30120)	Submitted	Confirm
	74487	1/27/2011 10:53:25 PM	BinghamR	Confirm, Two Rapp (30121)	Unsubmitted	Confirm

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Submit MU4R and Pay Fees

NMLS FEDERAL REGISTRY

MU1R | **MU4R** | Institution Access

Logged in as BinghamR | [Logout](#)

Historical Filings

Below please find a list of MLO filings submitted by your organization for the date range indicated. You can change the date range to a period not exceeding 30 days and filter by the user who submitted the filings. Click to view the corresponding filing.

Filtering Options

Processed Begin Date: Processed End Date:

Submitted By:

Filing ID	System Processed	Submitter	Applicant Name	Sections Changed
74486	1/30/2011 9:50:20 PM	BinghamR	Confirm, One Auto (30120)	Hover to View
74298	1/28/2011 4:31:06 PM	BinghamR	Grates, Howard Smith (30046)	Hover to View

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Submit MU4R and Pay Fees

NMLS FEDERAL REGISTRY

MU1R | **MU4R** | Institution Access

Logged in as BinghamR | [Logout](#)


MU4R Invoice

Invoice Amount: \$9.90
Invoice Date: 1/28/2011
Institution User: BinghamR
Oldest Unpaid Fee Dated: 1/28/2011

Individual	Subject	Charge Name	Amount	Filing ID	Processed On
Confirm, One Auto (30120)	Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$6.00	74486	1/30/2011
Confirm, One Auto (30120)	Criminal Background Check (Confirm, One Auto)	CBC Processing Fee Federal Criminal Background Check	\$3.90	74486	1/30/2011
Total Charges			\$9.90		

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Submit MU4R and Pay Fees

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOMELogged in as BinghamR | Logout

One Time Payment

ALL NMLS SYSTEM PROCESSING FEES ARE NON-REFUNDABLE.

Invoice Details

Payment Amount \$: Payment Date: User Id:

Payment Type

Credit Card
 Bank Account

Credit Card Information

* Indicates a mandatory field where applicable.

Credit Card Type: *

Credit Card Number: *

Credit Card Expiration: Month: Year: *

Card Security ID Number: * [What's This?](#)

Name on Credit Card: *

Billing Address: *

City: *

State: *

Zip Code: *

Save this payment information for future payments (note: information is saved for 12 months only)

WARNING: Once you click Confirm and Submit, your payment will be submitted. You will not have another chance to confirm payment.

Important note about browser compatibility


This site is only certified for the following web browsers: Internet Explorer version 5.0 and above, plus Mozilla Firefox 3 and above.

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Section 10-11

Submit MU4R and Pay Fees

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOMELogged in as BinghamR | [Logout](#)

One Time Payment

Payment Confirmation

Thank you, your payment was successfully processed. Payment details are below. Please print a copy for your records.

Pay to the order of: **Nationwide Mortgage Licensing System & Registry**

User Id: **BinghamR**

Payment Method: **Credit Card**

Payment Date: **01/30/2011**

Amount: **9.90**

Your **Payment Confirmation Number** is **V14A2F62FD87**

Print this page for your records. Your bank or credit card statement will read "Mortgage License or Registration - NMLS" for this transaction. To view full invoice details, access the [Invoice](#) option from your Home tab and enter the **Payment Confirmation Number** provided above as your search criteria.

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Submit MU4R and Pay Fees

The screenshot shows the NMLS Federal Registry portal. At the top left is the NMLS logo and 'FEDERAL REGISTRY'. A navigation bar contains links for 'Home', 'My Account', and 'Invoice'. On the right, there are tabs for 'FILING', 'TASKS', 'COMPOSITE VIEW', 'ADMIN', 'REPORTS', and 'HOME'. The 'TASKS' tab is selected. Below the navigation bar, a 'Federal' dropdown menu and a 'Portal' link are visible. The main content area is titled 'Welcome to the Nationwide Mortgage Licensing System & Registry!'. It contains a paragraph explaining the system's purpose, followed by a list of actions available by tab: FILING, TASKS, COMPOSITE VIEW, ADMIN, and REPORTS. Each tab has a brief description of its functions. A section titled 'On this page...' lists two bullet points: 'Select My Account above to change your NMLS user settings.' and 'Select Invoice above to repay failed invoices or view payment history.' Below this, there is a note about the Federal Registration page and a disclaimer. At the bottom of the page, there is a copyright notice for 2011 SRR, LLC and a privacy policy link.

FILING
Choose this tab to *complete, amend and/or submit* MU1R filings for your Institution or any Federal MLO Registration filings (Form MU4R) for MLOs associated to your Institution. You also can view a list of MLO records your Institution has access to.

TASKS
Choose this tab to *perform* an MLO Batch Upload, *view* previous MLO Batch Upload files, including information regarding their disposition, or *manage* Employment Records. Users with the Financial Admin role should choose this tab to *access and pay* outstanding Invoices for MU4R fees.

COMPOSITE VIEW
Choose this tab to *view* your Institution record or the record of any MLO associated with your Institution.

ADMIN
Choose this tab to *perform administrative functions* for your Institution's system users (e.g. resetting passwords, managing user roles and assigning system notifications).

REPORTS
Choose this tab to *request* available reports.

On this page...

- Select **My Account** above to *change* your NMLS user settings.
- Select **Invoice** above to *repay* failed invoices or *view* payment history.

Access the [Federal Registration page](#) of the NMLS Resource Center for information regarding the registration process that can assist you in using NMLS to create and maintain Institution and MLO records to meet federal registration requirements.

