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Policy

The State License/Registration List screen displays detailed summary information about the license(s) held by an individual. The list includes: all licenses and registrations currently or previously held by an individual. This screen is the primary tool for viewing Regulator communication regarding a particular license. Regulators communicate with licensees through NMLS using *External Notes* which can be viewed when a license status is updated, or when a License Item(s) is placed.

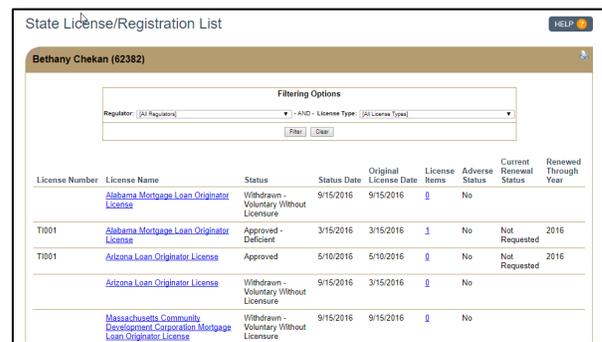


Figure 1: State License/Registration List Page

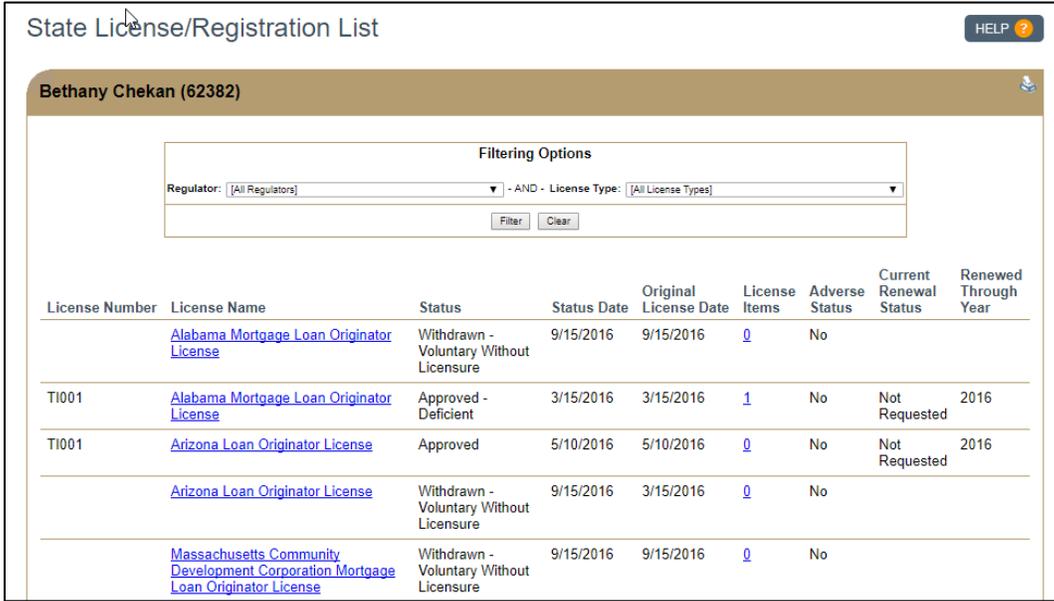
Definitions and Charts

	Description
<b>License Number</b>	The alpha numeric internal number assigned by a regulator to identify a license/registration.
<b>License Name</b>	The name of the license/registration.
<b>Status</b>	The status of the license/registration or application as of the corresponding status date.
<b>Status Date</b>	The effective date of the current status of the license/registration, usually assigned by the regulator. This date cannot be post-dated.
<b>Original License Date</b>	The date the license was first approved, as reported by the regulator.
<b>License Items</b>	The number of jurisdiction requirements and/or deficiencies placed on a license/registration by a regulator. License Items can only be removed by the regulator once the licensee has addressed the requirements and or deficiencies.
<b>Adverse Status</b>	The indicator that identifies a license status is categorized as an adverse status (Yes or No).
<b>Current Renewal Status</b>	The current status of a Renewal Request.
<b>Renewed Through Year</b>	The four-digit year through which the License/Registration Renewal is valid.

