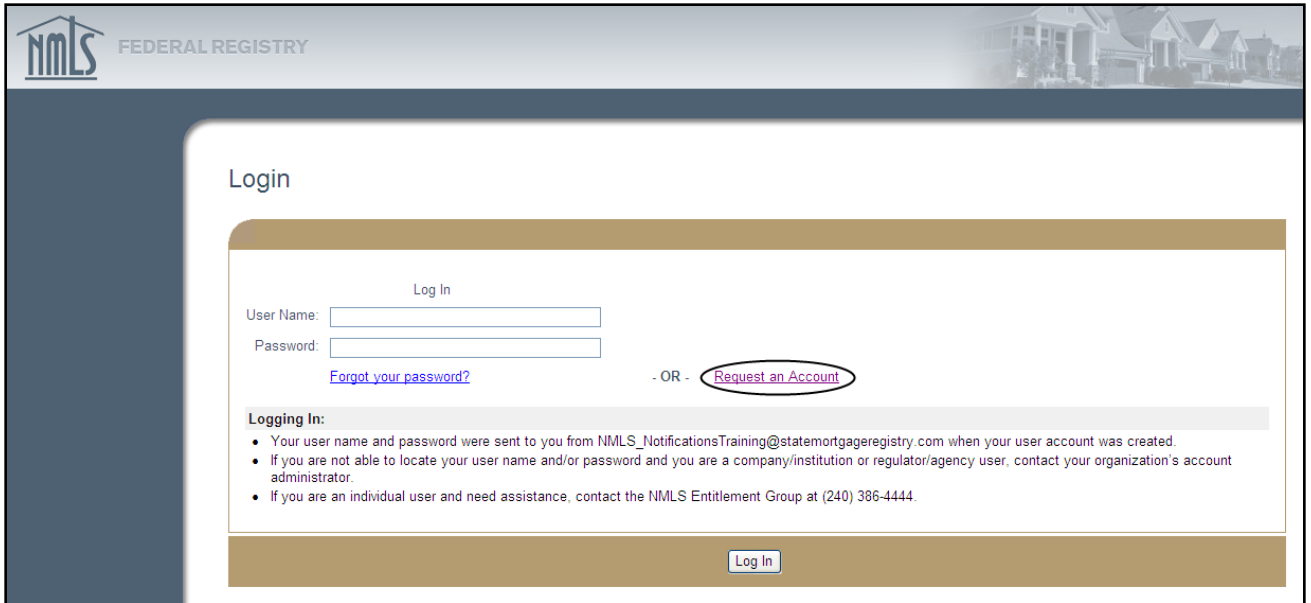




# Nationwide Mortgage Licensing System & Registry

## Entitlement

# Entitlement



**NMLS** FEDERAL REGISTRY

## Login

Log In

User Name:

Password:

[Forgot your password?](#) - OR - [Request an Account](#)

**Logging In:**

- Your user name and password were sent to you from NMLS\_NotificationsTraining@statemortgageregistry.com when your user account was created.
- If you are not able to locate your user name and/or password and you are a company/institution or regulator/agency user, contact your organization's account administrator.
- If you are an individual user and need assistance, contact the NMLS Entitlement Group at (240) 386-4444.

Log In


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 **FEDERAL REGISTRY**



## Request an Account

**Welcome to NMLS**

Please select the type of account you would like to request:

Individual

Company / Institution

**Helpful Hints:**

- Company/Institution and regulator/agency user accounts are established by the organization's account administrator.
- If you are an individual who needs to perform actions related to MU2, MU4 and/or MU4R filings, you must select Individual above.

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Section 1-3



FEDERAL REGISTRY



## Company Account Request Form

This form should be used by any company seeking access to the Nationwide Mortgage Licensing System & Registry (NMLS) to create a company record in NMLS to apply for or maintain a state license and/or to register mortgage loan originators. **Note:** This form should be used by state regulated mortgage companies and/or federal agency-regulated institutions (hereafter referred to as "company") to request an NMLS record for the first time. For a change in a company account or Account Administrator please call the NMLS Call Center at (240) 386-4444.

If your company: (i) has an Account Administrator user with a username and password to access NMLS; (ii) has been issued an NMLS Unique ID; (iii) has submitted an MU1 or MU1R filing on NMLS; and/or (iv) has an approved license/registration in NMLS, you should answer "yes" to the question below. If this is your company's first interaction with NMLS, please answer "no" to the question below.

If you are unsure if you have a record, you may look up your company on [NMLS Consumer Access](#) or contact the NMLS Entitlement Group at (240) 386-4444.

Does your company currently have a record in the Nationwide Mortgage Licensing System & Registry (NMLS)? ☐ Yes ☒ No

Next



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 FEDERAL REGISTRY

## Company Account Request Form

Enter the characters as they appear in the image below. This step helps prevent unauthorized use of NMLS by automated programs.





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 FEDERAL REGISTRY

## Company Account Request Form

If your company plans to seek licensure or registration with a *state regulatory agency* and/or manage mortgage loan originator licenses with a state regulatory agency, **select State: Non-Depository below.**

If your company is supervised by a federal regulatory agency (i.e. OCC, OTS, FDIC, FRB, NCUA or FCA.) **AND** is required to [register mortgage loan originators](#), **select Federal below.**

Make one or more selections below based on your company's planned activities.

☐ State: Non-Depository

☒ Federal

[Previous](#) [Next](#) [Cancel](#)

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## Company Account Request Form

Before continuing with the form, please read [Completing the Company Account Request Form](#).

Provide the information requested below and click **Next**.

### Company Information

Company Full Legal Name:

IRS Employer Identification Number:  99-9999999 or 999-99-9999

Sole Proprietors using their Social Security Number should enter it here. See [Instructions](#) for details.

Email:

Phone:  999-999-9999x99999

Fax:  999-999-9999

### Company Headquarters Location

Street Address:   
(PO Boxes not allowed)

Street Address:

City:

State:

Country/Province:

Postal Code:  99999 or 99999-9999



## Company Account Request Form

Please enter the contact information for the person who can answer questions regarding the NMLS record. Questions regarding this request will be directed to the Submitter. For further instructions, please read [Completing the Company Account Request Form](#).

### Contact Employee Information

First Name:   
Middle Name:   
Last Name:   
Suffix (Jr., Sr., etc):   
Title:   
Email:   
Confirm Email:   
Phone:  999-999-9999  
Fax:  999-999-9999

[Previous](#) [Next](#) [Cancel](#)

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## Company Account Request Form

The information below is required by the Federal Agencies.

If regulated by more than one federal agency, please select the federal agency that is primarily responsible for regulating your institution. If you answer yes to "Are you a subsidiary of a depository institution or a Farm Credit System institution?", provide the RSSD ID number for your parent depository institution or Farm Credit System institution in the "Parent RSSD ID" field. For further instructions on how to complete this section, please read [Completing the Company Account Request Form](#).

If your company does not have an RSSD ID number, please [contact your primary federal regulator](#). A company is not eligible for an NMLS record with a federal agency association if it does not have an RSSD ID number. If you cannot obtain an RSSD ID number, Please return to the [first page of the Company Account Request Form](#) and de-select the Federal checkbox.

### Information for Federal Agency-Regulated Institutions

RSSD ID:

Primary Federal Agency:

Are you a subsidiary of a depository institution or a Farm Credit System institution? ☐ Yes ☒ No

Parent RSSD ID:

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## Company Account Request Form

The Account Administrators identified below will be issued login credentials to the record reserved for your company and will have full rights to perform all activities with the record, including submitting to state and/or federal regulators. For information regarding Account Administrator selection, including which individuals are authorized to act as Account Administrators, please read [Choosing Your Account Administrators](#).

Account Administrators can establish additional users for your company record and control their access. If you wish to establish additional Account Administrators, please contact the NMLS Entitlement Group at (240) 386-4444 to see if you are eligible.

### Account Administrator #1

Company Contact Information

First Name:   
 Middle Name:   
 Last Name:   
 Suffix (Jr., Sr., etc):   
 Title:   
 Email:   
 Confirm Email:   
 Phone:

### Account Administrator #2

First Name:   
 Middle Name:   
 Last Name:   
 Suffix (Jr., Sr., etc):   
 Title:   
 Email:   
 Confirm Email:   
 Phone:

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 **FEDERAL REGISTRY**



## Company Account Request Form

**Submitter**

I am authorized to submit this request on behalf of the company and confirm the information above is true and accurate and that individuals designated as Contact Employee and/or Account Administrator are duly authorized to act in such capacity to the best of my knowledge. I am requesting that a base record be established on the Nationwide Mortgage Licensing System & Registry (NMLS) and the Account Administrator(s) identified in this form be issued credentials with full rights to represent the company in using NMLS.

Request Submitted By:

Title:

Email:

Confirm Email:

Phone:

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## Company Account Request Form

The information you provided on the previous screens is listed below. Click **Previous** to correct any inaccurate information. Once the information provided is complete and accurate, click **Submit**.

