

ATTESTATION
to
Ohio Application for Licensure

FOR PURPOSES OF THIS ATTESTATION, "APPLICANT" MEANS THE PERSON SEEKING, AND SUBSEQUENTLY OBTAINING, LICENSURE FROM THE DIVISION.

Name of Applicant as listed on MU filing: _____

NMLS ID of Applicant: _____

Under penalties of perjury, I, the undersigned, to the best of my knowledge, do hereby acknowledge and attest that the MU application submitted via the NMLS and any attachments sent directly to the Division of Financial Institutions (Division) and incorporated by reference herein constitute a complete, truthful, and correct statement of information requested by the Division.

I understand that any false or fraudulent representation or substantial misrepresentation may be grounds for denial of any license application pending with the Division or revocation of any license granted by the Division, and could result in other legal action initiated against me, including but not limited to criminal prosecution.

I further acknowledge and confirm:

- I have not engaged in unlicensed activity at any location prior to the issuance of a license to me by the Division.
- I am covered by a surety bond in the amount and in compliance with the Revised Code provisions pursuant to which I am seeking licensure.
- I understand the ongoing duty to provide updated information to the Division regarding any material changes on the application.
- The ongoing duty to provide notice to the Division if I have been convicted or pled guilty or nolo contendere to any felony or misdemeanor criminal offense in any domestic, foreign, or military court.
- The ongoing duty to provide notice to the Division if I am sanctioned by any government agency in conjunction with any financial matter, including personal or business related judgments.
- The understanding that, in obtaining a license, I am familiar with, understand, and am bound by the applicable provisions of the Revised Code and Ohio Administrative Code.
- The understanding that the Revised Code and administrative rules promulgated thereunder establish statutory conduct standards, affirmative duties and prohibitions in conjunction with the conduct of business under the license.
- The understanding that I must renew my license annually by December 31st and that a late renewal may be subject to a late renewal fee, administrative sanctions and/or cancellation of my license by operation of law (where applicable).
- I need to check my NMLS account for license items and deficiencies set by the Division for which I need to submit a response.
- That I may be required to repeat these attestations, in whole or in part, on subsequent filings submitted to the Division.
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Signature

Date

COMPANY RESOLUTION

To be adopted by all companies for the purpose of demonstrating that the person signing documents and forms filed with, or submitted to, the Division of Financial Institutions, Consumer Finance Section, has the company's authority to sign on behalf of the company. *NOTE: it is not necessary for sole proprietors to submit a company resolution.*

Name of Company

At a meeting of its members, partners, managers, trustees or board of directors _____ held at _____

_____ on the _____ day of _____, 20____
pursuant to lawful notice of waiver thereof, and at which meeting a quorum for the transaction of business was present, the following was duly adopted:

"Be it resolved, that _____
(Name of Individual and Company Title)

or _____
(Name of Individual and Company Title)

of _____
(Name of Company)

Be authorized and directed by the Company's members, partners, managers, trustees or board of directors, to execute and submit filings and forms for, and all acts amendatory thereof and supplemental thereto, the Company, to the Division of Financial Institutions."

CERTIFICATION

The undersigned hereby certifies that he/she is the _____ Secretary of _____
_____, a company organized and existing under the laws of the State of _____; that the foregoing is a true and correct copy of a resolution duly adopted at a meeting of the members, partners, managers, trustees or board of directors of the company held on _____ day of _____, 20 __, at which meeting a quorum was at all times present and acting; that the passage of said resolution was in all respects legal; and, that said resolution is in full force and effect.

By _____
(Company Secretary – Signature)

Printed Name

Date _____