Figure 2: State License Registration List Column Headers and Descriptions

How to View State License/Registration List

1. Review the state license/registration record(s) (see *Figure 3*).



State License/Registration List

Bethany Chekan (62382)

Filtering Options

Regulator: [All Regulators] - AND - License Type: [All License Types]

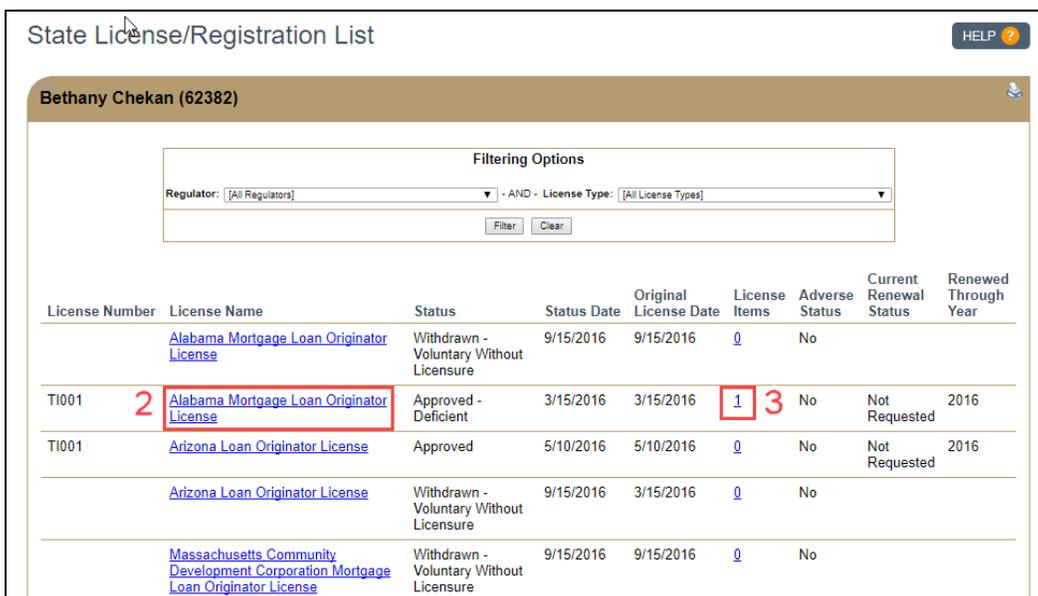
Filter Clear

License Number	License Name	Status	Status Date	Original License Date	License Items	Adverse Status	Current Renewal Status	Renewed Through Year
	<a href="#">Alabama Mortgage Loan Originator License</a>	Withdrawn - Voluntary Without Licensure	9/15/2016	9/15/2016	0	No		
TI001	<a href="#">Alabama Mortgage Loan Originator License</a>	Approved - Deficient	3/15/2016	3/15/2016	1	No	Not Requested	2016
TI001	<a href="#">Arizona Loan Originator License</a>	Approved	5/10/2016	5/10/2016	0	No	Not Requested	2016
	<a href="#">Arizona Loan Originator License</a>	Withdrawn - Voluntary Without Licensure	9/15/2016	3/15/2016	0	No		
	<a href="#">Massachusetts Community Development Corporation Mortgage Loan Originator License</a>	Withdrawn - Voluntary Without Licensure	9/15/2016	9/15/2016	0	No		

Figure 3: State License/Registration List Page

**NOTE:** The Renewed Through Year column populates after the first time the license renewal is approved and updates every subsequent year when the renewal is approved.

2. Click the **License Name** link to view the License/Registration Status History.
3. Click the **License Items** link to view the License Item Information (see *Figure 3*).



State License/Registration List

Bethany Chekan (62382)

Filtering Options

Regulator: [All Regulators] - AND - License Type: [All License Types]

Filter Clear

License Number	License Name	Status	Status Date	Original License Date	License Items	Adverse Status	Current Renewal Status	Renewed Through Year
	<a href="#">Alabama Mortgage Loan Originator License</a>	Withdrawn - Voluntary Without Licensure	9/15/2016	9/15/2016	0	No		
TI001	<a href="#">Alabama Mortgage Loan Originator License</a>	Approved - Deficient	3/15/2016	3/15/2016	1	No	Not Requested	2016
TI001	<a href="#">Arizona Loan Originator License</a>	Approved	5/10/2016	5/10/2016	0	No	Not Requested	2016
	<a href="#">Arizona Loan Originator License</a>	Withdrawn - Voluntary Without Licensure	9/15/2016	3/15/2016	0	No		
	<a href="#">Massachusetts Community Development Corporation Mortgage Loan Originator License</a>	Withdrawn - Voluntary Without Licensure	9/15/2016	9/15/2016	0	No		

## How to View State License/Registration Status History

Viewing the Status License/Registration Status History allows the user to view the progression of a license status, along with any External Notes the regulator provided.