NOTE: NMLS is the system of record for all information contained within it. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

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Submit MU4R and Pay Fees

The screenshot shows the NMLS Federal Registry interface. At the top left is the NMLS logo and 'FEDERAL REGISTRY'. A navigation bar contains 'FILING', 'TASKS', 'COMPOSITE VIEW', 'ADMIN', 'REPORTS', and 'HOME'. Below this is a breadcrumb trail: 'MLO Batch Upload | Employment Management | MU4R Payment', with 'MU4R Payment' circled. The user is logged in as 'BinghamR' with a 'Logout' link. A left sidebar has a 'Tasks Home' link. The main content area is titled 'Tasks Home' and contains a box with the following text:

This section of NMLS allows you to submit MLO batch files, manage employment records and pay MU4R invoices. Below is a list of actions available by sub-menu. Available actions/sub-menu items vary based on user roles assigned.

- MLO Batch Upload**
Select this link above to view previously submitted MLO batch files or *submit* a new batch file.
- Employment Management**
Select this link above to confirm, reject, request correction to or terminate employment records.
- MU4R Payment**
Select this link above to submit payment for unpaid MU4R Invoices for any of your Institution's system users.

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Submit MU4R and Pay Fees

NMLS FEDERAL REGISTRY

MLO Batch Upload | Employment Management | **MU4R Payment** | FILING | TASKS | COMPOSITE VIEW | ADMIN | REPORTS | HOME

Logged in as BinghamR | Logout

Federal

Pay MU4R Fees

Unpaid MU4R Invoices

The list below displays all Institution users that have unpaid MU4R Invoices. Click the hyperlink on the User Name to access the Invoice.

User Name	Full Name	# of Filings	Oldest Unpaid Fee Dated	Total Fees
BinghamR	Ryan Bingham	3	1/30/2011	\$29.70

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Submit MU4R and Pay Fees

NMLS FEDERAL REGISTRY

MLO Batch Upload | Employment Management | **MU4R Payment** | Logged in as BinghamR | Logout

FILING | TASKS | COMPOSITE VIEW | ADMIN | REPORTS | HOME

Federal

Pay MU4R Fees


Unpaid MU4R Invoices

The list below displays all Institution users that have unpaid MU4R Invoices. Click the hyperlink on the User Name to access the Invoice.

User Name	Full Name	# of Filings	Oldest Unpaid Fee Dated	Total Fees
BinghamR	Ryan Bingham	3	1/30/2011	\$29.70

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Submit MU4R and Pay Fees



MLO Batch Upload | Employment Management | **MU4R Payment** | Logged in as BinghamR | [Logout](#)

[FILING](#) | [TASKS](#) | [COMPOSITE VIEW](#) | [ADMIN](#) | [REPORTS](#) | [HOME](#)

Federal

Pay MU4R Fees

MU4R Invoice

Invoice Amount: \$29.70
Invoice Date: 1/30/2011
Institution User: BinghamR
Oldest Unpaid Fee Dated: 1/30/2011

[Pay Invoice](#)

Individual	Subject	Charge Name	Amount	Filing ID	Processed On
Reject, One Rapp (30125)	Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$6.00	74491	1/31/2011
Reject, One Rapp (30125)	Criminal Background Check (Reject, One Rapp)	CBC Processing Fee Federal Criminal Background Check	\$3.90	74491	1/31/2011
Reject, Two (30126)	Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$6.00	74492	1/31/2011
Reject, Two (30126)	Criminal Background Check (Reject, Two)	CBC Processing Fee Federal Criminal Background Check	\$3.90	74492	1/31/2011
Terminate, One Rapp (30127)	Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$6.00	74493	1/31/2011
Terminate, One Rapp (30127)	Criminal Background Check (Terminate, One Rapp)	CBC Processing Fee Federal Criminal Background Check	\$3.90	74493	1/31/2011
Total Charges			\$29.70		

[Pay Invoice](#)

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Submit MU4R and Pay Fees



One Time Payment

PAYMENT TERMS AND CONDITIONS

Thank you for using the Nationwide Mortgage Licensing System & Registry (NMLS) for your mortgage licensing or registration needs. Licensing and registration payment services on this web site (the "Payment Site") are provided by the State Regulatory Registry LLC ("SRR"), and are subject to these payment terms and conditions (these "Payment Terms"). To the extent that you use the Payment Site, these Payment Terms supplement, and are incorporated into, the user agreement ([Applicant Agreement](#) or [Agency Agreement](#)) applicable to you for your use of NMLS. It is important that you read carefully and understand these Payment Terms. You must agree to these Payment Terms prior to using the Payment Site to make payments to register for SAFE Mortgage Loan Originator Test Components, to apply for, amend or renew a license or registration, or for subscription fees. If you do not agree to these Payment Terms, please do not click "I agree" and do not use the Payment Site to make any payments. We reserve the right at any time to change these Payment Terms. Any changes we make will be effective immediately.

No Refunds or Changes. All fees paid through NMLS are non-refundable, non-changeable and non-transferable.

Making Payments. The Payment Site is solely provided to allow you and other users to make payments to register for SAFE Mortgage Loan Originator Test Components, to apply for, amend or renew a license or registration for personal use or for use by others for whom you are legally authorized to act, or for subscription fees, and for no other purpose.

You agree that the total amount of your invoice will be charged to the credit card or bank account number that you supply to us. The total amount that you must pay to us will be disclosed to you prior to payment. You are responsible for all applicable taxes. You are responsible for any fees or charges incurred to access the Payment Site through an Internet access provider or otherwise.