### Company Information

Company Full Legal Name: Road Warrior Mortgage Company  
 IRS Employer Identification Number: 99-4688369  
 Email: sarah.grimm@fnra.org  
 Phone: 240-386-4444  
 Fax:

### Company Headquarters Location

Street Address: 123 Main Street  
 Street Address:  
 City: Rockville  
 State: Maryland  
 Country/Province: United States  
 Postal Code: 20850

### Contact Employee Information

First Name: Ryan  
 Middle Name:  
 Last Name: Bingham  
 Suffix (Jr., Sr., etc):  
 Title: Road Warrior  
 Email: sarah.grimm@fnra.org  
 Phone: 240-386-4444  
 Fax:

### Information for Federal Agency-Regulated Institutions

RSSD ID: 5963447  
 Primary Federal Agency: Federal Deposit Insurance Corporation  
 Are you a subsidiary of a depository institution, filio  
 or a Farm Credit System institution?  
 Parent RSSD ID:

### Account Administrator(s)



First Name: Ryan  
 Middle Name:  
 Last Name: Bingham  
 Suffix (Jr., Sr., etc):  
 Title: Road Warrior  
 Email: sarah.grimm@fnra.org  
 Phone: 240-386-4444

First Name: Natalie  
 Middle Name:  
 Last Name: Keener  
 Suffix (Jr., Sr., etc):  
 Title: Road Warrior  
 Email: sarah.grimm@fnra.org  
 Phone: 240-386-4444

### Submitter

Request Submitted By: Ryan Bingham  
 Title: Road Warrior  
 Email: sarah.grimm@fnra.org  
 Phone: 240-386-4444

Previous **Submit** Cancel

FEDERAL REGISTRY

## Company Account Request Form

Thank you for submitting your request. Your request will be processed within the next 2 to 3 business days.

Go to the [NMLS Resource Center](#) for more information about using NMLS to manage licenses and registrations with state and/or federal regulators.

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# Nationwide Mortgage Licensing System & Registry

## Initial Login and Two Factor Authentication

# Initial Login and Two Factor Authentication


 Identity Protection Center




Home | [Help and Support](#) | [Feedback](#)

Home | [Learn More](#) | [Where to Use](#)

## VeriSign Identity Protection

Get VeriSign Identity Protection (VIP) to help protect your online accounts and transactions. The VIP credential provides a dynamic security code that you can use in addition to your user name and password for safe and secure account access.

Enabled by:  





[Get VIP Access for Mobile](#) | [Get VIP Access Toolbar](#) | [Get a VIP Security Credential](#)

### Protect Your Online Accounts



You can select the VIP credential that best fits your needs!

[Get VeriSign Identity Protection](#)  
[What is VIP?](#)

#### Featured Partners




#### Where to Use

[See all VIP member sites](#)  
 

#### Credential Management

- [Check Order Status](#)
- [Reset Credential](#)

Legal Notices | Privacy | Repository | © 1995 - 2010 Symantec Corporation



# Initial Login and Two Factor Authentication

THIS E-MAIL CONTAINS IMPORTANT INFORMATION PERTAINING TO YOUR ABILITY TO ACCESS THE NATIONWIDE MORTGAGE LICENSING SYSTEM & REGISTRY - DO NOT LOSE THIS MESSAGE!

Your Username associated with Road Warrior Mortgage Company (29706) is BinghamR  
Your password has been sent in a separate email.

You have the following role(s):

Perform Account Admin Functions, Perform Company Account Admin Functions, Manage Notification Contacts, Create and Submit MU1R Filings, Create and submit MU4R filings, Pay MU4R Fees, View MLO Record Access, Perform MLO Batch Upload, Manage Employment Records, Pay MU4R Fees, View individual composite, View Institution Composite, View Confidential Information, View Criminal Background Check Result Status, View RAP Sheet, Financial Admin, Manage Federal Reports

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. If the reader of this communication is not the intended recipient (or the employee or agent responsible for delivering to the intended recipient), you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please disregard and delete this communication, and do not disseminate or retain any copy of this communication.

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# Initial Login and Two Factor Authentication

THIS E-MAIL CONTAINS IMPORTANT INFORMATION PERTAINING TO YOUR ABILITY TO ACCESS THE NATIONWIDE MORTGAGE LICENSING SYSTEM & REGISTRY - DO NOT LOSE THIS MESSAGE!

The temporary password for your account associated with Road Warrior Mortgage Company (29706) is V-2Qz}\*Tn!

Your Username has been sent in a separate email.

Log into the Nationwide Mortgage Licensing System & Registry (NMLS) by clicking the 'Log into NMLS' button in the upper right corner of the [NMLS Resource Center](#) and change your password now.

Your new password must meet the following criteria:

- 1) A password must be between 8 and 16 characters in length.
- 2) A password can not start with more than 3 characters from the beginning of the user name.
- 3) A password must contain characters from three of the four following categories:

- English uppercase characters (A to Z)
- English lowercase characters (a to z)
- Base 10 digits (0 to 9)
- Special characters (For example, #, \$, and ^)

Tips on copying and pasting the system-generated temporary password:

Due to the cryptic nature of the system-generated password, it may be easier for you to copy and paste the password from this e-mail into the password field on the NMLS login page. To copy and paste the password from this e-mail:

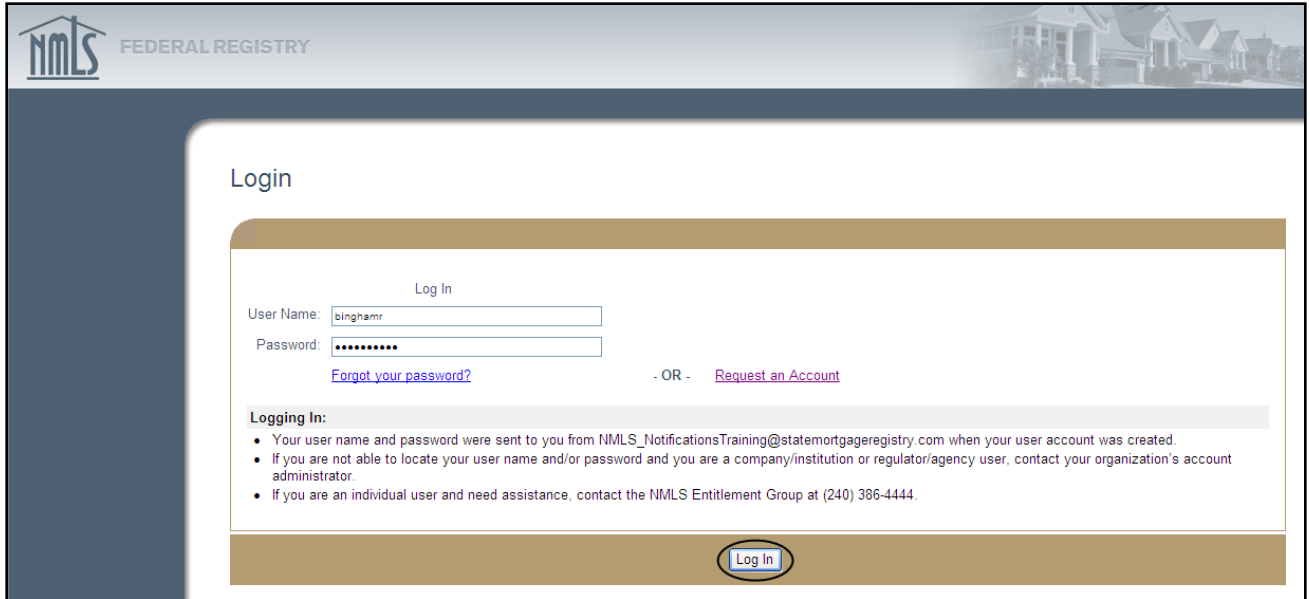
- (1) highlight the temporary password with your mouse (be sure to include ONLY the characters in the password and NOT the blank spaces that precede or follow the password);
- (2) with the password highlighted, press both the Ctrl key and letter 'C' to copy the password;
- (3) position your cursor in the password field in the NMLS login screen and press both the Ctrl key and letter 'V' to paste the password into the password field.

You will need to again paste (press Ctrl key and V) this password into the Password Change screen after logging into NMLS.

Note: these instructions work for PC users only.

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. If the reader of this communication is not the intended recipient (or the employee or agent responsible for delivering to the intended recipient), you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please disregard and delete this communication, and do not disseminate or retain any copy of this communication.

# Initial Login and Two Factor Authentication



NMLS FEDERAL REGISTRY

## Login

Log In

User Name:

Password:

[Forgot your password?](#) - OR - [Request an Account](#)

**Logging In:**

- Your user name and password were sent to you from NMLS\_NotificationsTraining@statemortgageregistry.com when your user account was created.
- If you are not able to locate your user name and/or password and you are a company/institution or regulator/agency user, contact your organization's account administrator.
- If you are an individual user and need assistance, contact the NMLS Entitlement Group at (240) 386-4444.

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# Initial Login and Two Factor Authentication

**FEDERAL REGISTRY**

Login

**Paperwork Reduction Act Statement**

No person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. This information collection is approved under OMB Control Nos. 1557-0243 (Expiring 08/31/2012), 7100-0328 (Expiring 07/31/2013), 3064-0171 (Expiring 09/30/2012), 1550-0127 (Expiring 09/30/2012), and 3133-0181 (Expiring 2/28/2011).

**Industry Terms of Use**

**1. Agreement**

This Applicant Agreement ("Agreement") constitutes an agreement between You and State Regulatory Registry LLC ("SRR") and governs Your use of this website and the various on-line software applications, materials and services provided by SRR, affiliates of SRR and third parties in connection therewith (referred to as the "Nationwide Mortgage Licensing System and Registry," "NMLS" or the "System"). You must obtain a username and password and establish an account ("Your Account" or "Applicant's Account") in order to access the System. You are responsible for all access to and use of the System under Your username and password.

**2. Definitions**

The following terms have the meanings that follow when used in this Agreement:

The terms "Applicant," "You" and "Your" as used in this Agreement mean you as an individual as well as any corporate or other legal entity on whose behalf you are acting.

