



IN Consumer Loan License Surrender Checklist (Company)

CHECKLIST SECTIONS

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GENERAL INFORMATION

Instruction

1. File the surrender request through NMLS.
2. There is no fee to surrender.
3. We may request additional information upon review of your surrender; watch your email for such requests.

Help Resources

- [Company License Surrender Requests Quick Guide](#)
- [License Status Review & Definitions Quick Guide](#)

Agency Contact Information

Contact *Indiana Department of Financial Institutions Consumer Credit* licensing staff by phone at (317) 453-2566 or send your questions via email to dfilicensing@dfi.in.gov for additional assistance.

For U.S. Postal Service or Overnight Delivery:

Indiana Department of Financial Institutions
Consumer Credit Division
30 South Meridian Street, Suite 300
Indianapolis, IN 46204

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

REQUIREMENTS COMPLETED IN NMLS

| Complete | IN Consumer Loan License | Submitted via... |
|--------------------------|---|--|
| <input type="checkbox"/> | <p>Additional Requirements: Please upload a document to NMLS on company letterhead, signed by a person with corporate authority to request surrender, that contains responses to the following questions listed below.</p> <ul style="list-style-type: none"> • Is the licensee out of business? If yes, as of what effective date? • Were loans and or consumer credit sales originated and/or purchased during the previous 24 months? <ul style="list-style-type: none"> ○ If yes, please provide information on the number and dollar amount of loans and/or consumer credit sales originated and/or purchased during the previous 24 months. • Are you currently servicing any loans and/or consumer credit sale transactions? <ul style="list-style-type: none"> ○ If yes, please provide information on the total number and dollar amount of the accounts you are servicing. • Has the licensee been the subject of any regulatory actions in the previous 24 months? <ul style="list-style-type: none"> ○ If yes, please ensure all regulatory actions are disclosed in the licensee’s NMLS record. • Who should be contacted about any questions pertaining to the surrender request? <ul style="list-style-type: none"> ○ Please include a phone number and email address for the surrender contact person. This person should have corporate authority to request surrender on behalf of the company. <p>This document should be named <i>IN-Surrender Information</i>.</p> | <p>Upload in NMLS: under the Document Type <u>Additional Requirement(s)</u> in the <i>Document Uploads</i> section of the Company Form (MU1).</p> |
| <input type="checkbox"/> | <p>Submission of Surrender Request through Company Form (MU1): Request the surrender of the license through the submission of the Company Form (MU1). See the Company License Surrender Requests Quick Guide for instructions.</p> | <p>NMLS</p> |

REQUIREMENTS SUBMITTED OUTSIDE OF NMLS

No items are required to be submitted outside of NMLS for this license/registration at this time.