Cannot Upgrade to the new form version

Overview/Policy
The new form version changed significantly; therefore the system cannot move changes on the pending filing to the new form version.

Definitions and Charts
Not applicable

How To

View Pending Filing
1. Click on View Pending Filing.
   Result: Another tab or window opens with the Print Filing page. Information contained within the printable filing will need to be re-entered on a new form filing.

2. Print the MU1 Filing. To return to the previous screen close that tab or window.

3. Click Cancel.
4. Click on the Delete (X) icon.
5. Click OK to confirm the deletion.
6. Click Create New Filing.
7. Re-enter information contained in the deleted filing.
8. Proceed with filing.
Helpful Hints

Not applicable

Additional Resources:

- Find State-Specific requirements on the NMLS Resource Center.

See Company and Branch Help Table of Contents