CALIFORNIA FINANCING LAW (CFL)
Surrender Checklist (Company)

CHECKLIST SECTIONS
- General Information
- Requirements Completed in NMLS

GENERAL INFORMATION

Instructions
1. There is no fee to surrender the CFL license.
2. Licensees who make or arrange loans pursuant to CFL license must notify the CA-DFPI through the NMLS when terminating business under this license. (California Financial Code section 22700).
3. Main office surrenders are processed using Form MU1.
   Note: You must also surrender each branch location using Form MU3.
4. You are required to indicate the physical location where the books and records will be maintained subsequent to the surrender. This information must be provided in the “Books and Records” section of the Form MU1.

Agency Contact Information

Please refer to the Department of Financial Protection and Innovation’s website at www.dfpi.ca.gov or contact the Department of Financial Protection and Innovation by phone at (866) 275-2677 for additional assistance. You may also send an email CFL.Inquiries@dfpi.ca.gov.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.
### REQUIREMENTS COMPLETED IN NMLS

<table>
<thead>
<tr>
<th>Complete</th>
<th>CA-DFPI CFL Company License Surrender</th>
<th>Submitted via...</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>Submission of Surrender Request through Company Form (MU1):</strong> Request the surrender of the license through the submission of the Company Form (MU1). See the <a href="#">Company License Surrender Requests Quick Guide</a> for instructions.</td>
<td>NMLS</td>
</tr>
</tbody>
</table>

**Note:** Before requesting to surrender this license in NMLS, update the following information or confirm that the information is current in NMLS:

- The location where the books and records of the Company will be maintained in the *Books and Records* section of the Company Form (MU1).
- The name, title, address, and telephone number of the record custodian listed in the *Books and Records* section of the Company Form (MU1).
- The primary company contact and primary consumer complaint contact on the Company Form (MU1).

(*) Upon the Department’s approval of the Company’s surrender, the Company is not subject to any reporting requirement and/or requirement to maintain a Surety Bond.

A company whose license is surrendered must remove all indicia of licensure on advertising and websites, and at physical locations.