



Surrender Checklist

Jurisdiction-Specific Requirements



KENTUCKY MORTGAGE BROKER BRANCH LICENSE

Instructions

1. File the surrender request through the NMLS.
2. There is no fee to surrender.
3. Send the original license certificate to Kentucky for surrender; along with written notification as to the file storage location for record retention purposes. We may request additional information upon review of your surrender; watch your e-mail for such requests.

For U.S. Postal Service and Overnight Delivery:

Kentucky Department of Financial Institutions
500 Mero Street 2SW19
Frankfort, KY 40601

WHO TO CONTACT – Contact Department of Financial Institutions licensing staff by phone at 502-782-9020 or send your questions via e-mail to Emily.Clifford@ky.gov for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.