Policy

This read-only screen displays information about the company and its primary contacts. This information includes the Main and/or Mailing Addresses, Employer Identification Number (EIN), Business Phone numbers, and Email Addresses.

Definitions and Charts

Not Applicable
Help Document

**COMPANY INFORMATION**

**How to View Full EIN**

1. Click the View link to view the company’s full EIN (see *Figure 2*).

![Figure 2: Company Information – Full EIN](image)

**How to View Regulatory Action Information**

1. Click the **Viewable Regulatory Actions** indicator to view the details (see *Figure 3*).

![Figure 3: Company Information – Regulatory Actions](image)

**How to View Pending Advance Change Notices**

1. Click the **Has Pending Advance Change Notices** indicator to view advance change notice details (see *Figure 3*).

![Figure 4: Company Information – Pending Advance Change Notices](image)

**Additional Resources**

- Information Viewable on NMLS Consumer Access
- Amendments & Advance Change Notice

12/7/2015