The State License/Registration List screen displays detailed summary information about the license(s) held by an individual. The list includes: all licenses and registrations currently or previously held by an individual. This screen is the primary tool for viewing Regulator communication regarding a particular license. Regulators communicate with licensees through NMLS using External Notes which can be viewed when a license status is updated, or when a License Item(s) is placed.

### Definitions and Charts

<table>
<thead>
<tr>
<th>Description</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>License Number</strong></td>
<td>The alpha numeric internal number assigned by a regulator to identify a license/registration.</td>
</tr>
<tr>
<td><strong>License Name</strong></td>
<td>The name of the license/registration.</td>
</tr>
<tr>
<td><strong>Status</strong></td>
<td>The status of the license/registration or application as of the corresponding status date.</td>
</tr>
<tr>
<td><strong>Status Date</strong></td>
<td>The effective date of the current status of the license/registration, usually assigned by the regulator. This date cannot be post-dated.</td>
</tr>
<tr>
<td><strong>Original License Date</strong></td>
<td>The date the license was first approved, as reported by the regulator.</td>
</tr>
<tr>
<td><strong>License Items</strong></td>
<td>The number of jurisdiction requirements and/or deficiencies placed on a license/registration by a regulator. License Items can only be removed by the regulator once the licensee has addressed the requirements and or deficiencies.</td>
</tr>
<tr>
<td><strong>Adverse Status</strong></td>
<td>The indicator that identifies a license status is categorized as an adverse status (Yes or No).</td>
</tr>
<tr>
<td><strong>Current Renewal Status</strong></td>
<td>The current status of a Renewal Request.</td>
</tr>
<tr>
<td>** Renewed Through Year**</td>
<td>The four-digit year through which the License/Registration Renewal is valid.</td>
</tr>
</tbody>
</table>
How to View State License/Registration List

1. Review the state license/registration record(s) (see Figure 3).

   **Figure 3: State License/Registration List Page**

   **NOTE**: The Renewed Through Year column populates after the first time the license renewal is approved and updates every subsequent year when the renewal is approved.

2. Click the **License Name** link to view the License/Registration Status History.

3. Click the **License Items** link to view the License Item Information (see Figure 3).
How to View State License/Registration Status History

Viewing the Status License/Registration Status History allows the user to view the progression of a license status, along with any External Notes the regulator provided.

1. Click the **License Name** link (see Figure).

![Figure 5: State License/Registration List Page](image)

2. The State License/Registration Status History will display (see Figure).

![Figure 6: State License/Registration Status History Page](image)

**NOTE:** Sponsorship History will display all current and previous sponsoring companies. Information found under the Employment History section is data an individual self-reports via the Individual (MU4) Form.
How to View State License Item Information

Viewing the State License Item Information provides the user with the ability to view action items placed by a state regulator(s).

1. Click the **License Items** link (see *Figure 3*).

![State License/Registration List Page](image)

*Figure 7: State License/Registration List Page*

2. The License Item Information will display (see *Figure 3*).

![State License Item Information Page](image)

*Figure 8: State License Item Information Page*

**NOTE:** Once the License Item is addressed, it must be manually cleared by a state regulator. When the state regulator clears the item, it will automatically move into the Inactive License Items section.

**Additional Resources**

- [Information Viewable in NMLS Consumer Access](#)
- [Individual Form (MU4) Filing](#)
- [Individual (MU4) Amendments](#)
- [State Specific Requirements on the NMLS Resource Center](#)
- [License Status Definitions](#)