The term "Applicant Data" as used in this Agreement means (i) the information submitted by Applicants or third parties in connection with an Application or in connection with the renewal or maintenance of a Mortgage License or a Registration, (ii) the information submitted by a depository institution that employs

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# Initial Login and Two Factor Authentication

FEDERAL REGISTRY

Register VIP Credential with NMLS


**If you need a VeriSign Identity Protection (VIP) Credential:**

Whether you need to get your first Credential or you are replacing one that has been lost or stolen, visit the [VeriSign Identity Protection \(VIP\) website](#) to choose the Credential that is right for you.

**If you already have a VIP Credential:**

You must pay an Annual Subscription Fee prior to registering your Credential with NMLS and annually thereafter. Click **Pay Fee** to submit payment. If you just submitted payment for your subscription fee, it may take a few minutes before your payment is processed. Once your payment has been processed, you will need to refresh this page (using the browser refresh option) for the Credential Registration option to become available.

**Pay Fee**

 **VeriSign  
Identity  
Protection**

Cancel

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# Initial Login and Two Factor Authentication

**FEDERAL REGISTRY**

Invoice

User Name: BinghamR  
Invoice Id: 40562  
Invoice Source: CredentialSubscription  
Invoice Amount: \$55.00  
Invoice Date: 1/21/2011  
Invoice Status: Unpaid  
Invoice Status Date: 1/21/2011

**Charges**

Entity	Subject	Charge Name	Amount
Road Warrior Mortgage Company (29706)	Credential Subscription (Ryan Bingham)	Subscription Fee	\$55.00

**Payment**

No payment information.

Pay Invoice

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# Initial Login and Two Factor Authentication

FEDERAL REGISTRYHOME

You are not logged in | [Log in](#)

## One Time Payment

### PAYMENT TERMS AND CONDITIONS


Thank you for using the Nationwide Mortgage Licensing System & Registry (NMLS) for your mortgage licensing or registration needs. Licensing and registration payment services on this web site (the "**Payment Site**") are provided by the State Regulatory Registry LLC ("SRR"), and are subject to these payment terms and conditions (these "**Payment Terms**"). To the extent that you use the Payment Site, these Payment Terms supplement, and are incorporated into, the user agreement ([Applicant Agreement](#) or [Agency Agreement](#)) applicable to you for your use of NMLS. It is important that you read carefully and understand these Payment Terms. You must agree to these Payment Terms prior to using the Payment Site to make payments to register for SAFE Mortgage Loan Originator Test Components, to apply for, amend or renew a license or registration, or for subscription fees. If you do not agree to these Payment Terms, please do not click "I agree" and do not use the Payment Site to make any payments. We reserve the right at any time to change these Payment Terms. Any changes we make will be effective immediately.

**No Refunds or Changes.** All fees paid through NMLS are non-refundable, non-changeable and non-transferable.

**Making Payments.** The Payment Site is solely provided to allow you and other users to make payments to register for SAFE Mortgage Loan Originator Test Components.

[Printable Page](#)

# Initial Login and Two Factor Authentication

**FEDERAL REGISTRY**

HOME

You are not logged in | [Log in](#)

## One Time Payment

ALL NMLS SYSTEM PROCESSING FEES ARE NON-REFUNDABLE.

### Invoice Details

Payment Amount \$:  Payment Date:

### Payment Type

☒ Credit Card  
☐ Bank Account

### Credit Card Information

\* Indicates a mandatory field where applicable.

Credit Card Type:  \*

Credit Card Number:  \*

Credit Card Expiration: Month:  Year:  \*

Card Security ID Number:  \* [What's This?](#)

Name on Credit Card:  \*

Billing Address:  \*

City:  \*

State:  \*

Zip Code:  \*

**WARNING:** Once you click Confirm and Submit, your payment will be submitted. You will not have another chance to confirm payment.

**Important note about browser compatibility**  
This site is only certified for the following web browsers: Internet Explorer version 5.0 and above, plus Mozilla FireFox 3 and above.

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# Initial Login and Two Factor Authentication

 FEDERAL REGISTRY

 HOME

You are not logged in | [Log in](#)

## One Time Payment

### Payment Confirmation

Thank you, your payment was successfully processed. Payment details are below. Please print a copy for your records.

Pay to the order of: **Nationwide Mortgage Licensing System & Registry**

Payment Method: **Credit Card**

Payment Date: **01/21/2011**

Amount: **55.00**

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Your **Payment Confirmation Number** is **V79A2E0DA6D8**

Print this page for your records. Your bank or credit card statement will read "Mortgage License or Registration - NMLS" for this transaction. To view full invoice details, access the [Invoice](#) option from your Home tab and enter the **Payment Confirmation Number** provided above as your search criteria.

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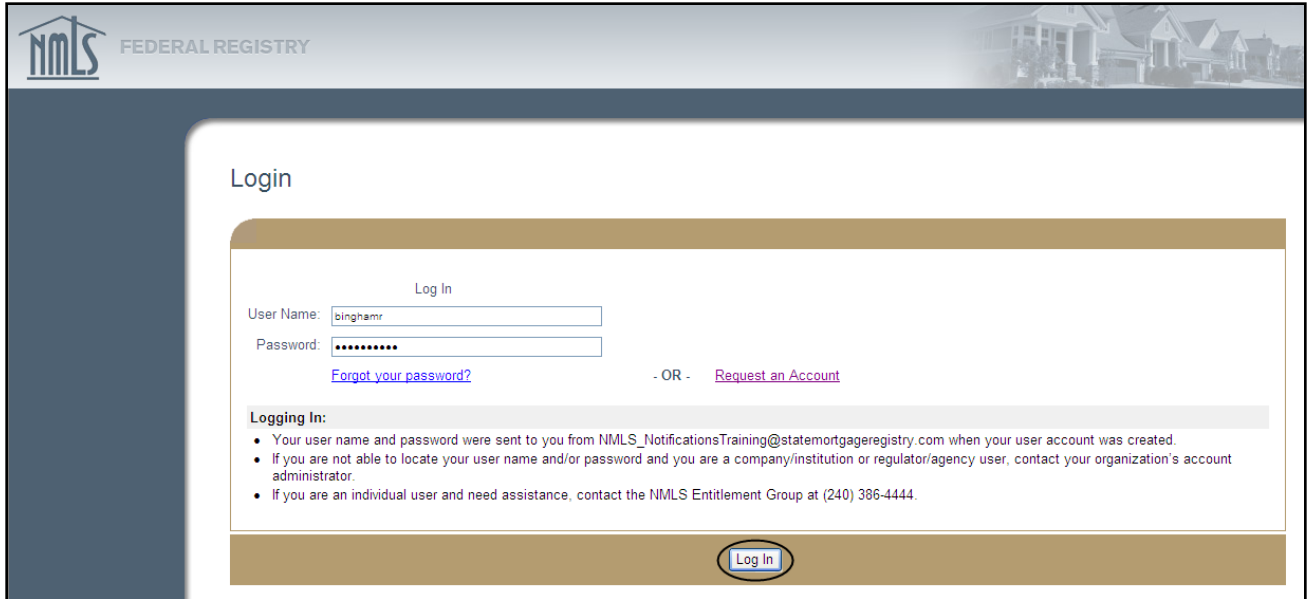
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# Initial Login and Two Factor Authentication



NMLS FEDERAL REGISTRY

## Login

Log In

User Name:



Password:

[Forgot your password?](#) - OR - [Request an Account](#)

**Logging In:**

- Your user name and password were sent to you from NMLS\_NotificationsTraining@statemortgageregistry.com when your user account was created.
- If you are not able to locate your user name and/or password and you are a company/institution or regulator/agency user, contact your organization's account administrator.
- If you are an individual user and need assistance, contact the NMLS Entitlement Group at (240) 386-4444.

# Initial Login and Two Factor Authentication

**FEDERAL REGISTRY**

Login

**Paperwork Reduction Act Statement**

No person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. This information collection is approved under OMB Control Nos. 1557-0243 (Expiring 08/31/2012), 7100-0328 (Expiring 07/31/2013), 3064-0171 (Expiring 09/30/2012), 1550-0127 (Expiring 09/30/2012), and 3133-0181 (Expiring 2/28/2011).

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The following terms have the meanings that follow when used in this Agreement:

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The term "Applicant Data" as used in this Agreement means (i) the information submitted by Applicants or third parties in connection with an Application or in connection with the renewal or maintenance of a Mortgage License or a Registration, (ii) the information submitted by a depository institution that employs

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# Initial Login and Two Factor Authentication


 FEDERAL REGISTRY

## Register VIP Credential with NMLS

**If you need a VeriSign Identity Protection (VIP) Credential:**  
Whether you need to get your first Credential or you are replacing one that has been lost or stolen, visit the [VeriSign Identity Protection \(VIP\) website](#) to choose the Credential that is right for you.

**If you already have a VIP Credential:**  
Click **Register** to register your Credential with NMLS.

**Register**



**Cancel**

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# Initial Login and Two Factor Authentication

FEDERAL REGISTRY

## Register VIP Credential with NMLS

You must register your VeriSign Identity Protection (VIP) Credential with NMLS.

If you do not have a VIP Credential, go to the [VIP website](#) to choose a Credential.

Follow the steps below and click **Register** to complete the Credential registration process.