Disputes.

Any disputes regarding NMLS Processing, Subscription, Test Enrollment, CBC Processing and Credit Report Processing fees must be resolved directly with the SRR Dispute Resolution Representative at Accounting@csbs.org.


Any disputes regarding State fees, including application, licensing and amendment fees, must be resolved between you and the regulatory agency(ies) that grant (s) the license(s).

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[Printable Page](#)

Submit MU4R and Pay Fees

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOMELogged in as BinghamR | Logout

One Time Payment

ALL NMLS SYSTEM PROCESSING FEES ARE NON-REFUNDABLE.

Invoice Details

Payment Amount \$: Payment Date: User Id:

Payment Type

Credit Card
 Credit Card ending with 4444 (entry saved until 01/30/2012)

Bank Account

Bank Account Information

* Indicates a mandatory field where applicable.

Account Type: *

ABA Routing #: *

Bank Account #: *

Bank Account Holder's Full Name: *

WARNING: Once you click Confirm and Submit, your payment will be submitted. You will not have another chance to confirm payment.

Important note about browser compatibility
This site is only certified for the following web browsers: Internet Explorer version 5.0 and above, plus Mozilla FireFox 3 and above.

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Submit MU4R and Pay Fees

NMLS FEDERAL REGISTRY

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

Logged in as MegaY | [Logout](#)

One Time Payment

Payment Confirmation

Thank you, your payment was successfully processed. Payment details are below. Please print a copy for your records.

Pay to the order of: **Nationwide Mortgage Licensing System & Registry**

User Id: **MegaY**

Payment Method: **.ACH**

Payment Date: **02/01/2011**


Amount: **9.90**

Your **Payment Confirmation Number** is **V18A2F6C23DE**

Print this page for your records. Your bank or credit card statement will read "Mortgage License or Registration - NMLS" for this transaction. To view full invoice details, access the [Invoice](#) option from your Home tab and enter the **Payment Confirmation Number** provided above as your search criteria.

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Submit MU4R and Pay Fees



MU1R | **MU4R** | Institution Access Logged in as BinghamR | [Logout](#)

FILING | TASKS | COMPOSITE VIEW | ADMIN | REPORTS | HOME

Federal

- Pending Filings**
- Historical Filings
- Pay MU4R Fees





Pending Filings - MU4R

Total Fees: 0.00

Below is a list of unsubmitted MU4R filings your Institution has created. Click the applicable icon under Available Actions to take action on each MLO filing. Payment is not submitted with each filing. A user with the appropriate role must submit payment.

Filtering Options

Filing id:	<input type="text"/>	Applicant Last Name:	<input type="text"/>
Individual id:	<input type="text"/>	Filing Status:	<input type="text" value="▼"/>
Created By:	<input type="text"/>	Group Name:	<input type="text"/>

Available Actions	Filing ID	Creation Date	Created By	Applicant Name	Status	Group Name
 	74494	1/27/2011 10:53:27 PM	BinghamR	Terminate, Two (30128)	Attestation Requested	Tem
 	74490	1/27/2011 10:53:26 PM	BinghamR	Confirm, Five Manual (30124)	Attestation Requested	Confirm

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Submit MU4R and Pay Fees

The institution receives payment notification.

From: NMLS_NotificationsQC@statemortgageregistry.com
To: Bradle, Yvette
Cc:
Subject: Your MU4R invoice has been successfully processed

Sent: Tue 2/1/2011 12:30 AM

Your MU4R invoice has been successfully processed.

Invoice Details:
Invoice ID: 89933
Invoice Created By: Yvette Mega corresponding to MegaY
Paid By: Yvette Mega corresponding to MegaY
Date: 2/1/2011

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Nationwide Mortgage Licensing System & Registry

MLO Completes MU4R and Pays

MLO Completes MU4R and Pays

Log in as MLO and complete Attestation

NMLS FEDERAL REGISTRY

Login

Log In

User Name:

Password:

[Forgot your password?](#) - OR - [Request an Account](#)

Logging In:

- Your user name and password were sent to you from NMLS_NotificationsTraining@statemortgageregistry.com when your user account was created.
- If you are not able to locate your user name and/or password and you are a company/institution or regulator/agency user, contact your organization's account administrator.
- If you are an individual user and need assistance, contact the NMLS Entitlement Group at (240) 386-4444.

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MLO Completes MU4R and Pays



FEDERAL REGISTRY



Login

Paperwork Reduction Act Statement

No person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. This information collection is approved under OMB Control Nos. 1557-0243 (Expiring 08/31/2012), 7100-0328 (Expiring 07/31/2013), 3064-0171 (Expiring 09/30/2012), 1550-0127 (Expiring 09/30/2012), and 3133-0181 (Expiring 2/28/2011).

agreements between the parties with respect to such subject matter.

(D) This Agreement will be construed in accordance with the laws of the Commonwealth of Virginia, without regard to its conflict of laws rules. The parties hereto agree that the jurisdiction for any claim brought under this Agreement will be the County of Arlington, Virginia. The parties hereto expressly waive any right to a jury trial.

(E) No waiver by either party of any breach or default hereunder will be deemed to be a waiver of any preceding or subsequent breach or default.

(F) If any of these terms, or the application thereof to any individual, entity or circumstance, is deemed to any extent be invalid or unenforceable, the remainder of these terms, or the application of such terms or provisions to persons or circumstances other than those as to which they are invalid or unenforceable, will not be affected thereby, and each term and provision of these terms will be valid and enforceable to the fullest extent permitted by law.

