Company License/Registration Information

Overview/Policy

The License/Registration Information displays a list of all license/registration requests pending submission as well as any previously submitted license/registration requests. Users can request a new license, review existing requests, cancel a previously submitted request, or request to withdraw an existing license/registration.

A company has the option to request the withdrawal of an application prior to an approval by a regulator. A company can surrender/cancel a license/registration that is currently approved.

Definitions and Charts

License Status Definitions Chart

How To

Surrender/Withdraw:

1. If the user wants to surrender an approved license/registration, click Surrender for the corresponding state license.
2. If the user wants to withdraw a pending license/registration, click Withdraw for the corresponding state license.
3. Click Request License to go back and apply or transition an additional license/registration.
4. Click Continue with Filing to navigate to the next section of this filing.
**Cancel Action within this filing:**

1. If the user wants to cancel the Pending Action, click **Cancel Action** for the corresponding state license.
2. Click **Request License** to apply or transition an additional license/registration.
3. Click **Continue with Filing** to navigate to the next section of this filing.

**Helpful Hints**

1. Users can click Request License to apply for or transition a license/registration.
2. Users can click Continue with Filing to navigate to the next section of this filing.
3. A complete list of all licenses/registrations and their status can be viewed through the Composite View tab.
4. To submit a Surrender or Withdraw request you must navigate through the Attest and Submit screen to file the request with the regulator.

**Additional Resources:**

- Find State-Specific requirements on the [NMLS Resource Center](#).
- Details regarding information available on NMLS Consumer Access can be found within [Information Viewable on NMLS Consumer Access](#).
- Quick Guides:
  - [Submitting Company Form (MU1)](#)
  - [Surrender or Withdraw Requests](#)

See Company and Branch Help [Table of Contents](#)