

ATTESTATION
to
Ohio Application for Individual Licensure

FOR PURPOSES OF THIS ATTESTATION, “APPLICANT” MEANS THE PERSON SEEKING, AND SUBSEQUENTLY OBTAINING, LICENSURE FROM THE DIVISION.

Name of Applicant as listed on MU filing: _____

NMLS ID of Applicant: _____

Under penalties of perjury, I, the undersigned, to the best of my knowledge, do hereby acknowledge and attest that the MU application submitted via the NMLS and any attachments sent directly to the Division of Financial Institutions (Division) and incorporated by reference herein constitute a complete, truthful, and correct statement of information requested by the Division.

I understand that any false or fraudulent representation or substantial misrepresentation may be grounds for denial of any license application pending with the Division or revocation of any license granted by the Division, and could result in other legal action initiated against me, including but not limited to criminal prosecution.

I further acknowledge and confirm:

- The Applicant has not engaged in unlicensed activity at any location prior to the issuance of a license for that location by the Division.
- The Applicant has obtained surety bond coverage (if will be sponsored by an RMLA exempt entity that does not carry a bond) in the amount and in compliance with the Revised Code provisions pursuant to which the Applicant is seeking licensure (if applicable).
- The Applicant understands the ongoing duty to provide updated information to the Division regarding any material changes on the application.
- The ongoing duty of the Applicant to provide notice to the Division if the Applicant has been convicted or pled guilty or nolo contender to any felony or misdemeanor criminal offense in any domestic, foreign, or military court.
- The ongoing duty of the Applicant to provide notice to the Division if the Applicant is sanctioned by any government agency in conjunction with any financial matter, including personal or business-related judgments.
- The understanding that, in obtaining a certificate of license, the Applicant is familiar with, understands, and is bound by the applicable provisions of the Revised Code and Ohio Administrative Code.
- The understanding that the Revised Code and administrative rules promulgated thereunder establish statutory conduct standards, affirmative duties and prohibitions in conjunction with the conduct of business under the license.
- The understanding that the Applicant must renew the license annually by December 31st (plus an additional 45-day late renewal period) or the license will be cancelled by operation of law.
- The records pertaining to the licensee will be maintained pursuant to the applicable Revised Code provisions and that the Applicant remains subject to this requirement after the cessation of licensure and the surrender or non-renewal of the license.
- That the Applicant may be required to repeat these attestations, in whole or in part, on subsequent filings submitted to the Division.

Signature

Date