



# CA-DFPI Residential Mortgage Lending Act License Surrender Checklist (Branch)

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## CHECKLIST SECTIONS

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## GENERAL INFORMATION

### Instruction

The licensee must notify the Department of Financial Protection and Innovation through the NMLS that it intends to surrender the license for the request to be considered.

### Help Resources

- [Company License Surrender Requests Quick Guide](#)
- [License Status Review & Definitions Quick Guide](#)

### Agency Contact Information

Contact the Department of Financial Protection and Innovation licensing staff by phone at (866) 275-2677 or send your questions via email to [CRMLA.Licensing@DFPI.ca.gov](mailto:CRMLA.Licensing@DFPI.ca.gov) for additional assistance.

***The submission of the checklist is not required for a branch surrender request.***

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

|                                    |  |
|------------------------------------|--|
| <b>NMLS ID Number</b><br>(Company) |  |
| <b>NMLS ID Number</b><br>(Branch)  |  |
| <b>Licensee Legal Name</b>         |  |

| <b>REQUIREMENTS COMPLETED IN NMLS</b> |  |                         |
|---------------------------------------|--|-------------------------|
| <b>Complete</b>                       | <b>CA-DFPI Residential Mortgage Lending Act</b>  | <b>Submitted via...</b> |
| <input type="checkbox"/>              | <b>Submission of Surrender Request through Branch Form (MU3).</b> Request the surrender of the license through the submission of the Branch Form (MU3). See the <a href="#">Company License Surrender Requests Quick Guide</a> for instructions. | <b>NMLS</b>             |

| <b>REQUIREMENTS SUBMITTED OUTSIDE OF NMLS</b> |  |                         |
|---|--|-------------------------|
| <b>Complete</b>                               | <b>CA-DFPI Residential Mortgage Lending Act</b>  | <b>Submitted via...</b> |
| <input type="checkbox"/>                      | <b>The submission of the checklist is not required for a branch surrender request.</b> |                         |