

Wyoming Permissible Investment Report

New Application

Applicant Name: _____ NMLS Number: _____

Permissible Investments Report as of: _____

Permissible Investments (W.S. 40-22-107)

A) Cash	\$
B) CD's or other debt obligations of a financial institution, either domestic or foreign	\$
C) Bills of Exchange (aka Bankers' Acceptances)	\$
D) Investment Securities (1 of 4 highest ratings per nationally recognized agency)	\$
E) Qualified U.S. Investment Securities	\$
F) Qualified Mutual Funds / Bonds	\$
G) Qualified Demand Borrowing Agreement	\$
H) Authorized Delegate Receivables (not past due or doubtful of collection)	\$
J) Other investments previously approved by commissioner (provide description)	\$
TOTAL PERMISSIBLE INVESTMENTS (Sum of A – J)	\$

Transactions conducted in previous 12 months:

- Total dollar sales in Wyoming \$ _____
Total dollar sales in U.S. \$ _____
- Total number sold in Wyoming _____
Total number sold in U.S. _____
- Average daily balance of consumer obligations in Wyoming \$ _____
- Total Outstandings consumer obligations as of the day of this report:
 - Wyoming \$ _____
 - United States \$ _____