CHECKLIST SECTIONS

- General Information
- Requirements Completed in NMLS
- Requirements Submitted Outside of NMLS

GENERAL INFORMATION

Instruction
1. File the surrender request through NMLS.
2. There is no fee to surrender.
3. The submission of this checklist is not required to surrender an MLO license.

Help Resources
- Individual License Surrender Requests Quick Guide
- License Status Review & Definitions Quick Guide

Agency Contact Information
Contact Department of Financial Protection and Innovation licensing staff by phone at (213) 576-7565 or send your questions via email to MLO.Licensing@DFPI.ca.gov or additional assistance.

For U.S. Postal Service or Overnight Delivery:
Department of Financial Protection and Innovation
NMLS Licensing Unit
320 West 4th Street, Suite 750
Los Angeles, CA 90013

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.
NOTE: SUBMIT A COPY OF THIS CHECKLIST ALONG WITH YOUR ORIGINAL LICENSE TO CA-DFPI.

<table>
<thead>
<tr>
<th>NMLS ID Number</th>
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<tbody>
<tr>
<td>Licensee Legal Name</td>
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</table>

**Requirements Completed in NMLS**

<table>
<thead>
<tr>
<th>Complete</th>
<th>CA-DFPI Mortgage Loan Originator License</th>
<th>Submitted via...</th>
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<tbody>
<tr>
<td></td>
<td>Submission of Surrender Request through Individual Form (MU4): Request the surrender of the license through the submission of the Individual Form (MU4). See the <a href="#">Individual License Surrender Requests Quick Guide</a> for instructions.</td>
<td>NMLS</td>
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Updated: 9/30/2020