Step 1: Enter the **Credential ID** from your VIP Credential:


[Where is the Credential ID?](#)

Step 2: Enter a **security code** from your VIP Credential here:

[How do I generate a Security Code?](#)

Step 3: Enter a second **security code** from your VIP Credential here:

[How do I generate a second Security Code?](#)



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# Initial Login and Two Factor Authentication

**NMLS FEDERAL REGISTRY**

Home | [My Account](#) | [Invoice](#) | [FILING](#) | [TASKS](#) | [COMPOSITE VIEW](#) | [ADMIN](#) | [REPORTS](#) | [HOME](#)

Logged in as BinghamR | [Logout](#)

**Change your Password**

**I** You logged in with a system-generated password. You must change it before continuing.

Passwords must be between 8 and 16 characters in length, and contain characters from 3 of the following 4 categories:

- English uppercase characters (A to Z)
- English lowercase characters (a to z)
- Base 10 digits (0 to 9)
- Special characters (For example, #, \$, and ^)

Current Password:

New Password:

Confirm New Password:

[Change Password](#)

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# Initial Login and Two Factor Authentication

The screenshot displays the NMLS Federal Registry interface. The top navigation bar includes links for FILING, TASKS, COMPOSITE VIEW, ADMIN, REPORTS, and HOME. The user is logged in as BinghamR. The main content area is titled 'Change Password Question and Answer'. It features a message indicating that the user has not yet set a password question and answer, which is necessary for resetting the password. Below this message, there are three input fields: Password (masked with dots), Password Question (containing 'black'), and Password Answer (containing 'bird'). A button labeled 'Change Password Question and Answer' is located at the bottom of the form and is circled in blue.

**NMLS FEDERAL REGISTRY**

Home | **My Account** | Invoice

Logged in as BinghamR | Logout

**Change Password Question and Answer**

**I** You have not yet set your password question and answer, which you can use to reset your password if you forget it in the future. You must provide the question and answer before you continue.

If you forget your password and need to have it reset, you will use this password question and answer to confirm your identity.

Password:

Password Question:

Password Answer:

**Change Password Question and Answer**

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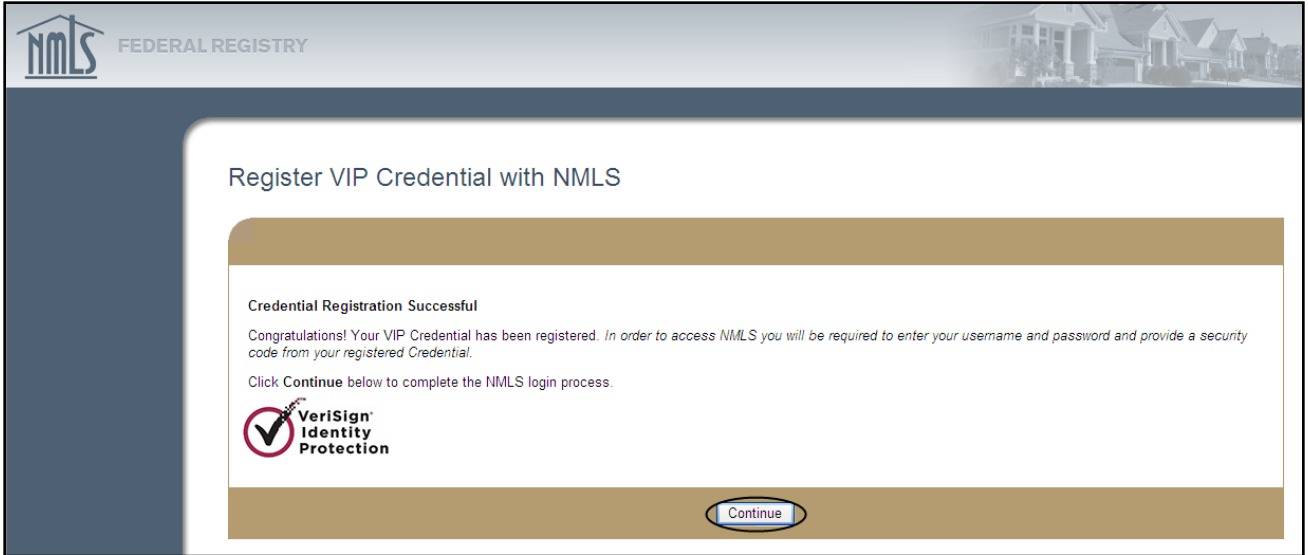
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# Initial Login and Two Factor Authentication



The screenshot shows the NMLS Federal Registry login page. At the top left is the NMLS logo and the text "FEDERAL REGISTRY". The main heading is "Register VIP Credential with NMLS". Below this is a message box with a gold border containing the text: "Credential Registration Successful", "Congratulations! Your VIP Credential has been registered. In order to access NMLS you will be required to enter your username and password and provide a security code from your registered Credential.", and "Click Continue below to complete the NMLS login process." To the left of the text is the VeriSign Identity Protection logo. At the bottom right of the message box is a "Continue" button.


NMLS FEDERAL REGISTRY

## Register VIP Credential with NMLS

**Credential Registration Successful**

Congratulations! Your VIP Credential has been registered. *In order to access NMLS you will be required to enter your username and password and provide a security code from your registered Credential.*

Click **Continue** below to complete the NMLS login process.

 VeriSign Identity Protection

[Continue](#)

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# Initial Login and Two Factor Authentication

The screenshot displays the NMLS Federal Registry portal. At the top, the NMLS logo and 'FEDERAL REGISTRY' text are on the left. On the right, there are navigation tabs: FILING, TASKS, COMPOSITE VIEW, ADMIN, REPORTS, and HOME. Below these, a user is logged in as 'BinghamR' with a 'Logout' link. A left sidebar contains a 'Federal' dropdown and a 'Portal' link. The main content area is titled 'Welcome to the Nationwide Mortgage Licensing System & Registry!'. It contains a paragraph about the system's purpose, followed by a list of actions available by tab. The tabs and their descriptions are: FILING (for MU1R filings), TASKS (for MLO Batch Uploads and Employment Records), COMPOSITE VIEW (for Institution or MLO records), ADMIN (for administrative functions), and REPORTS (for available reports). A section titled 'On this page...' provides instructions on how to use the 'My Account' and 'Invoice' links. A note at the bottom states that NMLS is the system of record and that actions are governed by the User Agreement and legal obligations. The footer contains copyright information for 2011 SRR, LLC and a privacy policy link.

**NMLS FEDERAL REGISTRY**

Home | My Account | Invoice

Logged in as BinghamR | Logout

Portal

## Welcome to the Nationwide Mortgage Licensing System & Registry!

The Nationwide Mortgage Licensing System & Registry (NMLS) allows for the creation and maintenance of a single record (Form MU1R) for your Institution. Your Institution also can create and submit mortgage loan originator (MLO) filings (Form MU4R) and manage employment records for MLOs associated with your Institution. Below please find a list of actions available by tab. Available actions/tabs vary based on user roles assigned.

**FILING**  
Choose this tab to *complete, amend and/or submit* MU1R filings for your Institution or any Federal MLO Registration filings (Form MU4R) for MLOs associated to your Institution. You also can view a list of MLO records your Institution has access to.

**TASKS**  
Choose this tab to *perform* an MLO Batch Upload, *view* previous MLO Batch Upload files, including information regarding their disposition, or *manage* Employment Records. Users with the Financial Admin role should choose this tab to *access and pay* outstanding Invoices for MU4R fees.

**COMPOSITE VIEW**  
Choose this tab to *view* your Institution record or the record of any MLO associated with your Institution.

**ADMIN**  
Choose this tab to *perform administrative functions* for your Institution's system users (e.g. resetting passwords, managing user roles and assigning system notifications).

**REPORTS**  
Choose this tab to *request* available reports.

On this page...

- Select **My Account** above to *change* your NMLS user settings.
- Select **Invoice** above to *repay* failed invoices or *view* payment history.

Access the [Federal Registration page](#) of the NMLS Resource Center for information regarding the registration process that can assist you in using NMLS to create and maintain Institution and MLO records to meet federal registration requirements.

**NOTE:** NMLS is the system of record for all information contained within it. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

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SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 386-4444.





# Nationwide Mortgage Licensing System & Registry

## NMLS Navigation

# NMLS Navigation

The screenshot shows the NMLS Federal Registry interface. The header includes the NMLS logo and 'FEDERAL REGISTRY'. The top navigation bar contains links for 'View Institution', 'View Individual', 'FILING', 'TASKS', 'COMPOSITE VIEW', 'ADMIN', 'REPORTS', and 'HOME'. A user is logged in as 'BinghamR' with a 'Logout' link. The left sidebar is the 'Navigation Panel' with links for 'View Institution Information', 'View Historical Filings', and 'View MU1R Status'. The main content area is titled 'View Institution Information' and displays details for 'Road Warrior Mortgage Company (29706)'. Annotations with arrows point to various UI elements: 'Context Selection' points to the 'Federal' dropdown; 'Sub-menu' points to the 'View Institution' link; 'Tab' points to the 'COMPOSITE VIEW' tab; 'Sandbar' points to the header bar of the institution details; and 'System Icons' points to the social media and help icons in the top right of the details section.

