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Policy

A federal criminal background check (CBC) can be authorized through the Individual Form (MU4) for individuals seeking licensure as well as through the Individual Form (MU2) for an individual in a position of control for a company or branch.

If permitted by applicable state law, state agencies have the ability to allow or require the following MU2 individuals to authorize and pay for a CBC through NMLS:

- Direct Owners/Executive Officers (DO/EO)
- Indirect Owners (IO)
- Qualifying Individuals (QI)
- Branch Managers

Review the [MU2 CBC Requirements Chart](#) on the NMLS Resource Center to determine CBC MU2 requirements. CBC for MU2 requirements can also be found on the applicable [state licensing checklists](#) also found on the NMLS Resource Center.

For assistance completing a CBC for an MU2 individual, refer to the [Completing the Criminal Background Check Quick Guide](#).

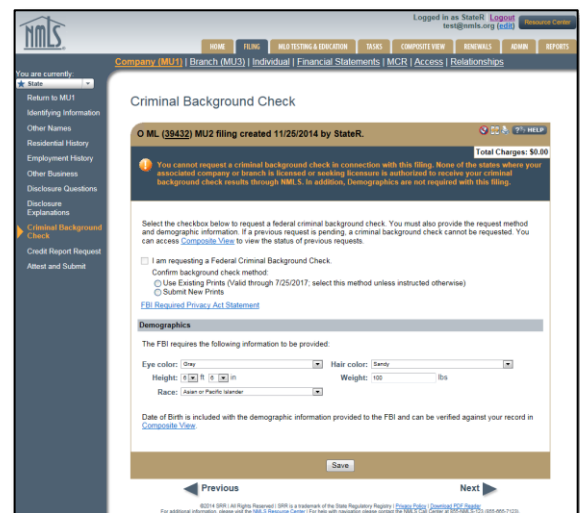


Figure 1: Criminal Background Check

Definitions and Charts

Not Applicable.

Additional Resources

- [Information Viewable in NMLS Consumer Access](#)
- [State Licensing Requirements on the NMLS Resource Center](#)
- [MU2 CBC Requirements Chart](#)