1. Click the **License Name** link (see *Figure*).

License Number	License Name	Status	Status Date	Original License Date	License Items	Adverse Status	Current Renewal Status	Renewed Through Year
	<a href="#">Alabama Mortgage Loan Originator License</a>	Withdrawn - Voluntary Without Licensure	9/15/2016	9/15/2016	0	No		
T1001	<a href="#">Alabama Mortgage Loan Originator License</a>	Approved - Deficient	3/15/2016	3/15/2016	1	No	Not Requested	2016
T1001	<a href="#">Arizona Loan Originator License</a>	Approved	5/10/2016	5/10/2016	0	No	Not Requested	2016
	<a href="#">Arizona Loan Originator License</a>	Withdrawn - Voluntary Without Licensure	9/15/2016	3/15/2016	0	No		
	<a href="#">Massachusetts Community Development Corporation Mortgage Loan Originator License</a>	Withdrawn - Voluntary Without Licensure	9/15/2016	9/15/2016	0	No		

Figure 5: State License/Registration List Page

2. The State License/Registration Status History will display (see *Figure*).

License Number	Status	Status Date	Original License Date	Updated By	Prevent Renewal	Updated Date	External Notes	Renewed Through Year
	Approved	10/09/2015	06/01/2015	SrrFLReG	No	10/09/2015		2016
	Pending - Incomplete	04/08/2015		System	No	04/08/2015		

Sponsorship Status	Status Date	Sponsoring Company	Updated By	System Date
Requested	05/13/2015	Mortgage State Company LL (39431)	StateR	05/13/2015

From	To	Employer	Position Held
07/2007	Present	The Bank	
02/2000	02/2001	Prev Employer	MLO
01/2000	Present	State Renewals	MLO

Figure 6: State License/Registration Status History Page

**NOTE:** Sponsorship History will display all current and previous sponsoring companies. Information found under the Employment History section is data an individual self-reports via the Individual (MU4) Form.

## How to View State License Item Information

Viewing the State License Item Information provides the user with the ability to view action items placed by a state regulator(s).

1. Click the **License Items** link (see *Figure 3*).

State License/Registration List

O ML (39432)

License Number	License Name	Status	Status Date	Original License Date	License Items	Adverse Status	Current Renewal Status	Renewed Through Year
	<a href="#">Alabama Mortgage Loan Originator License</a>	Pending - Incomplete	4/8/2015		0	No		
123456789	<a href="#">California - BRE Real Estate Broker License</a>	Terminated - Expired	12/9/2013	1/1/2011	0	No		
12345	<a href="#">California - BRE Real Estate Salesperson License</a>	Terminated - Expired	10/10/2013	1/1/2011	0	No		
	<a href="#">Florida Mortgage Loan Originator License</a>	Approved	10/9/2015	6/1/2015	1 2	No		2016
FL-I-01020	<a href="#">Florida Mortgage Loan Originator License</a>	Terminated - Expired	12/17/2014	1/1/2011	1	No		
	<a href="#">Idaho Mortgage Loan Originator License</a>	Approved	10/9/2015	6/1/2015	2	No		2016
RI-I-9403	<a href="#">Rhode Island Mortgage Loan Originator License</a>	Terminated - Expired	12/9/2013	10/21/2012	0	No		

Figure 7: State License/Registration List Page

2. The License Item Information will display (see *Figure 3*).

State License Item Information

O ML (39432)

License Name: Florida Mortgage Loan Originator License  
Individual ID: 39432

**Active License Items**

License Item Type	Title	Created Date	Created By	Updated Date	Updated By	External Note
<a href="#">Federal Criminal Background Check Required - New license</a>	Federal Criminal Background Check Required - New license	4/8/2015	System	4/8/2015	System	
<a href="#">Verify Current Employment Information</a>	Verify Current Employment Information	9/2/2015	System	9/2/2015	System	Your associated company has recently made changes to one or more of the following: company Legal Name, Main Address, or Branch Address. You must update your employment information as needed to incorporate the appropriate changes to your Employment History through an amended Individual (MU2 / MU4) filing.

**Inactive License Items**

License Item Type	Title	Created Date	Created By	Cleared Date	Cleared By	External Note
<a href="#">Verify Current Employment Information</a>	Verify Current Employment Information	6/26/2015	System	7/1/2015	System	Your associated company has recently made changes to one or more of the following: company Legal Name, Main Address, or Branch Address. You must update your employment information as needed to incorporate the appropriate changes to your Employment History through an amended Individual (MU2 / MU4) filing.

Figure 8: State License Item Information Page

**NOTE:** Once the License Item is addressed, it must be manually cleared by a state regulator. When the state regulator clears the item, it will automatically move into the Inactive License Items section.

## Additional Resources

- [Information Viewable in NMLS Consumer Access](#)
- [Individual Form \(MU4\) Filing](#)
- [Individual \(MU4\) Amendments](#)
- [State Specific Requirements on the NMLS Resource Center](#)
- [License Status Definitions](#)