



# MA Mortgage Lender License Amendment Checklist (Branch)

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## CHECKLIST SECTIONS

- [General Information](#)
- [Amendments](#)

## GENERAL INFORMATION

### Instructions

When making changes to your record in NMLS, Massachusetts Division of Banks requires advance notification for some changes. See the checklist below for details.

### Uploading Agency-Specific Documents

If you are required to upload documents to NMLS for an Advance Change Notice (ACN), select “Advance Change Notice” for the document type in the NMLS *Document Uploads* section. If you are required to upload documents for an amendment that doesn’t require ACN, select the applicable document type in the NMLS *Document Uploads* section.

**Note:** Use the recommended filing naming convention found on the [Document Upload Descriptions and Examples](#)

### Helpful Resources

- [Amendments & Advance Change Notice Quick Guide](#)
- [Document Uploads Quick Guide](#)
- [Document Upload Descriptions and Examples](#)

### Agency Contact Information

Contact *Division of Banks* licensing staff by phone at [617-956-1500 ext. 61480](tel:617-956-1500) or send your questions via email to [nmls@state.ma.us](mailto:nmls@state.ma.us) for additional assistance.

### *For U.S. Postal Service & Overnight Delivery:*

*Massachusetts Division of Banks  
Attn: Mortgage Lender/Mortgage Broker Licensing Unit  
1000 Washington Street  
10th floor  
Boston, MA 02118-2218*

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

## AMENDMENTS

- [Change of Branch Address](#)
  - Change of Branch Address: \$50 per license.
  - **Note:** Licensees that hold both a mortgage lender and mortgage broker license must hold a mortgage lender branch and mortgage broker branch license for each branch.
  
- [Addition, Modification or Deletion of Other Trade Name](#)
  
- [Change of Branch Manager Disclosure Question\(s\)](#)
  
- [Change of Branch Manager](#)

**Note:** Information uploaded or filed in NMLS will not be viewable to the agency until the filing has been attested to and submitted through NMLS. Agency-specific requirements that should be emailed or mailed to the agency on the checklist below must be received with the appropriate checklist within five (5) business days of the electronic submission of your submission through the NMLS.

Complete	MA Mortgage Lender License (Branch) Change of Branch Address Amendment Items	Submitted via...
<input type="checkbox"/>	<p><b>Change of Branch Address:</b> Submit an ACN for a change of Branch Address through the Branch Form (MU3) in NMLS. 30 days' notice must be provided for this change.</p> <p><b>Note:</b> Please be advised that the change to your physical address will trigger the \$50 fee. You are also required to amend any other address fields on the Branch Form that have changed, however no fee will be charged for those amendments.</p>	<b>NMLS</b>
<input type="checkbox"/>	<p><b>Change of Branch Address:</b> \$50 per mortgage license</p> <p>Fees collected through NMLS are NOT REFUNDABLE OR TRANSFERABLE.</p>	<b>NMLS</b>

<b>Complete</b>	<b>MA Mortgage Lender License (Branch)</b> <b>Addition, Modification or Deletion of Other Trade Name Amendment Items</b>	<b>Submitted via...</b>
<b>Note</b>	<b>Other Trade Names:</b> Other Trade Names (OTNs) are managed at the company license level. See the <a href="#">Mortgage Broker License Amendment Checklist</a> for more information.	<b>N/A</b>

<b>Complete</b>	<b>MA Mortgage Lender License (Branch)</b> <b>Change to Branch Manager Disclosure Question(s) Amendment Items</b>	<b>Submitted via...</b>
<input type="checkbox"/>	<b>Change of Disclosure Question(s):</b> Submit an amendment for a change to Disclosure Question response(s) through the Branch Manager’s Individual Form (MU2) in NMLS.	<b>NMLS</b>
<input type="checkbox"/>	<b>Changing a Response from No to Yes:</b> Provide a complete and detailed explanation and document upload for each response that changes from “No” to “Yes” for the Branch Manager. Should a yes response apply to multiple incidents, each incident must have its own explanation and document upload.  See the <a href="#">Individual Disclosure Explanations Quick Guide</a> and the <a href="#">Disclosure Explanations - Document Upload Quick Guide</a> for instructions.	<b>Upload in NMLS</b> in the <i>Disclosure Explanations</i> section of the Individual Form (MU2).
<input type="checkbox"/>	<b>Changing a Response from Yes to No:</b> When changing a Disclosure Question response from Yes to No for the Branch Manager, you will be required to remove the question from the associated Disclosure Explanation and provide an Amendment Reason.  You must select “Add Explanation For “No” Responses” and provide an explanation for each response that changes from “Yes” to “No” for the Branch Manager. You must also upload a document (PDF) related to the explanation, if applicable  See the <a href="#">Individual Disclosure Explanations Quick Guide</a> and the <a href="#">Disclosure Explanations - Document Upload Quick Guide</a> for instructions.	<b>NMLS</b>

Branch NMLS ID Number	
Applicant Legal Name	
Company NMLS ID Number	
Contact Name	
Phone #	
Proposed Branch Manager	
Branch Manager NMLS ID Number	

Complete	MA Mortgage Lender License (Branch) Change of Branch Manager Amendment Items	Submitted via...
<input type="checkbox"/>	<b>Addition or Modification of Branch Manager:</b> Submit an amendment for a change in Branch Manager within the Branch Form (MU3) in NMLS.	NMLS
Note	<b>Change of Branch Manager Fee:</b> \$0 per license Fees collected through NMLS are NOT REFUNDABLE OR TRANSFERABLE.	N/A
<input type="checkbox"/>	<b>Credit Report:</b> New branch managers are required to authorize a credit report through NMLS. Individuals will be required to complete an Identity Verification Process (IDV) along with an individual attestation before a license request for your company can be filed through NMLS.	NMLS
<input type="checkbox"/>	<b>MU2 Individual FBI Criminal Background Check Requirements:</b> When added to the Branch Form (MU3), Branch Managers, as specified below, are required to authorize a FBI criminal background check (CBC) through NMLS. \$36.26 FBI Criminal Background Check fee will be charged per FBI CBC authorization.  Note: This includes the <i>Branch Manager</i> section of the Branch Form (MU3)  After the authorization of the FBI criminal background check through the submission of the Company Form (MU1) and Individual Form (MU2), you must schedule an appointment to be fingerprinted.  See the <a href="#">Quick Guides - Company section</a> of the NMLS Resource Center for more information.  <b>Note:</b> If you are able to 'Use Existing Prints' to process the FBI criminal background check, you DO NOT have to schedule an appointment. NMLS will automatically submit the fingerprints on file.	NMLS
<input type="checkbox"/>	<b>Evidence of Experience:</b> Provide third party evidence that the new branch manager has five years of full-time experience, or the equivalent in part-time	Mail to MA Division of Banks

Complete	<b>MA Mortgage Lender License (Branch)</b> <b>Change of Branch Manager Amendment Items</b>	Submitted via...
	experience, working for a licensed mortgage broker, mortgage lender, or financial institution exempt from licensing under G.L. c. 255E. See <a href="#">Regulatory Bulletin 5.1-102 Experience Requirements for Mortgage Lender and Mortgage Broker Licensing</a> .	