**Navigation Panel**

- View Institution Information
- View Historical Filings
- View MU1R Status

**Context Selection**

Federal

**Sub-menu**

View Institution | View Individual

**Tab**

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

Logged in as BinghamR | Logout

**View Institution Information**

**Road Warrior Mortgage Company (29706)**

Institution ID: 29706  
Institution Name: Road Warrior Mortgage Company  
Address: 123 Main Street  
Rockville, MD 20850  
IRS Employer Identification Number: 99-4688359  
RSSD ID: 5963447  
Primary Federal Agency: Federal Deposit Insurance Corporation  
Subsidiary: No  
Parent RSSD ID:  
Email Address: sarah.grimm@finra.org  
Contact Name: Ryan Bingham  
Phone Number: 240-386-4444  
Fax Number:

**Sandbar**

**System Icons**

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# Nationwide Mortgage Licensing System & Registry

## MU1R Filing and Payment

# MU1R Filing and Payment

**FEDERAL REGISTRY**

[Home](#) | [My Account](#) | [Invoice](#)

Logged in as BinghamR | [Logout](#)

Filing | Tasks | Composite View | Admin | Reports | Home

Federal

Portal

## Welcome to the Nationwide Mortgage Licensing System & Registry!

The Nationwide Mortgage Licensing System & Registry (NMLS) allows for the creation and maintenance of a single record (Form MU1R) for your Institution. Your Institution also can create and submit mortgage loan originator (MLO) filings (Form MU4R) and manage employment records for MLOs associated with your Institution. Below please find a list of actions available by tab. Available actions/tabs vary based on user roles assigned.

**FILING**  
Choose this tab to *complete, amend and/or submit* MU1R filings for your Institution or any Federal MLO Registration filings (Form MU4R) for MLOs associated to your Institution. You also can view a list of MLO records your Institution has access to.

**TASKS**  
Choose this tab to *perform* an MLO Batch Upload, *view* previous MLO Batch Upload files, including information regarding their disposition, or *manage* Employment Records. Users with the Financial Admin role should choose this tab to *access and pay* outstanding Invoices for MU4R fees.

**COMPOSITE VIEW**  
Choose this tab to *view* your Institution record or the record of any MLO associated with your Institution.

**ADMIN**  
Choose this tab to *perform administrative functions* for your Institution's system users (e.g. resetting passwords, managing user roles and assigning system notifications).

**REPORTS**  
Choose this tab to *request* available reports.

---

On this page...

- Select **My Account** above to *change* your NMLS user settings.
- Select **Invoice** above to *repay failed invoices* or *view* payment history.

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# MU1R Filing and Payment

**NMLS FEDERAL REGISTRY**

FILING TASKS COMPOSITE VIEW ADMIN REPORTS HOME

MU1R MU4R | Institution Access

Logged in as BinghamR | Logout

Federal

Form Filing Home

## Form Filing Home

This section of NMLS allows you to complete, amend and/or submit your Institution's record (Form MU1R) and mortgage loan originator (MLO) records (Form MU4R). Below is a list of actions available by sub-menu. Available actions/sub-menu items vary based on user roles assigned.

**MU1R**  
Select this link above to complete, amend and/or submit a filing of your Institution's record.

**MU4R**  
Select this link above to complete, amend and/or submit a filing of an MLO record.

**Institution Access**  
Select this link above to view a list of MLO records your Institution has access to.

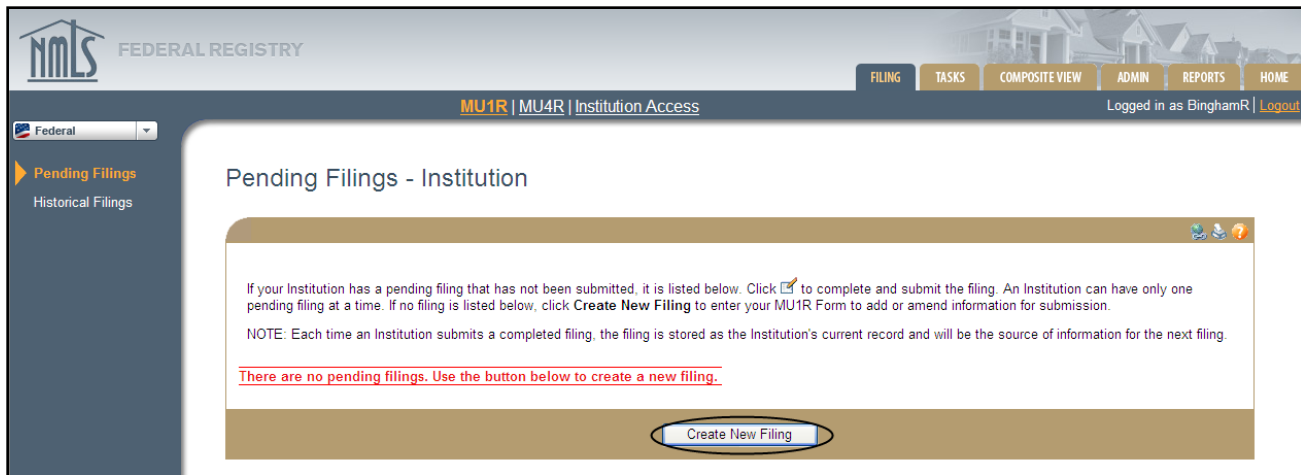
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**PRIVACY ACT STATEMENT:** The information you provide will be used to register you as a residential mortgage loan originator employed by an institution regulated by the Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, the Office of Thrift Supervision, the National Credit Union Administration or the Farm Credit Administration (the "Agencies"). In order to do this, we may disclose your information consistent with the routine uses listed in the respective Privacy Act Notices for the BGFBS, FDIC, OTS, NCUA, and FCA, including for enforcement, statutory and regulatory purposes; to another federal agency or Reserve Bank; to a Member of Congress, to the Department of Justice, a court, an adjudicative body or administrative tribunal, or a party in litigation; to Federal, state and local and professional licensing boards, to contractors, agents, and others; and where security or confidentiality has been compromised. Specified information may also be shared with members of the public, other banking regulatory agencies, the National Mortgage Licensing System and Registry call center, the Financial Institution Regulatory Authority, state credit union regulators and state mortgage regulators. We are authorized to collect your information by the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. In accordance with Executive Order 9397, the Agencies collect your Social Security Number to ensure that their records are accurate because other people may have the same name and birth date. In addition, the Agencies use your Social Security Number when we make requests for information about you from law enforcement. Furnishing the information requested is voluntary; however, your failure to provide any of the information requested may result in the Agencies' inability to register you as a residential mortgage loan originator. You may be subject to a fine or imprisonment under 18 U.S.C. § 1001 for any false statements you make in connection with any information you provide concerning a registration.

**OFFICE OF THE COMPTROLLER OF THE CURRENCY: STATEMENT REGARDING SOLICITATION OF SOCIAL SECURITY ACCOUNT NUMBERS**

As authorized by Executive Order 9397, the Office of the Comptroller of the Currency ("OCC") requests your Social Security Number to assist in the maintenance of accurate records in circumstances where other people may have the same names and birth dates. Your social security number may also be used by the OCC when it seeks information about you from other agencies or persons. Furnishing your social security number is voluntary. However, your failure to provide this information may result in the inability of the OCC to register you as a residential mortgage loan originator, a registration that is required under regulations implementing the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. Additionally, if the social security number or other information you provide concerning your registration is false, you may be subject to a fine or imprisonment under 18 U.S.C. § 1001.

## MU1R Filing and Payment



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# MU1R Filing and Payment

**FEDERAL REGISTRY**

FILINGTASKSCOMPOSITE VIEWADMINREPORTSHOME

MU1R | MU4R | Institution Access

Logged in as BinghamR | Logout

Federal

Identifying Information

Contact Employee Information

Completeness Check and Submit

Identifying Information

Road Warrior Mortgage Company (29706) MU1R filing created 1/24/2011 by BinghamR.

Total Charges: \$10.00

Entity Information:

Entity Name: Road Warrior Mortgage Company

IRS Employer Identification Number: 99-4688359

RSSD ID: 5963447

Are you a subsidiary? ☐

Parent RSSD ID:

Do you want to amend your legal name?

If yes, check here: ☐

New Entity Name:

Main address (Do not use a PO Box):

Number & Street: 123 Main Street

City: Rockville

State: Maryland

Country / Province: United States

Postal Code: 20850

Business phone, fax and email address:

Business Phone: 240-388-4444 999-999-9999x9999

Fax Line: 999-999-9999

Email Address: sarah.grimm@finra.org

Mailing Address, if different from Main Address:

PO Box or Number & Street:

City:

State:

Country / Province:


Postal Code:

Save

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Next

# MU1R Filing and Payment

**FEDERAL REGISTRY**

FILINGTASKSCOMPOSITE VIEWADMINREPORTSHOME

MU1R | MU4R | Institution Access

Logged in as BinghamR | Logout

Federal

Identifying Information

Contact Employee Information

Completeness Check and Submit

Contact Employee Information

Road Warrior Mortgage Company (29706) MU1R filing created 1/24/2011 by BinghamR.

Total Charges: \$10.00

Provide/edit primary contact employee information for your Institution below. This individual must be authorized to receive all communications and mailings on behalf of the Institution, and will be responsible for distributing it to others within your Institution as necessary.

First Name: Ryan

Last Name: Bingham

Title: Road Warrior

PO Box or Number & Street: 123 Main Street

City: Rockville

State: Maryland

Country / Province: United States

Postal Code: 20850

Business Phone: 240-388-4444

Fax Line:


Email Address: sarah.grimm@finra.org

Save

PreviousNext



# MU1R Filing and Payment

**FEDERAL REGISTRY**

[FILING](#) | [TASKS](#) | [COMPOSITE VIEW](#) | [ADMIN](#) | [REPORTS](#) | [HOME](#)

[MU1R](#) | [MU4R](#) | [Institution Access](#)

Logged in as BinghamR | [Logout](#)

Federal

[Identifying Information](#)  
[Contact Employee Information](#)  
**[Completeness Check and Submit](#)**

## Completeness Check and Submit

**Road Warrior Mortgage Company (29706) MU1R filing created 1/24/2011 by BinghamR.**

All completeness checks pass for this filing.