(G) Except as otherwise set forth in this Agreement, no third party will be entitled to enforce these terms against the respective parties as third-party beneficiaries thereof.

(H) The section headings used herein are for convenience only and are not be given any legal import.

(I) This Agreement will be binding upon and inure to the benefit of the parties hereto and their respective successors, legal representatives and permitted assigns. Applicant may not assign any of its rights or delegate any of its obligations under this Agreement, other than to an affiliate, without the prior written consent of SRR.

I Agree

I Do Not Agree

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MLO Completes MU4R and Pays

NMLS FEDERAL REGISTRY

Home | [My Account](#) | [Invoice](#) | [FILING](#) | [COMPOSITE VIEW](#) | [HOME](#)

Logged in as ConfirmMR | [Logout](#)

Change your Password

! You logged in with a system-generated password. You must change it before continuing.

Passwords must be between 8 and 16 characters in length, and contain characters from 3 of the following 4 categories:

- English uppercase characters (A to Z)
- English lowercase characters (a to z)
- Base 10 digits (0 to 9)
- Special characters (For example, #, \$, and ^)

Current Password:

New Password:

Confirm New Password:

[Change Password](#)

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MLO Completes MU4R and Pays

NMLS FEDERAL REGISTRY

Home | **My Account** | Invoice

Logged in as ConfirmOA | Logout

Federal

- Change Password
- Change Password Question**
- Update User Profile

Change Password Question and Answer

i You have not yet set your password question and answer, which you can use to reset your password if you forget it in the future. You must provide the question and answer before you continue.

If you forget your password and need to have it reset, you will use this password question and answer to confirm your identity.

Password:

Password Question:

Password Answer:

Change Password Question and Answer

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MLO Completes MU4R and Pays

The screenshot shows the NMLS Federal Registry website. The header includes the NMLS logo and 'FEDERAL REGISTRY'. The navigation menu has 'FILING', 'COMPOSITE VIEW', and 'HOME' tabs, with 'FILING' circled in red. Below the navigation, there are links for 'Home', 'My Account', and 'Invoice'. The user is logged in as 'ConfirmOA' and can click 'Logout'. A sidebar on the left has a 'Portal' link. The main content area is titled 'Welcome to the Nationwide Mortgage Licensing System & Registry!' and contains the following text:

The Nationwide Mortgage Licensing System & Registry (NMLS) creates a single record (MU4R) for each MLO required to submit information to obtain a Federal MLO Registration.

FILING
Choose this tab if you would like to:

- Complete your record,
- amend your record,
- attest to your record,
- grant or remove access to your record,
- submit a filing of your record, or
- terminate an employment record.

COMPOSITE VIEW
Choose this tab to view your complete record, including your Federal MLO Registration status and/or employment record.

On this page...

- Select **My Account** above to change your NMLS user settings.
- Select **Invoice** above to pay outstanding invoices or view payment history.

Access the [Federal Registration page](#) of the NMLS Resource Center for information regarding the registration process that can assist you in using NMLS to create and maintain your individual record to meet Federal MLO Registration requirements.

NOTE: An MLO record (Form MU4R) can be completed by an authorized representative from an Institution with access to your record. In all cases, you must personally attest to the accuracy of your record before it can be submitted. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

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MLO Completes MU4R and Pays

The screenshot shows the NMLS Federal Registry interface. At the top left is the NMLS logo and 'FEDERAL REGISTRY'. A navigation bar contains 'FILING', 'COMPOSITE VIEW', and 'HOME' buttons. Below this is a 'MU4R Institution Access' header with a 'Logged in as ConfirmFR | Logout' indicator. A sidebar on the left has a 'Form Filing Home' link. The main content area is titled 'Form Filing Home' and contains the following text:

This section of NMLS allows you to complete, amend and/or submit your record (Form MU4R) to comply with Federal MLO Registration requirements. Below is a list of actions available by sub-menu item.

MU4R
Select this link above to *complete or amend* your record, *submit* a filing, or *attest* to your record for a filing to be submitted on your behalf.

Institution Access
Select this link above to *grant* an Institution access to your record, *terminate* an Institution's access to your record, or *view* a list of Institutions that currently have access to your record.

PRIVACY ACT STATEMENT: The information you provide will be used to register you as a residential mortgage loan originator employed by an institution regulated by the Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, the Office of Thrift Supervision, the National Credit Union Administration or the Farm Credit Administration (the "Agencies"). In order to do this, we may disclose your information consistent with the routine uses listed in the respective Privacy Act Notices for the BGFRS, FDIC, OTS, NCUA, and FCA, including for enforcement, statutory and regulatory purposes; to another federal agency or Reserve Bank; to a Member of Congress; to the Department of Justice, a court, an adjudicative body or administrative tribunal, or a party in litigation; to Federal, state and local and professional licensing boards, to contractors, agents, and others; and where security or confidentiality has been compromised. Specified information may also be shared with members of the public, other banking regulatory agencies, the National Mortgage Licensing System and Registry call center, the Financial Institution Regulatory Authority, state credit union regulators and state mortgage regulators. We are authorized to collect your information by the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. In accordance with Executive Order 9397, the Agencies collect your Social Security Number to ensure that their records are accurate because other people may have the same name and birth date. In addition, the Agencies use your Social Security Number when we make requests for information about you from law enforcement. Furnishing the information requested is voluntary; however, your failure to provide any of the information requested may result in the Agencies' inability to register you as a residential mortgage loan originator. You may be subject to a fine or imprisonment under 18 U.S.C. § 1001 for any false statements you make in connection with any information you provide concerning a registration.

