

**MORTGAGE SERVICER OFFICE LOCATION(S)  
IDENTIFIED BY ATTACHED ADDENDUM**

**Bond#** \_\_\_\_\_

**MORTGAGE SERVICER SURETY BOND**  
(for persons licensed in Connecticut as mortgage lenders)

**KNOW ALL MEN BY THESE PRESENTS**

That we \_\_\_\_\_  
having its principal place of business in \_\_\_\_\_ County of \_\_\_\_\_  
State of \_\_\_\_\_ as Principal, and \_\_\_\_\_  
a surety company, having its principal place of business in \_\_\_\_\_  
County of \_\_\_\_\_ State of \_\_\_\_\_ duly authorized to do business in the  
state of Connecticut, as Surety, are held and firmly bound unto the Banking Commissioner of the State of  
Connecticut for the use of the people of the State and the Commissioner, as Obligees, in the penal sum of  
\$ \_\_\_\_\_ for the payment of which penal sum the said Principal and Surety do jointly and severally  
bind themselves, their heirs, executors, administrators, successors and assigns, and each and every of them firmly by  
these presents.

**Signed, sealed and delivered this** \_\_\_\_\_ **day of** \_\_\_\_\_ **A.D., 20** \_\_\_\_\_

**THE CONDITION OF THIS OBLIGATION IS SUCH THAT WHEREAS,** the above-named Principal  
is licensed as a mortgage lender in Connecticut and intends to act as a mortgage servicer from a location licensed as  
a main office or branch office of such lender under Sections 36a-485 to 36a-498f, inclusive, 36a-534a and 36a-534b  
of the Connecticut General Statutes.

**NOW, THEREFORE,** if the said Principal faithfully performs any and all written agreements or  
commitments with or for the benefit of mortgagors and mortgagees, truly and faithfully accounting for all funds  
received from a mortgagor or mortgagee by the Principal in the Principal's capacity as a mortgage servicer, and  
conducting such mortgage business consistent with the provisions of sections 36a-715 to 36a-718, inclusive, of the  
Connecticut General Statutes, as amended by Public Act 14-89, and sections 5 to 17, inclusive, of Public Act 14-89,  
then this obligation shall be null and void; otherwise to remain in full force and effect.

The duration of this bond shall be continuous. The Surety shall have the right to cancel the bond at any time  
by a written notice to the Obligee, stating the date cancellation shall take effect. Such notice shall be sent by  
certified mail to the Obligee at least thirty (30) days prior to the effective date of cancellation.

Any mortgagor who may be damaged by the failure of the Principal to perform any written agreements or  
commitments, or by the wrongful conversion of funds paid by a mortgagor to said Principal, may proceed on such  
bond against the Principal or Surety thereon, or both, to recover damages.

Notwithstanding the above, the Commissioner may proceed on such bond against the Principal or Surety on  
such bond, or both, to collect any civil penalty imposed upon the Principal pursuant to subsection (a) of Section  
36a-50 of the Connecticut General Statutes, as may be amended, any restitution imposed pursuant to subsection (c)  
of Section 36a-50 of the Connecticut General Statutes and any unpaid costs of examination of a licensee as  
determined pursuant to Section 36a-65 of the Connecticut General Statutes.

The Principal shall notify the Commissioner of the commencement of an action on the bond. When an action  
is commenced on the bond, the Commissioner may require the filing of a new bond and immediately on recovery on  
any action on the bond, the Principal shall file a new bond.

Further, in no event shall the aggregate liability under the bond exceed the penal sum of the bond or the limit  
of liability.

**IN WITNESS WHEREOF,** the said \_\_\_\_\_  
**(Principal)**

has hereunto set its hand and the said \_\_\_\_\_  
**(Surety)**

has caused this instrument to be signed by its \_\_\_\_\_ and its corporate seal to be hereunto  
affixed, the day and year first written.

**Witness as to Principal**

\_\_\_\_\_ **By:** \_\_\_\_\_ **(L S)**  
**(Principal)**

**Witness as to Surety**

\_\_\_\_\_ **By:** \_\_\_\_\_ **(L S)**  
**(Surety)**

ADDENDUM TO BOND NO. \_\_\_\_\_

**ADDRESS OF OFFICE LOCATION(S):**