Subject	Fee Type	Amount
MU1R Filing	NMLS Processing Fee	\$10.00
	MU1R Filing	
<b>Total Charges</b>		<b>\$10.00</b>

I, Ryan Bingham of Road Warrior Mortgage Company (Federal agency-regulated institution) on this date Monday, January 24, 2011, certify that I executed this form on behalf, and with the authority, of said Federal agency-regulated institution and that:

(1) To the best of my knowledge and belief, the information and statements contained in this Federal agency-regulated institution's form are current, true, accurate and complete as of Monday, January 24, 2011;

(2) I acknowledge that, pursuant to Public Law 92-544, only officials of federally chartered or insured banking institutions may access federal criminal history record check results and related federal criminal history record information. I further acknowledge that access and review of federal criminal history record information may be outsourced by a federally chartered or insured banking institution if done so in accordance with 28 CFR Section 906 and any other relevant Federal Bureau of Investigation requirements. In the event that I am executing this form on behalf of a subsidiary of a federally chartered or insured banking institution, I acknowledge that individuals who are solely employees of the subsidiary are not authorized to access federal criminal history record check results and related federal criminal history record information and certify such employees will not be granted access to do so through NMLS.

(3) I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal Agency-regulated institution; and


(4) Said Federal agency-regulated institution will keep the information contained in the record of Road Warrior Mortgage Company current, and will file accurate supplementary information on a timely basis, pursuant to applicable law.

(5) The Registry may make information submitted on this filing publicly available.

☒ I certify that I am the named person above and that I agree to the above.

Attest and Submit

# MU1R Filing and Payment



FEDERAL REGISTRY

FILINGTASKSCOMPOSITE VIEWADMINREPORTSHOME

Logged in as BinghamR | Logout

One Time Payment

PAYMENT TERMS AND CONDITIONS

Thank you for using the Nationwide Mortgage Licensing System & Registry (NMLS) for your mortgage licensing or registration needs. Licensing and registration payment services on this web site (the "Payment Site") are provided by the State Regulatory Registry LLC ("SRR"), and are subject to these payment terms and conditions (these "Payment Terms"). To the extent that you use the Payment Site, these Payment Terms supplement, and are incorporated into, the user agreement ([Applicant Agreement](#) or [Agency Agreement](#)) applicable to you for your use of NMLS. It is important that you read carefully and understand these Payment Terms. You must agree to these Payment Terms prior to using the Payment Site to make payments to register for SAFE Mortgage Loan Originator Test Components, to apply for, amend or renew a license or registration, or for subscription fees. If you do not agree to these Payment Terms, please do not click "I agree" and do not use the Payment Site to make any payments. We reserve the right at any time to change these Payment Terms. Any changes we make will be effective immediately.

**No Refunds or Changes.** All fees paid through NMLS are non-refundable, non-changeable and non-transferable.


**Making Payments.** The Payment Site is solely provided to allow you and other users to make payments to register for SAFE Mortgage Loan Originator Test Components.

[Printable Page](#)

I Do Not Agree

I Agree

# MU1R Filing and Payment

**FEDERAL REGISTRY**

FILINGTASKSCOMPOSITE VIEWADMINREPORTSHOME

Logged in as BinghamR | [Logout](#)

## One Time Payment

ALL NMLS SYSTEM PROCESSING FEES ARE NON-REFUNDABLE.

Invoice Details

Payment Amount \$:  Payment Date:  User Id:

Payment Type

☒ Credit Card  
☐ Bank Account

Credit Card Information

\* Indicates a mandatory field where applicable.

Credit Card Type:  \*

Credit Card Number:  \*

Credit Card Expiration: Month:  Year:  \*

Card Security ID Number:  \* [What's This?](#)

Name on Credit Card:  \*

Billing Address:  \*

City:  \*

State:  \*


Zip Code:  \*

☐ Save this payment information for future payments (note: information is saved for 12 months only)

**WARNING: Once you click Confirm and Submit, your payment will be submitted. You will not have another chance to confirm payment.**

**Important note about browser compatibility**  
This site is only certified for the following web browsers: Internet Explorer version 5.0 and above, plus Mozilla FireFox 3 and above.

# MU1R Filing and Payment

 **FEDERAL REGISTRY**

FILINGTASKSCOMPOSITE VIEWADMINREPORTSHOME

Logged in as BinghamR | [Logout](#)

## One Time Payment

### Payment Confirmation

Thank you, your payment was successfully processed. Payment details are below. Please print a copy for your records.

Pay to the order of: **Nationwide Mortgage Licensing System & Registry**

User Id: **BinghamR**

Payment Method: **Credit Card**

Payment Date: **01/24/2011**

Amount: **10.00**

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Your **Payment Confirmation Number** is **V18A2F4A80F0**

Print this page for your records. Your bank or credit card statement will read "Mortgage License or Registration - NMLS" for this transaction. To view full invoice details, access the [Invoice](#) option from your Home tab and enter the **Payment Confirmation Number** provided above as your search criteria.

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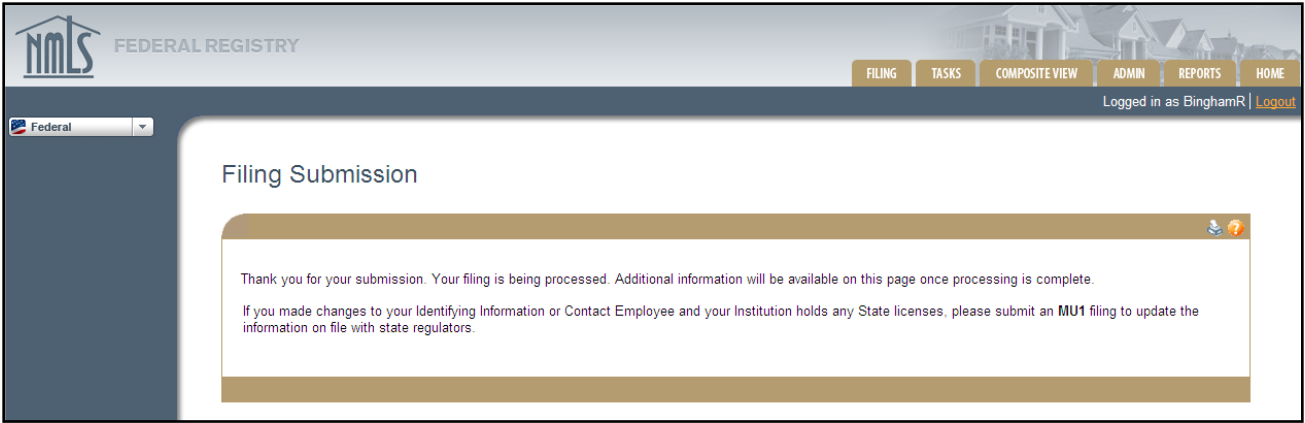
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# MU1R Filing and Payment



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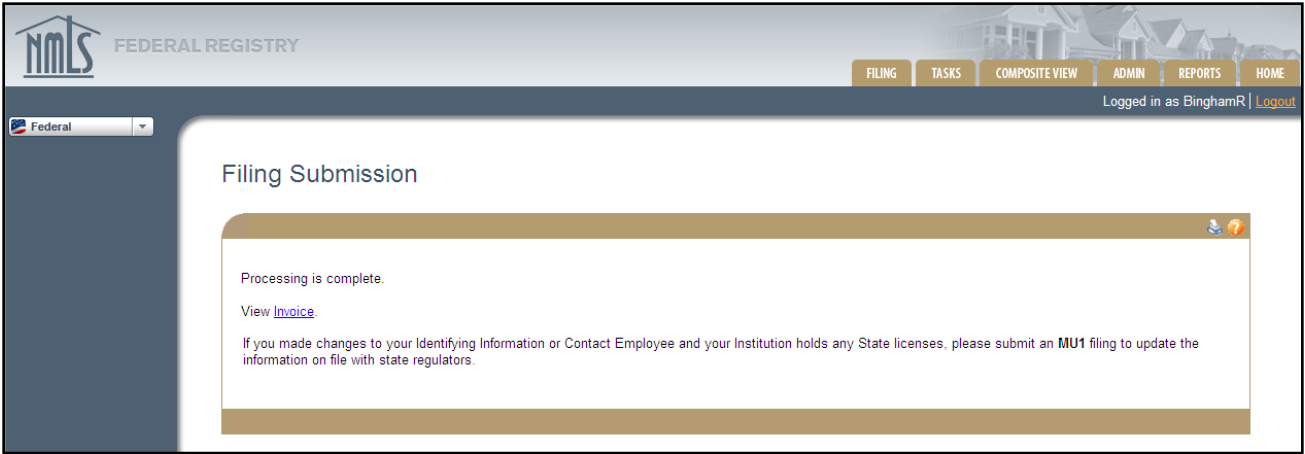
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# MU1R Filing and Payment



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# MU1R Filing and Payment

An MU1R filing for Road Warrior Mortgage Company (29706) has been successfully processed by NMLS and has been placed in an active status.

Filing details:

**Filing ID:** 73818

**Filing Date:** 1/24/2011

**Submitted By:** BinghamR

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. If the reader of this communication is not the intended recipient (or the employee or agent responsible for delivering to the intended recipient), you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please disregard and delete this communication, and do not disseminate or retain any copy of this communication.

