NY Exempt Mortgage Banker License-MH
Surrender Checklist (Company)

CHECKLIST SECTIONS

- General Information
- Requirements Completed in NMLS
- Requirements Submitted Outside of NMLS

GENERAL INFORMATION

Instruction
1. File the surrender request through NMLS.
2. There is no fee to surrender.
3. Send nothing to New York State Department of Financial Services for surrender. We may request additional information upon review of your surrender; watch your email for such requests.

Help Resources
- Company License Surrender Requests Quick Guide
- License Status Review & Definitions Quick Guide

Agency Contact Information
Contact New York State Department of Financial Services: Attention: Exempt Mortgage Banker Processing Unit licensing staff by sending your questions via email to Mortgage.Banker@dfs.ny.gov for additional assistance.

For U.S. Postal Service:
New York State Department of Financial Services
Attention: Exempt Mortgage Banker Processing Unit
One State Street
New York, NY 10004

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.
**REQUIREMENTS COMPLETED IN NMLS**

<table>
<thead>
<tr>
<th>Complete</th>
<th>NY Exempt Mortgage Banker License-MH</th>
<th>Submitted via...</th>
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<tbody>
<tr>
<td>[ ]</td>
<td>Submission of Surrender Request through Company Form (MU1): Request the surrender of the license through the submission of the Company Form (MU1). See the Company License Surrender Requests Quick Guide for instructions.</td>
<td>NMLS</td>
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**REQUIREMENTS SUBMITTED OUTSIDE OF NMLS**

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<tbody>
<tr>
<td></td>
<td>No items are required to be submitted outside of NMLS for this license/registration at this time.</td>
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