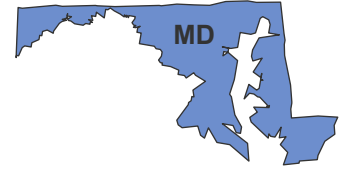




Surrender Checklist

Jurisdiction-Specific Requirements



MARYLAND EXEMPT COMPANY REGISTRATION

Instructions

1. Registrant must notify the Office of the Commissioner of Financial Regulation when terminating business under this registration. Notification must be made by submitting a *Surrender Request* filing for the Maryland Exempt Company Registration through NMLS.
2. The acceptance of the surrender is at the Agency's discretion.
3. There is no fee to surrender.
4. No additional information or documentation is required to be sent to the Office of the Commissioner of Financial Regulation at this time. However, we may request additional information upon review of the filing; watch your e-mail for such requests until the surrender request is accepted.

WHOM TO CONTACT – Contact the Commissioner of Financial Regulation licensing staff by phone at 410-230-6155 or 888-784-0136 for further assistance regarding Maryland specific requirements.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH APPLICANT/LICENSEE IS APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.