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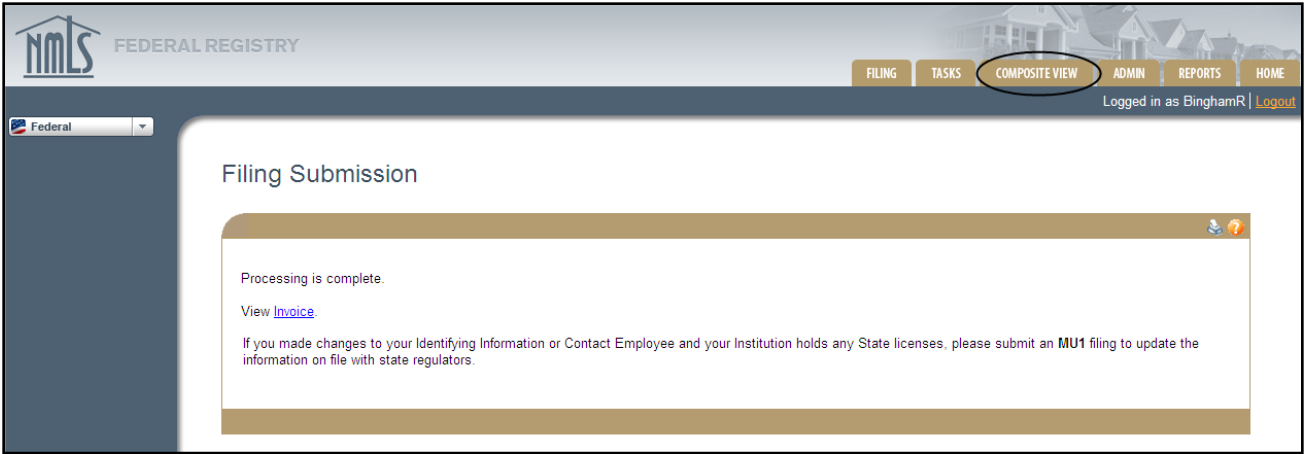
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# MU1R Filing and Payment



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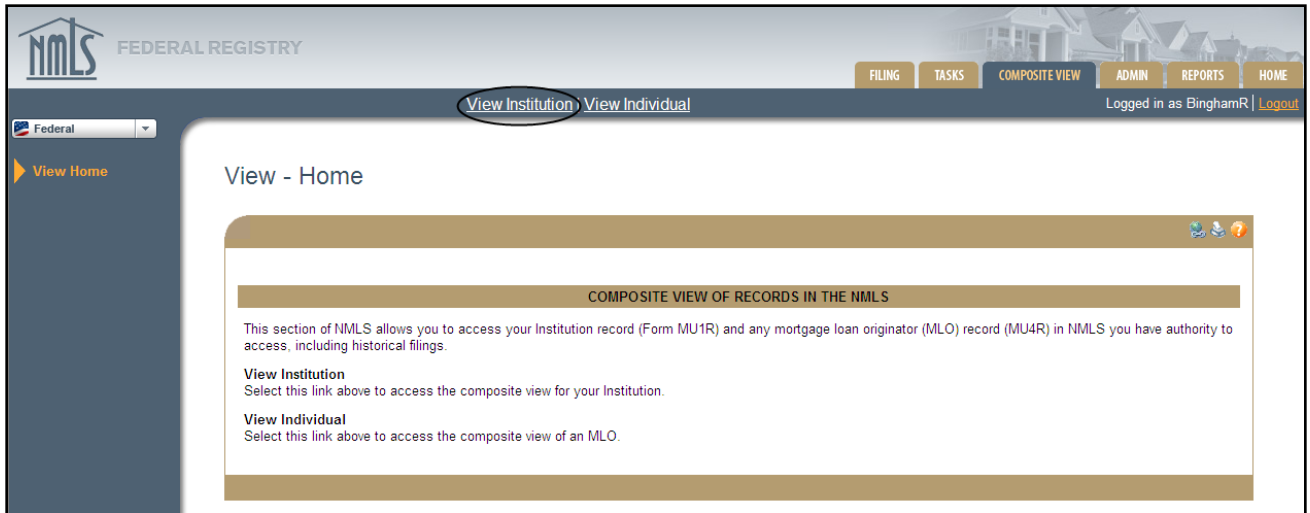
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# MU1R Filing and Payment



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# MU1R Filing and Payment

**FEDERAL REGISTRY**

[View Institution](#) | [View Individual](#)

FILINGTASKSCOMPOSITE VIEWADMINREPORTSHOME

Logged in as BinghamR | [Logout](#)

Federal

[View Institution Information](#)

[View Historical Filings](#)

[View MU1R Status](#)

### View Institution Information

**Road Warrior Mortgage Company (29706)**

Institution ID: 29706

Institution Name: Road Warrior Mortgage Company

Address: 123 Main Street  
Rockville, MD 20850

IRS Employer Identification Number: 99-4688359

RSSD ID: 5963447

Primary Federal Agency: Federal Deposit Insurance Corporation

Subsidiary: No

Parent RSSD ID:

Email Address: sarah.grimm@finra.org

Contact Name: Ryan Bingham

Phone Number: 240-386-4444

Fax Number:

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Section 4-16

# MU1R Filing and Payment

FEDERAL REGISTRY

FILINGTASKSCOMPOSITE VIEWADMINREPORTSHOME

View Institution | View Individual

Logged in as BinghamR | Logout

Federal


View Institution Information

View Historical Filings


View MU1R Status

### View MU1R Historical Filings

Road Warrior Mortgage Company (29706)

You may view a single filing by clicking on the view icon  below.

If multiple filings are listed, you may select two boxes and click the **Compare** button to compare in redline mode.

Filing ID	System Processed	Submitter	Sections Changed
 73818	1/24/2011 10:09:57 AM	BinghamR	Hover to View

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# MU1R Filing and Payment

**Form MU1R**

Identifying Information  
Contact Employee Information

### Identifying Information

**Entity Information:**

Entity Name: Road Warrior Mortgage Company  
IRS Employer Identification Number: 99-4688359  
R5SD ID: 5952447  
Are you a subsidiary? ☐  
Parent R5SD ID:  
Do you want to amend your legal name?  
If yes, check here: ☐  
New Entity Name:

**Main address (Do not use a PO Box):**

Number & Street: 123 Main Street  
City: Rockville  
State: Maryland  
Country / Province: United States  
Postal Code: 20850

**Business phone, fax and email address:**

Business Phone: 240-305-4444  
Fax Line:  
Email Address: sarah.grimm@fncra.org

**Mailing address, if different from main address.**

PO Box or Number & Street:  
City:  
State:  
Country / Province:  
Postal Code:

### Contact Employee Information

First Name: Ryan  
Last Name: Bingham  
Title: Road Warrior  
PO Box or Number & Street: 123 Main Street  
City: Rockville  
State: Maryland  
Country / Province: United States  
Postal Code: 20850  
Business Phone: 240-305-4444  
Fax Line:  
Email Address: sarah.grimm@fncra.org

### Oath

I, Ryan Bingham of Road Warrior Mortgage Company (Federal agency-regulated institution) on this date Monday, January 24, 2011, certify that I executed this form on behalf, and with the authority, of said Federal agency-regulated institution and that:

(1) To the best of my knowledge and belief, the information and statements contained in this Federal agency-regulated institution's form are current, true, accurate and complete as of Monday, January 24, 2011.  
(2) I acknowledge that, pursuant to Public Law 92-544, only officials of federally chartered or insured banking institutions may access federal criminal history record check results and related federal criminal history record information. I further acknowledge that access and review of federal criminal history record information may be outsourced by a federally chartered or insured banking institution if done so in accordance with 28 CFR Section 905 and any other relevant Federal Bureau of Investigation requirements. In the event that I am executing this form on behalf of a subsidiary of a federally chartered or insured banking institution, I acknowledge that individuals who are solely employees of the subsidiary are not authorized to access federal criminal history record check results and related federal criminal history record information and certify such employees will not be granted access to do so through H&A, S.  
(3) I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal Agency-regulated institution, and  
(4) Said Federal agency-regulated institution will keep the information contained in the record of Road Warrior Mortgage Company current, and will file accurate supplementary information on a timely basis, pursuant to applicable law.  
(5) The Registry may make information submitted on this filing publicly available.

I certify that I am the named person above and that I agree to the above.

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Opens in  
Separate  
Tab/Window

# MU1R Filing and Payment

 FEDERAL REGISTRY

FILINGTASKSCOMPOSITE VIEWADMINREPORTSHOME

[View Institution](#) | [View Individual](#)

Logged in as BinghamR | [Logout](#)

Federal

[View Institution Information](#)  
[View Historical Filings](#)  
[View MU1R Status](#)

View MU1R Status

Road Warrior Mortgage Company (29706)

Regulator	Status	Status Date
<a href="#">Federal Deposit Insurance Corporation</a>	Active	1/24/2011

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# MU1R Filing and Payment

FEDERAL REGISTRY

FILINGTASKSCOMPOSITE VIEWADMINREPORTSHOME

[View Institution](#) | [View Individual](#)

Logged in as BinghamR | [Logout](#)

Federal

[View Institution Information](#)  
[View Historical Filings](#)  
▶ [View MU1R Status](#)

View MU1R Status History

Road Warrior Mortgage Company (29706)

Regulator: Federal Deposit Insurance Corporation

Status	Status Date
Active	1/24/2011

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# Nationwide Mortgage Licensing System & Registry

## Account Administration

# Account Administration

 **FEDERAL REGISTRY**

FILINGTASKSCOMPOSITE VIEW**ADMIN**REPORTSHOME

Home | My Account | Invoice

Logged in as BinghamR | Logout

Federal

Portal

Welcome to the Nationwide Mortgage Licensing System & Registry!