OFFICE OF THE COMPTROLLER OF THE CURRENCY: STATEMENT REGARDING SOLICITATION OF SOCIAL SECURITY ACCOUNT NUMBERS

As authorized by Executive Order 9397, the Office of the Comptroller of the Currency ("OCC") requests your Social Security Number to assist in the maintenance of accurate records in circumstances where other people may have the same names and birth dates. Your social security number may also be used by the OCC when it seeks information about you from other agencies or persons. Furnishing your social security number is voluntary. However, your failure to provide this information may result in the inability of the OCC to register you as a residential mortgage loan originator, a registration that is required under regulations implementing the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. Additionally, if the social security number or other information you provide concerning your registration is false, you may be subject to a fine or imprisonment under 18 U.S.C. § 1001.

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MLO Completes MU4R and Pays

NMLS FEDERAL REGISTRY

MU4R | Institution Access

Logged in as ConfirmFR | Logout

Pending Filings

Attestation Requested Filings
All unsubmitted MU4R filings initiated by an Institution with access to your record are listed below. Before the Institution can submit your MU4R filing, you must review it for accuracy and attest to the information contained within. Select to view, edit, and attest to a filing for which the status is "Attestation Requested". Filings displaying an "Attested" or "Unsubmitted" status require no action by you.

There are no filings requiring attestation.


My MU4R Filings
If you have an MU4R filing created by you or your employer that has not been submitted, it is listed below. You may have only one pending filing at a time. Click to complete and submit the filing. Select to delete a pending filing without submitting the information to NMLS. Click **Create New Filing** to create your first MU4R filing or make amendments to a previously submitted MU4R if no pending filings are listed below.

NOTE: Each time you submit a filing it is stored as your current record and will be the source of information for the next filing. *Please consult your employer before completing and submitting any information to NMLS.*

Available Actions	Filing ID	Creation Date	Created By	Applicant Name	Status
<input checked="" type="checkbox"/>	74489	1/27/2011 10:53:26 PM	BinghamR	Confirm, Four Rapp (30123)	Unsubmitted

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MLO Completes MU4R and Pays

MU4R | Institution AccessFILING COMPOSITE VIEW HOMELogged in as ConfirmFR | Logout

Federal

Identifying Information

- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Identifying Information

Four Rapp Confirm (30123) MU4R filing created 1/27/2011 by BinghamR.

Total Charges: \$6.00

Individual's identifying information:

Full Name: Four Rapp Confirm

Gender: Female Male

State of Birth: Montana

Country / Province of Birth: United States

Business phone, home phone, cell phone, fax and email:

Business Phone: 555-555-5555 999-999-9999/99999

Home Phone: 555-555-5555 999-999-9999/99999

Cell Phone: 999-999-9999/99999

Fax Line: 999-999-9999

Email Address: yvette.bradle@finra.org

Do you want to amend your legal name?

Check here to signify a name change:

First Name:

Full Middle Name:

Last Name:

Suffix:

Save

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Next

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Alternate Work Flow - 9

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The screenshot shows the NMLS Federal Registry interface. At the top left is the NMLS logo and 'FEDERAL REGISTRY'. The top right has navigation buttons for 'FILING', 'COMPOSITE VIEW', and 'HOME', along with the text 'Logged in as ConfirmFR | Logout'. Below the header, there's a 'Federal' dropdown menu and a sidebar with navigation options: 'Identifying Information', 'Other Names' (highlighted), 'Employment Records', 'Current Residence', 'Disclosure Questions', 'Criminal Background Check', and 'Completeness Check and Submit'. The main content area is titled 'Other Names' and displays a filing record: 'Four Rapp Confirm (30123) MU4R filing created 1/27/2011 by BinghamR.' with a 'Total Charges: \$6.00' box. Below this, instructions state: 'Other than your legal name, list all name(s) you are using or have used since the age of 18. Examples include nicknames, aliases, and names used before or after marriage.' A red text entry reads 'No Record found.' and there is an 'Add' button. Navigation arrows for 'Previous' and 'Next' are visible. At the bottom, there is a copyright notice: '©2011 SRR | All Rights Reserved | Privacy Policy' and 'SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 388-4444.'

MLO Completes MU4R and Pays

NMLS FEDERAL REGISTRY

MU4R | Institution Access Logged in as ConfirmFR | Logout

FILING | COMPOSITE VIEW | HOME

Employment Records

Four Rapp Confirm (30123) MU4R filing created 1/27/2011 by BinghamR. Total Charges: \$6.00

You must provide information for any financial services-related employment you have held over the past ten years. To provide information regarding a current job, click **Add Current**. To provide information regarding a previous job, click **Add Prior**.

Pending Employment Actions

Current employment records associated with an Institution in NMLS with pending actions are listed below. Click to edit or delete the record or click to undo the pending action.

From	To	Employer Name	Employer NMLS ID	Status	Work Location	Pending Action
	Present	Road Warrior Mortgage Company	29706			Add

Employment History


Employment records created previously or that are not associated with an Institution in NMLS are listed below. Click to edit, delete, withdraw or terminate the record, as applicable. Click to view a record not available for editing.

There are no existing employment records.

