

## FINANCIAL STATEMENT

(optional form)

ENTITY NAME .....NMLS ID.....

ADDRESS .....

Street

.....

City

State

Zip Code

PERIOD END DATE .....

### ASSETS\*

### LIABILITIES

Cash in Office	\$.....	Accounts Payable/Clients	\$.....
Cash in Bank	\$.....	Monthly Rent/Lease Expense	\$.....
US Govt. Securities (List on Schedule <b>B</b> )	\$.....	Accounts Payable/Trade	\$.....
Cash Value/Insurance	\$.....	Taxes Due and Unpaid	\$.....
Stocks & Bonds (List on Schedule <b>B</b> )	\$.....	Interest Due and Unpaid	\$.....
Accounts Receivable	\$.....	Notes Payable to Banks	\$.....
Loans/Notes Receivable	\$.....	Notes Payable to Others	\$.....
Real Estate (List on Schedule <b>A</b> )	\$.....	Real Estate Mortgages Payable	\$.....
Automobiles	\$.....	Other Debts and Liabilities	\$.....
Office Equipment	\$.....	<b>(B) TOTAL LIABILITIES</b>	<b>\$.....</b>
Computer Equipment	\$.....		
Computer Software	\$.....		
Office Supply Inventory	\$.....		
Other Assets (List on Schedule <b>C</b> )	\$.....		
<b>(A) TOTAL ASSETS</b>	<b>\$.....</b>	Enter Amount from <b>(A)</b>	<b>\$.....</b>
		Subtract Amount from <b>(B)</b>	<b>\$.....</b>
		<b>NET WORTH</b>	<b>\$.....</b>

**\*Please note: Assets listed on the Balance Sheet are strictly limited to the tangible assets that are held in the name of the applicant. Personal assets that do not belong to the applying entity, (i.e. Corporation, LLC, Partnership, etc.) should not be listed on this balance sheet.**

**Schedule A - Real Estate Owned**

Description and Location	Title is Held in the Name of	Percentage of Ownership	Date of Valuation	Method of Valuation	Current Value
					\$
CARRIED FORWARD TO PAGE 1				TOTAL	\$

**Schedule B - Marketable Securities**

Description	Amount	Description	Amount
	\$		\$
CARRIED FORWARD TO PAGE 1		TOTAL	\$

**Schedule C - Other Assets**

Description	Method of Valuation	Current value
		\$
CARRIED FORWARD TO PAGE 1		TOTAL \$

**SIGNATURE OF APPLICANT**

By: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name & Title

NOTE: If the entity is a corporation or association, this instrument must be signed by the President, Vice President or Secretary of the entity. If the entity is a partnership or LLC, this instrument must be signed by a general partner or member who is duly authorized to execute on behalf of the partnership or LLC, contracts, deeds and other instruments under seal.

**This person should be listed as an Executive Officer or Direct Owner on the Form MU1.**