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Choose this tab to *perform* an MLO Batch Upload, *view* previous MLO Batch Upload files, including information regarding their disposition, or *manage* Employment Records. Users with the Financial Admin role should choose this tab to *access and pay* outstanding Invoices for MU4R fees.

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Choose this tab to *view* your Institution record or the record of any MLO associated with your Institution.

**ADMIN**  
Choose this tab to *perform administrative functions* for your Institution's system users (e.g. resetting passwords, managing user roles and assigning system notifications).

**REPORTS**  
Choose this tab to *request* available reports.

---

On this page...

- Select **My Account** above to *change* your NMLS user settings.
- Select **Invoice** above to *repay* failed invoices or *view* payment history.

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**NOTE:** NMLS is the system of record for all information contained within it. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

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# Account Administration



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## Account Administration Home Page

In this section of NMLS, any Account Administrator for your organization may manage accounts for other users within the organization. In addition, organization users who have been granted the "Manage Notification Contacts" role may access this tab to manage notifications contacts.

Multiple Account Administrators may exist for one organization and should be kept up to date. To add or remove an Account Administrator for your organization, contact the NMLS Call Center at (240) 386-4444. For more information on using these administration tools, view the [Account Administration Navigation Guide](#).

The following actions are available for you to perform on accounts for other users within your organization by selecting the appropriate links on the navigation panel to the left. *Non-Account Administrators with the "Manage Notification Contacts" role will only have access to the Manage Notifications option.*

**Create Organization User**  
Use this option to create an account for a new user within your organization.

**Manage Roles**  
Use this option to add or remove roles assigned to an existing user within your organization.

**Manage Notifications**  
Use this option to add, modify, or remove system users for your organization that should receive or are currently receiving notification emails regarding system activity.

**Modify Account**  
Use this option to modify administrative information for existing users within your organization.

**Unlock Account**  
Use this option to unlock an account for a user within your organization. A user's account will be locked out if they enter an incorrect password five times consecutively. Once an account is locked, it must be unlocked before the user will be able to access it.

**Enable/Disable Account**  
Use this option to enable or disable an account for a user within your organization. You may choose to disable an account if a user is on extended leave or temporarily assigned to a different project. If a user has permanently left your organization, you should delete the user account.

**Delete Account**  
Use this option to permanently delete an account for a user within your organization.

**Reset Password**  
Use this option to reset the password on an account for a user within your organization. This will send the user an email with a new temporary password to access the system.

**Request Filing Authority**  
Use this option to request the filing authority to create and submit filings under a different regulatory authority.

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### Create an Organization User Account

Organization Name: Road Warrior Mortgage Company

Organization ID: 29706

First Name:

Middle Name:

Last Name:

Phone Number:  999-999-9999x9999

Email Address:

Confirm Email Address:

Next


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- ☐ Manage Notification Contacts

Federal Filings

- ☐ Create and Submit MU1R Filings
- ☐ Create and Submit MU4R Filings
- ☐ Pay MU4R Fees
- ☐ View MLO Record Access

Tasks

- ☐ Perform MLO Batch Upload
- ☐ Manage Employment Records
- ☐ Pay MU4R Fees

Composite View

- ☒ View Individual Composite
- ☒ View Institution Composite
- ☐ View Confidential Information
- ☐ View CBC Result
- ☐ View RAP Sheet

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- ☐ Financial Admin

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Create an Organization User Account

You are about to create the following organization user account:

Organization Name: Road Warrior Mortgage Company

Organization ID: 29706

Administrator Account: No

First Name: Arthur

Middle Name:

Last Name: Clarke

Phone Number: 555-555-5555

Email Address: kings@finra.org

Selected Roles: View individual composite  
View Institution Composite

If you need to make any changes, click the **Previous** button. Otherwise, click the **Finish** button to create the account.

Previous

Finish


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Create an Organization User Account

A new account with user name 'ClarkeA' has been created. Separate emails containing the user name and password have been sent from 'NMLS\_NotificationsTraining@statemortgageregistry.com' to 'kings@finra.org'.  
  
Upon receipt of your user name and password, you can log into the NMLS.

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## User Account Search

Enter search terms in the fields below to locate a specific user account or leave the search fields blank and click **Search** to access a list of all user accounts associated to your record.

UserName:

First Name:  ☐ Use Soundex

Middle Name:  ☐ Use Soundex

Last Name:  ☐ Use Soundex

Search

Clear


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### Search Results

	Last Name	First Name	Middle Name	Administrator	Expiration Date
<a href="#">BinghamR</a>	Bingham	Ryan		Y	1/21/2012
<a href="#">BovaB</a>	Bova	Ben			1/25/2012
<a href="#">ClarkeA</a>	Clarke	Arthur			1/25/2012

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Cancel



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## Manage Notifications

The following notifications have no enabled notification contact assigned to receive them:

- Individual Access Granted
- Individual Access Revoked
- MU1R Filing Activity
- Employment Record(s) Terminated or Withdrawn
- Employment Records Pending Confirmation
- MU4R Filing Processed
- MU4R Cart Requires Payment
- Federal MLO Registration Status Change
- Fingerprint Record Returned as Illegible or Rejected
- Invoice Status Change

This section of the NMLS allows you to add, modify, or delete notification contacts for your organization. System notifications are designed to alert you via email when certain actions have been taken on any record in NMLS that is associated with your organization. Any individual with an active NMLS user account for your organization may be designated to receive any of the available system notifications. More than one notification contact can be designated to receive any given notification. The list below shows all notification contacts currently designated to receive notifications for your organization. Select  to edit an existing notification contact or select the **Add New Contact** button below to designate a new notification contact.

Notification Contacts

No Notification Contacts Exist

Add New Contact

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## Manage Notifications



The following notifications have no enabled notification contact assigned to receive them:

- o Individual Access Granted
- o Individual Access Revoked
- o MU1R Filing Activity
- o Employment Record(s) Terminated or Withdrawn
- o Employment Records Pending Confirmation
- o MU4R Filing Processed
- o MU4R Cart Requires Payment
- o Federal MLO Registration Status Change
- o Fingerprint Record Returned as Illegible or Rejected
- o Invoice Status Change

### Contact Information

Contact information can be updated in Account Administration:

Contact Name	Email Address	Phone Number	Contact Status
Bova, Ben	kings@finra.org	555-555-5555	<input checked="" type="radio"/> Enabled <input type="radio"/> Disabled

### Company

Delivery Method	Notification
<input type="checkbox"/> Email	Individual Access Granted
<input type="checkbox"/> Email	Individual Access Revoked
<input type="checkbox"/> Email	MU1R Filing Activity
<input type="checkbox"/> Email	Employment Record(s) Terminated or Withdrawn
<input type="checkbox"/> Email	Employment Records Pending Confirmation

### Individual

Delivery Method	Notification
<input type="checkbox"/> Email	MU4R Filing Processed
<input type="checkbox"/> Email	MU4R Cart Requires Payment
<input type="checkbox"/> Email	Federal MLO Registration Status Change
<input type="checkbox"/> Email	Fingerprint Record Returned as Illegible or Rejected


### General

Delivery Method	Notification
<input type="checkbox"/> Email	Invoice Status Change

Save

Cancel

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Modify User Account

BovaB (29706)

UserName: BovaB

First Name: Ben

Middle Name:

Last Name: Bova

Phone Number: 555-555-5555999-999-9999x9999

Email Address: kings@finra.org


Confirm Email Address: kings@finra.org

Credential Id: NMLSAFK01011

Subscription Expiration Date: 1/25/2012

ApplyCancel

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Unlock User Account


BovaB (29706)

User account BovaB is locked.

Unlock

Cancel

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## Enable/Disable User Account

**BovaB (29706)**

Account is currently *enabled*.  
UserName: BovaB  
Organization Class: Company  
Organization Name: Road Warrior Mortgage Company  
Organization ID: 29706  
First Name: Ben  
Middle Name:  
Last Name: Bova  
Email Address: kings@finra.org  
Phone Number: 555-555-5555

Disable AccountNew Search

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## Enable/Disable User Account

**BovaB (29706)**

Account is currently disabled.

UserName: BovaB

Organization Class: Company

Organization Name: Road Warrior Mortgage Company

Organization ID: 29706

First Name: Ben

Middle Name:


Last Name: Bova

Email Address: kings@finra.org

Phone Number: 555-555-5555

Enable AccountNew Search

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Delete User Account

BovaB (29706)

The following account will be deleted:

UserName: BovaB

Organization Class: Company

Organization Name: Road Warrior Mortgage Company

Organization ID: 29706

First Name: Ben

Middle Name:

Last Name: Bova

Email Address: kings@finra.org

Phone Number: 555-555-5555


Delete Account

Cancel

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**Delete Account**

Reset Password

Request Filing Authority

Delete User Account

BovaB (29706)

The following account will be deleted:

UserName: BovaB

Organization Class: Company

Organization Name: Road Warrior Mortgage

Organization ID: 29706

First Name: Ben

Middle Name:

Last Name: Bova

Email Address: kings@finra.org

Phone Number: 555-555-5555

Delete Account

Cancel

Windows Internet Explorer

Are you sure you want to delete this account?

OK

Cancel

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Request Filing Authority

## Reset Password for User Account

**BovaB (29706)**

UserName: BovaB

Organization Class: Company

Organization Name: Road Warrior Mortgage Company

Organization ID: 29706

First Name: Ben

Middle Name:

Last Name: Bova

Email Address: [kings@finra.org](mailto:kings@finra.org)

Phone Number: 555-555-5555

Reset Password

New Search

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Request Filing Authority

Choose one or more filing authorities your company would like to request. Note: Only one filing authority may be available for selection.

☐ State: Non-Depository

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