

CHECKLIST SECTIONS

- General Information
- Requirements Completed in NMLS
- Requirements Submitted Outside of NMLS

GENERAL INFORMATION

Instructions

- 1. File the surrender/cancellation request through the Nationwide Multistate Licensing System & Registry (NMLS).
- 2. There is no fee to surrender/cancel.
- 3. Send nothing to the North Carolina Office of the Commissioner of Banks for surrender. We may request additional information upon review of your surrender; watch the NMLS license deficiency or requirements section for such requests.
- 4. Ensure your contact information is up to date in the NMLS.

Help Resources

- Individual License Surrender Requests Quick Guide
- License Status Review & Definitions Quick Guide

Agency Contact Information

Contact the North Carolina Non-Depository Mortgage Licensing & Registration Team by phone at (919) 733-3016 or send your questions via email to MLO@nccob.gov_for additional assistance. Any documents required should be uploaded to the NMLS documents section. Below is our mailing address for informational purposes:

For U.S. Postal Service:

North Carolina Office of the Commissioner of Banks Non-Depository Mortgage Licensing Team 4309 Mail Service Center Raleigh, NC 27699-4309

For Overnight Delivery:

North Carolina Office of the Commissioner of Banks Non-Depository Mortgage Licensing Team 316 West Edenton Street Raleigh, NC 27603

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

Updated: 11/9/2022 Page 1 of 2

Internal Use Only

REQUIREMENTS COMPLETED IN NMLS			
Complete	NC Mortgage Loan Originator License	Submitted via	
	Submission of Surrender Request through Individual Form (MU4): Request the surrender of the license through the submission of the Individual Form (MU4). See the Individual License Surrender Requests Quick Guide for instructions.	NMLS	

REQUIREMENTS SUBMITTED OUTSIDE OF NMLS			
Complete	NC Mortgage Loan Originator License	Submitted via	
	Complaint Resolution: This information must be sent outside of NMLS, directly to the North Carolina Office of the Commissioner of Banks using the method indicated. Resolve any outstanding complaints on file with NCCOB.	As directed by the NCCOB Complaint Letter	
	Legal: This information must be sent outside of NMLS, directly to the North Carolina Office of the Commissioner of Banks using the method indicated. Resolve any outstanding legal issues with NCCOB.	As directed by the NCCOB Legal Team	

Updated: 11/9/2022 Page 2 of 2