



Company, Branch, and Individual Data and License/Registration Information Viewable in NMLS Consumer Access

Company, branch, or individual information is viewable in NMLS Consumer Access if the company, branch, or individual:

- (1) currently holds an approved license;
- (2) held an approved license within the past five (5) years;
- (3) had an application denied within the past five (5) years; or
- (4) had a license revoked within the past five (5) years

State regulators may post state regulatory action information to company and individual records in NMLS. Public state regulatory action information is viewable in Consumer Access for companies and individuals named as respondents to the action. Limited Identifying Information also is viewable for companies and individuals not viewable in Consumer Access based on license status (see above) but named as respondents to a public state regulatory action posted in NMLS. Companies and individuals with state regulatory action information posted to their record will remain viewable in NMLS indefinitely, regardless of license status.

Information regarding control persons, qualifying individuals, and branch managers is not viewable in NMLS Consumer Access except Entity ID, Name, and Industry for branch managers.

Information as detailed in this document is publicly viewable based on the most up to date information on file with regulatory agencies, as well as certain historical information (for example, prior legal names).

Use the Links below to access details regarding the data fields and license/registration information viewable in NMLS Consumer Access.

[Company Data](#)

[Branch Data](#)

[Individual Data](#)

[License/Registration Information](#)

[Appendix A – License Status Details](#)

Personal Identifying Information (e.g. Date of Birth, Social Security Number, and Residential History), Criminal Background History, Credit Reports, Disclosure Questions, and Disclosure Explanations are not viewable in NMLS Consumer Access.



Company Data

Disclosure Questions and Disclosure Explanations are not viewable on NMLS Consumer Access.

Identifying Information

Entity Name*

Main Address (Number & Street, City, State, Country/Province, and Postal Code)

Mailing Address (PO Box or Number & Street, City, State, Country/Province, and Postal Code)

Business Phone

Toll free Number (for consumers)

Fax Line

E-mail Address (also displayed as Branch Email address under Branch details)

Other Trade Names List/Details

Name* (*i.e., Other Trade Names used by the company*)

States (States where the other trade name is used)Resident/Registered Agent List/Details

Company

First Name

Last Name

Title

Business Address (including City, State, Country/Province and Postal Code)

Business Phone

Fax Line

Web Addresses/List Details

Website Address

Legal Status

Fiscal year end (MM/DD)

Formation State

Formation Country / Province

Date of Formation (MM/DD/YYYY)

Stock Symbol

Legal Status License/Registration Information List/Details (See [License/Registration Information section](#))

State Regulatory Actions

Regulator

Action Type

Date of Action

Action ID



Docket Number
Associated Document(s)

Branch Data

Identifying Information

Physical Address (Number & Street, City, State, Country/Province and Postal Code)
Mailing Address (PO Box or Number & Street, City, State, Country/Province and Postal Code)
Business Phone
Fax Line

Other Trade Names List/Details

Name *
State(s)

Branch Manager Details

NMLS ID
Name
Industry

Web Addresses/List Details

Website Address (*When a branch website is not provided, the website disclosed on the Company Form (MU1) is listed as the branch website for the branch record in NMLS Consumer Access.*)

License/Registration Information List/Details (See [License/Registration Information](#) section)

Individual Data

Personal Identifying Information (e.g. Date of Birth, Social Security Number, and Residential Address) Criminal Background History, Credit Reports, Disclosure Questions, and Disclosure Explanations are not viewable in NMLS Consumer Access.

Identifying Information

Full Name*
Business Phone
Extension
Fax Line

Are you currently engaged in any other business as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise? (Yes/No)

Other Names

Other names of the individual including First, Middle, Last, and Suffix* (e.g. nicknames, aliases, maiden name)



Employment History List/Details

Employer (company name)

From (MM/YYYY)

To (MM/YYYY) – for past employment records

Position Held

Address (City, State, Country/Province, and Postal Code only)

Is the employment financial services-related? (Yes/No)

Sponsorship¹ information is displayed for each Publicly Viewable License

Sponsor Company Name

Sponsor Company ID

Sponsorship Start Date

Sponsorship End Date (*for Inactive Sponsorships only*)

Registered Location Link information is displayed for each Publicly Viewable License

(Only active Registered Locations are displayed in the ‘Office Location’ section of the Individual Details Page.)

Company Name

Registered Location NMLS ID

Registered Location Office Type (*Main or Branch*)

Registered Location (Street Address, City, State and Zip Code)

Registered Location Start Date

License/Registration Information List/Details (See [License/Registration Information](#) section)

State Regulatory Actions

Regulator

Action Type

Date of Action

Action ID

Docket Number

Associated Document(s)

License/Registration Information

License/registration information is considered to be publicly Viewable if the license/registration has been in an “Approved” status within the past five (5) years, was “Revoked” within the past five (5) years, or an application for the license/registration was “Denied” within the past five (5) years.

(See [Appendix A](#) for license status details.)

¹ An Active Sponsorship in NMLS denotes that an individual’s loan origination activities are appropriately supervised by their employer for the individual to be eligible to hold a valid, active, approved mortgage loan originator license in a jurisdiction. Active Sponsorships are displayed as ‘Authorized to Represent’ and Inactive Sponsorships are displayed as ‘Previously Authorized to Represent’ on the Individual Details Page. Deficient and Accepted Sponsorships are considered Active; and Removed and Rejected Sponsorships are considered Inactive.



The following information related to a Publicly Viewable license/registration is displayed:

License/Registration Name

Status (*Current registration status: Active or Inactive*)

Authorized to Conduct Business Flag (*Active Status = yes; Inactive Status = no*)

License Status History

The following information related to a Publicly Viewable License/Registration is displayed for each Company, Branch, or Individual:

Regulator (*Regulatory agency associated with license/registration*)

License/Registration Name

Authorized to Conduct Business Flag (*as detailed in [Appendix A](#)*)

License/Registration Number

Original Issue Date (*new field as of January 25, 2010*)

Status (*Current License Status as detailed in [Appendix A](#)*)

Status Date (*date Current License Status was assigned*)

License Status History



Appendix A

The table below details for each status listed whether a license/registration in the status is 'Authorized to Conduct Business', and the status name that displays in NMLS Consumer Access. Information regarding Licenses/Registrations in a status not appearing in this table is not viewable in NMLS Consumer Access.

License Status	Authorized to Conduct Business?	Displayed in NMLS Consumer Access as:
Approved	Y	Approved
Approved – Conditional	Y	Approved
Approved – Deficient	Y	Approved
Approved - Failed to Renew	Y	Approved
Approved - Inactive	N	Approved – Inactive
Approved - On Appeal	Y	Approved
Approved - Surrender/Cancellation Requested	Y	Approved-Surrender/Cancellation Requested
Denied†	N	Denied
Revoked†	N	Revoked
Revoked - On Appeal	N	Revoked-On Appeal
Suspended	N	Suspended
Suspended - On Appeal	N	Suspended-On Appeal
Temporary Cease and Desist	N	Temporary Cease and Desist
Terminated – Expired†	N	Expired
Terminated – Failed to Renew†	N	Expired
Terminated - Ordered to Surrender†	N	Ordered to Surrender
Terminated - Surrendered/Cancelled†	N	Voluntary Surrender

†Information regarding licenses in these statuses is viewable for a period of five (5) years after the date the status was assigned (i.e. the 'Status Date' associated with the status).