[Previous](#) [Next](#)

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MLO Completes MU4R and Pays



FILING COMPOSITE VIEW HOME

MU4R | Institution Access Logged in as ConfirmFR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records**
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Employment Record

Four Rapp Confirm (30123) MU4R filing created 1/27/2011 by BinghamR. Total Charges: \$6.00

If you are creating a new employment record, access to your NMLS record will be granted to the employer listed below upon submission. Once access is granted, your employer will be able to view your criminal background check results.

Employer NMLS ID: 29706

Employer (company name):

From:
Start Date (MM/DD/YYYY)

Is this your current employer?

Status:

Work Location

Address:

City:

State:


Country / Province:

Postal Code:

Is the employment financial services-related? Yes No

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FILING | COMPOSITE VIEW | HOMELogged in as ConfirmFR | Logout

MU4R | Institution Access

Federal

- Identifying Information
- Other Names
- Employment Records**
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Employment Record

Four Rapp Confirm (30123) MU4R filing created 1/27/2011 by BinghamR. Total Charges: \$6.00

If you are creating a new employment record, access to your NMLS record will be granted to the employer listed below upon submission. Once access is granted, your employer will be able to view your criminal background check results.

Employer NMLS ID: 29706

Employer (company name):

From:
Start Date (MM/DD/YYYY)

Is this your current employer?

Status:

Work Location

Address:

City:

State:



Country / Province:

Postal Code:

Is the employment financial services-related? Yes No

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MLO Completes MU4R and Pays

FILING COMPOSITE VIEW HOME

MU4R | Institution Access Logged in as ConfirmFR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence**
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit

Current Residence

Four Rapp Confirm (30123) MU4R filing created 1/27/2011 by BinghamR. Total Charges: \$6.00

Provide the street address for your current primary residence below.

Street Address:

City:

State:

Country / Province:

Postal Code:

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MLO Completes MU4R and Pays

MU4R | Institution AccessFILING COMPOSITE VIEW HOMELogged in as ConfirmFR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions**
- Criminal Background Check
- Completeness Check and Submit

Disclosure Questions

Four Rapp Confirm (30123) MU4R filing created 1/27/2011 by BinghamR.

Total Charges: \$6.00

Remember to file updates to these disclosures as required.

Criminal Disclosure

(A) Have you ever been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any criminal offense involving dishonesty, breach of trust, or money laundering or agreed to enter into a pretrial diversion or similar program in connection with the prosecution of such offense(s)? Yes No

(B) Based upon the activities that occurred while you exercised control over an organization, has any such organization ever been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any criminal offense involving dishonesty, breach of trust, or money laundering or agreed to enter into a pretrial diversion or similar program in connection with the prosecution of such offense(s)? Yes No

Civil Judicial Disclosure

(D) Has any domestic or foreign court ever:

(1) enjoined you, or taken other action against you, in connection with any financial services-related activity? Yes No

(2) found that you were involved in a violation of any financial services-related statute(s) or regulation(s)? Yes No

(3) dismissed, pursuant to a settlement agreement, a financial services-related civil action brought against you by a State, federal, or foreign financial regulatory authority? Yes No

Regulatory Action

(D) Has any State or federal regulatory agency or foreign financial regulatory authority ever:

(1) found you to have made a false statement or omission or been dishonest, unfair or unethical? Yes No

(2) found you to have been involved in a violation of a financial services-related business regulation(s) or statute(s)? Yes No

(3) found you to have been a cause of a financial services-related business having its authorization to do business denied, suspended, revoked or restricted? Yes No

(4) entered an order against you in connection with a financial services-related activity? Yes No

(5) denied, suspended or revoked your registration or license to engage in a financial services-related activity, disciplined you, or otherwise by order, prevented you from associating with a financial services-related business or restricted your activities? Yes No

(6) barred you from association with an entity or its officers regulated by such agency or foreign financial regulatory authority or from engaging in a financial services-related business? Yes No

(7) issued a final order against you based on violations of any law or regulations that prohibit fraudulent, manipulative, or deceptive conduct? Yes No

(E) Have you ever had an authorization to act as an attorney, accountant, or State or federal contractor that was revoked or suspended? Yes No

Customer Arbitration/Civil Litigation Disclosure

(F) Have you ever been named as a respondent/defendant in a financial services-related consumer-initiated arbitration or civil litigation which:

(1) resulted in an arbitration award or civil judgment against you, regardless of amount, or that required corrective action; or Yes No

(2) was settled for any amount? Yes No


Save

◀ Previous

Next ▶

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MLO Completes MU4R and Pays



FILING COMPOSITE VIEW HOME

MU4R | Institution Access Logged in as ConfirmFR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check**
- Completeness Check and Submit

Criminal Background Check

Four Rapp Confirm (30123) MU4R filing created 1/27/2011 by BinghamR. Total Charges: \$6.00

To request a federal criminal background check with this filing, you must mark the checkbox requesting a background check, confirm the method and provide demographic information below. If a previous request is still pending, a criminal background check cannot be requested. You can access [Composite View](#) to view the status of previous requests.

I am requesting a Federal Criminal Background Check.

Confirm background check method:

Submit New Prints

Demographics

The FBI requires the following information to be provided:

Eye color: Hair color:


Height: ft in Weight: lbs

Race:

Date of Birth is included with the demographic information provided to the FBI and can be verified against your record in [Composite View](#).

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MLO Completes MU4R and Pays

FILING COMPOSITE VIEW HOMEMU4R | Institution AccessLogged in as ConfirmFR | Logout

Federal

- Identifying Information
- Other Names
- Employment Records
- Current Residence
- Disclosure Questions
- Criminal Background Check
- Completeness Check and Submit**

Completeness Check

Four Rapp Confirm (30123) MU4R filing created 1/27/2011 by BinghamR.

WARNING: You should not submit any information through NMLS until you receive authorization from your employer to make the submission. Any Institution identified as a new current employer in this filing will be granted access to your NMLS record, including criminal background check results, upon submission of this filing.

All completeness checks pass for this filing.

Subject	Fee Type	Amount
Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$6.00
Criminal Background Check (Confirm, Four Rapp)	CBC Processing Fee Federal Criminal Background Check	\$3.90
Total Charges		\$9.90

I, **Four Rapp Confirm** (Registrant), on this date **Monday, January 31, 2011**, certify that:

- (1) The information and statements contained herein, whether submitted by me or by a Federal agency-regulated institution on my behalf, are current, true, accurate and complete as of **Monday, January 31, 2011**.
- (2) To the extent any information previously submitted is not amended, such information and statements remain current, true, accurate and complete as of **Monday, January 31, 2011**.
- (3) I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal Agency-regulated institution.
- (4) The Registry and my employing Federal agency-regulated financial institution(s) are authorized to obtain information related to sanctions or findings in any administrative, civil or criminal action, to which I am a party, made by any governmental jurisdiction.
- (5) I will keep the information contained in this form current and will file accurate supplementary information on a timely basis, pursuant to applicable law; and
- (6) The Registry may make available to the public [information submitted on this filing](#) pursuant to Federal regulations implementing the S.A.F.E. Act.

I certify that I am the named person above and that I agree to the above.

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NMLS FEDERAL REGISTRY

FILED | COMPOSITE VIEW | HOME

Logged in as ConfirmPR | Logout

One Time Payment

PAYMENT TERMS AND CONDITIONS

Thank you for using the Nationwide Mortgage Licensing System & Registry (NMLS) for your mortgage licensing or registration needs. Licensing and registration payment services on this web site (the "Payment Site") are provided by the State Regulatory Registry LLC ("SRR"), and are subject to these payment terms and conditions (these "Payment Terms"). To the extent that you use the Payment Site, these Payment Terms supplement, and are incorporated into, the user agreement ([Application Agreement](#) or [Agency Agreement](#)) applicable to you for your use of NMLS. It is important that you read carefully and understand these Payment Terms. You must agree to these Payment Terms prior to using the Payment Site to make payments to register for SAFE Mortgage Loan Originator Test Components, to apply for, amend or renew a license or registration, or for subscription fees. If you do not agree to these Payment Terms, please do not click "I agree" and do not use the Payment Site to make any payments. We reserve the right at any time to change these Payment Terms. Any changes we make will be effective immediately.

No Refunds or Changes. All fees paid through NMLS are non-refundable, non-changeable and non-transferable.

Making Payments. The Payment Site is solely provided to allow you and other users to make payments to register for SAFE Mortgage Loan Originator Test Components, to apply for, amend or renew a license or registration for personal use or for use by others for whom you are legally authorized to act, or for subscription fees, and for no other purpose.

You agree that the total amount of your invoice will be charged to the credit card or bank account number that you supply to us. The total amount that you must pay to us will be disclosed to you prior to payment. You are responsible for all applicable taxes. You are responsible for any fees or charges incurred to access the Payment Site through an Internet access provider or otherwise.

Disputes.

Any disputes regarding NMLS Processing, Subscription, Test Enrollment, CBC Processing and Credit Report Processing fees must be resolved directly with the SRR Dispute Resolution Representative at Accounting@csbs.org.

Any disputes regarding State fees, including application, licensing and amendment fees, must be resolved between you and the regulatory agency(ies) that grant (s) the license(s).


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[Printable Page](#) | |

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MLO Completes MU4R and Pays

FILING COMPOSITE VIEW HOMELogged in as ConfirmFR | Logout

One Time Payment

ALL NMLS SYSTEM PROCESSING FEES ARE NON-REFUNDABLE.

Invoice Details

Payment Amount \$: Payment Date: User Id:

Payment Type

Credit Card
 Bank Account

Credit Card Information

* Indicates a mandatory field where applicable.

Credit Card Type: *
Credit Card Number: *
Credit Card Expiration: Month: Year: *
Card Security ID Number: * [What's This?](#)
Name on Credit Card: *
Billing Address: *

City: *
State: *
Zip Code: *

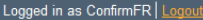


Save this payment information for future payments (note: information is saved for 12 months only)

WARNING: Once you click Confirm and Submit, your payment will be submitted. You will not have another chance to confirm payment.

Important note about browser compatibility
This site is only certified for the following web browsers: Internet Explorer version 5.0 and above, plus Mozilla FireFox 3 and above.

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MLO Completes MU4R and Pays



One Time Payment

Payment Confirmation

Thank you, your payment was successfully processed. Payment details are below. Please print a copy for your records.

Pay to the order of: **Nationwide Mortgage Licensing System & Registry**

User Id: **ConfirmFR**

Payment Method: **Credit Card**

Payment Date: **01/31/2011**

Amount: **9.90**

Your **Payment Confirmation Number** is **V75A2E2B7995**

Print this page for your records. Your bank or credit card statement will read "Mortgage License or Registration - NMLS" for this transaction. To view full invoice details, access the [Invoice](#) option from your Home tab and enter the **Payment Confirmation Number** provided above as your search criteria.

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MLO Completes MU4R and Pays

The screenshot shows the NMLS Federal Registry interface. At the top left is the NMLS logo and the text 'FEDERAL REGISTRY'. On the right side of the header, there are navigation buttons for 'FILING', 'COMPOSITE VIEW', and 'HOME'. Below the header, a dark blue bar indicates the user is 'Logged in as ConfirmT' with a 'Logout' link. On the left side, there is a dropdown menu currently set to 'Federal'. The main content area is titled 'Filing Submission' and contains a confirmation message: 'Thank you for your submission. Your filing is being processed. WAIT on this page to access your Criminal Background Check Certificate if you requested a Criminal Background Check with this filing.' At the bottom of the page, there is a copyright notice: '©2011 SRR | All Rights Reserved | [Privacy Policy](#) SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 380-4444.'

MLO Completes MU4R and Pays

The screenshot displays the NMLS Federal Registry interface. At the top left is the NMLS logo and the text 'FEDERAL REGISTRY'. On the right, there are navigation tabs for 'FILING', 'COMPOSITE VIEW', and 'HOME'. Below these, it says 'Logged in as ConfirmT' with a 'Logout' link. A dropdown menu on the left shows 'Federal'. The main content area is titled 'Filing Submission' and contains a message box with the text: 'Processing is complete.' followed by two links: 'View [Criminal Background Check Certificate](#).' and 'View [Invoice](#).'. The 'Invoice' link is circled in red. At the bottom of the message box, there is a copyright notice: '©2011 SRR | All Rights Reserved | [Privacy Policy](#). SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 388-4444.'

MLO Completes MU4R and Pays

NMLS FEDERAL REGISTRY

Home | My Account | **Invoice** | FILING | COMPOSITE VIEW | HOME

Logged in as ConfirmT | Logout

Federal

Find Invoice
View Invoice

Invoice

User Name: ConfirmT
Invoice Id: 41576
Invoice Source: Filing
Invoice Amount: \$9.90
Invoice Date: 1/31/2011
Invoice Status: Paid
Invoice Status Date: 1/31/2011
Filing Id: 74488

Charges

Entity	Subject	Charge Name	Amount
Confirm, Three (30122)	Criminal Background Check (Confirm, Three)	CBC Processing Fee Federal Criminal Background Check	\$3.90
Confirm, Three (30122)	Federal MLO Registration	NMLS Processing Fee Federal MLO Registration	\$6.00

Payment

Confirmation Number	Payment Method	Paid By	Acceptance Date	Processed On	Status	Status Date
V18A2F6322A0	Credit Card	ConfirmT	1/31/2011 12:46:10 AM		Cleared	1/31/2011

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MLO Completes MU4R and Pays

NMLS FEDERAL REGISTRY

FILING COMPOSITE VIEW HOME

View Individual

Logged in as ConfirmT | Logout

Federal

View Home

View - Home

VIEWING INDIVIDUAL RECORDS

This section allows you to view your own record, including historical filings, the status of your federal registration(s), and information regarding employment records linked to Institutions in NMLS.

To view your record, select [View Individual](#) above.

To amend your record, select the **FILING** tab above.

You are fully accountable for information contained in your record in NMLS each time you attest to a filing.

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MLO Completes MU4R and Pays

NMLS FEDERAL REGISTRY

FILING COMPOSITE VIEW HOME

View Individual

Logged in as ConfirmT Logout

Federal

View Individual Information

- View Personal Information
- View NMLS MLO Registration List
- View Employment Records
- View Historical Filings
- View Criminal Background Check Requests**
- View Individual Snapshot

View Individual Information

Three Confirm (30122)

Name: Three Confirm
Social Security Number: xxx-xx-4234
Individual ID: 30122
Business Phone Number: 555-555-5555
Email Address: yvette.bradle@finra.org

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MLO Completes MU4R and Pays

The screenshot shows the NMLS Federal Registry interface. The top navigation bar includes 'FILING', 'COMPOSITE VIEW', and 'HOME'. The user is logged in as 'ConfirmT' and can click 'Logout'. The main content area is titled 'View Criminal Background Check Requests' and shows 'Three Confirm (30122)'. A notification banner states: '1 CRIMINAL_BACKGROUND_CHECK_CERTIFICATE A criminal background check request is pending.' Below this is a table of 'Criminal Background Check Requests' with one entry: 'Pending Fingerprint' with a status of 'Pending Fingerprint', a reason closed of '1/31/2011', and a method of 'Fingerprint'. The footer contains copyright information for SRR, LLC.

FEDERAL REGISTRY

View Individual

Logged in as ConfirmT | Logout

View Individual

View Personal Information

View NMLS MLO Registration List

View Employment Records

View Historical Filings

View Criminal Background Check Requests

View Individual Snapshot

Three Confirm (30122)

1 CRIMINAL_BACKGROUND_CHECK_CERTIFICATE
A criminal background check request is pending.

Criminal Background Check Requests

Status	Reason Closed	Status Date	Method	Fingerprints Taken Date
Pending Fingerprint		1/31/2011	Fingerprint	

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MLO Completes MU4R and Pays



Criminal Background Check Certificate

Full Legal Name: Three Confirm

NMLS ID: 30122

PIN: 3313

Expiration Date: 07/29/2011

You are required to have your fingerprints taken by an authorized NMLS Fingerprint Vendor. To schedule an appointment, you must provide the full legal name, NMLS ID and PIN reflected on this certificate. [SCHEDULE YOUR APPOINTMENT NOW.](#)

Criminal background check fees are non-transferrable and non-refundable. If you fail to submit fingerprints prior to the expiration date, you must request and pay for a new criminal background check. For more information on the fingerprinting process, access the [NMLS Resource Center](#).
