Uniform Authorized Agent Reporting Through NMLS



What is the UAAR?

- The NMLS Uniform Authorized Agent Reporting (UAAR) process allows licensed money service businesses to file a single, uniform report of their authorized agent (also known as authorized delegates) locations through NMLS to all participating state agencies.
- Regulators then have access to review the authorized agent/delegates reported.

How does the UAAR work for licensees?

 Upon license approval in NMLS (after the Company Form (MU1) is submitted), companies will complete their initial upload of their authorized agents/delegates in a single file.

- At the beginning of each calendar quarter, NMLS will place a license item instructing the company to file any updates.
- At that time, companies will provide the following information in NMLS...
 - New authorized agents

Initial

Upload

Ongoing

Updates

- Changes to existing authorized agents
- Termination dates of any authorized agents that the company has ceased using since the last upload
- Indication that the company has no changes to report

UAAR License Item

- If the UAAR setting is turned on....
- System places a license item on Money Transmitter's license at the beginning of each calendar quarter instructing the company to file any updates.

Entity	ID Entity Name	License Item Type	Title	Created Date	Created By	Cleared Date	Cleared By	External Note
√ 4 399	7	<u>Agent File Upload</u> <u>Required</u>	Agent File Upload Required	1/1/2017	System	3/31/2017	SchultzDA	

- Once they report the agents, the license item is cleared by the system automatically.
- To report the agents, licensees can either uploaded the changes to the agent list or select the option to report No Changes for that quarter.

How does the UAAR work for regulators?

- After the companies have submitted their agents/delegates in NMLS, regulators have the ability to view all the information provided about the agent/delete.
- Regulators can access this information in one two ways...



Review the Authorized Agent Roster Report to review multiple agents/ delegates.

UAAR Upload Process

Validation Level 1 – NMLS System

When a licensee uploads their agent file, it is processed by the system to ensure the data format is correct and the addresses are valid.

If the file passes Validation Level 1, it is then attested to by the licensee prior to submission to the regulator.

Validation Level 2 – Melissa Data

Before the file to be sent to the regulator, all physical addresses are submitted through an external Address Validation Service (Melissa Data) for verification and standardization.

Once the file has been successfully uploaded, the licensee is notified via email.

How does NMLS define an agent?

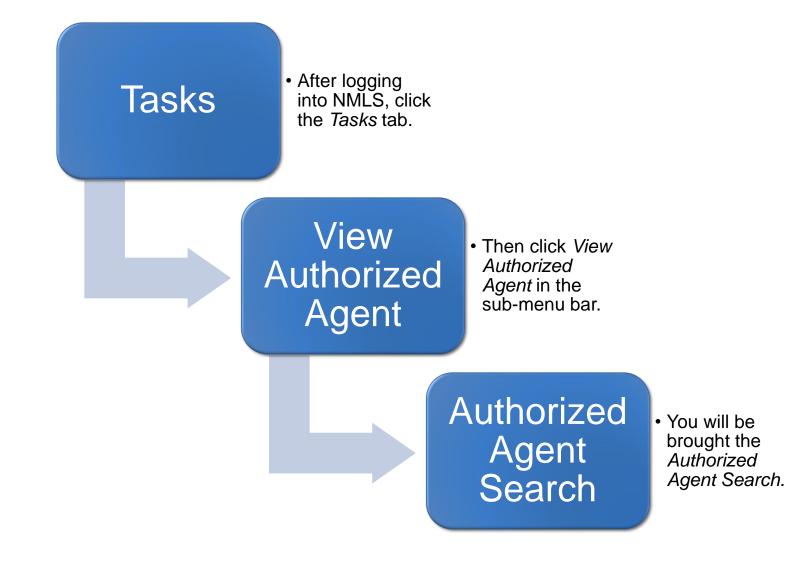
Authorized Agent = EIN + Physical Address

(If either of these items change, a new agent record is created.)

Searching for One Specific Agent/Delegate



Searching for One Specific Agent/Delegate



Authorized Agent Search

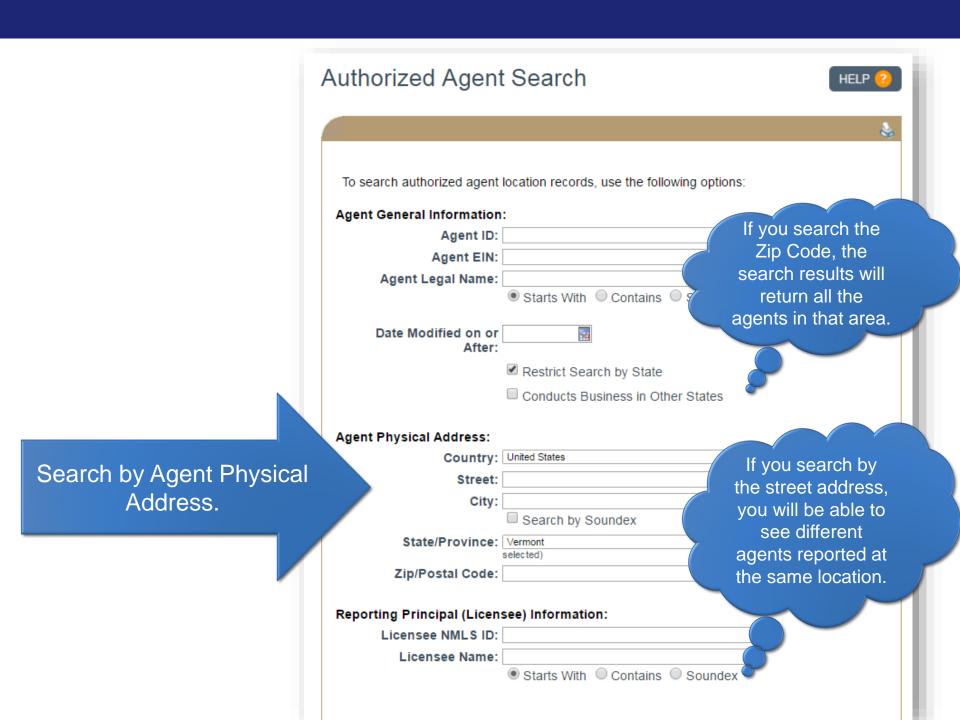
	Ρ.	
TILLE		

To search authorized agent location records, use the following options:

Agent General Information:

Agent ID: Agent EIN:		
Agent Legal Name:	Starts With Contains Soundex	
Date Modified on or After:		
	Restrict Search by State	
	Conducts Business in Other States	
Agent Physical Address:		
Country:	United States V]
Street:		
City:		
	Search by Soundex	
State/Province:	Vermont v selected)	(Country must be
Zip/Postal Code:		
Reporting Principal (Licen	see) Information:	
Licensee NMLS ID:		
Licensee Name:		
	\odot Starts With \bigcirc Contains \bigcirc Soundex	

Authorized Agent Search HELP To search authorized agent location records, use the following options: Agent General Information: Agent ID: Search by the agent's Agent EIN: information. Agent Legal Name: Starts With Ocontains Osoundex Date Modified on or After: Restrict Search by State Conducts Business in Other States Agent Physical Address: Country: United States • Street: City: Search by Soundex State/Province: Vermont (Country must be selected) Zip/Postal Code: **Reporting Principal (Licensee) Information:** Licensee NMLS ID: Licensee Name: Starts With Ocontains Osoundex

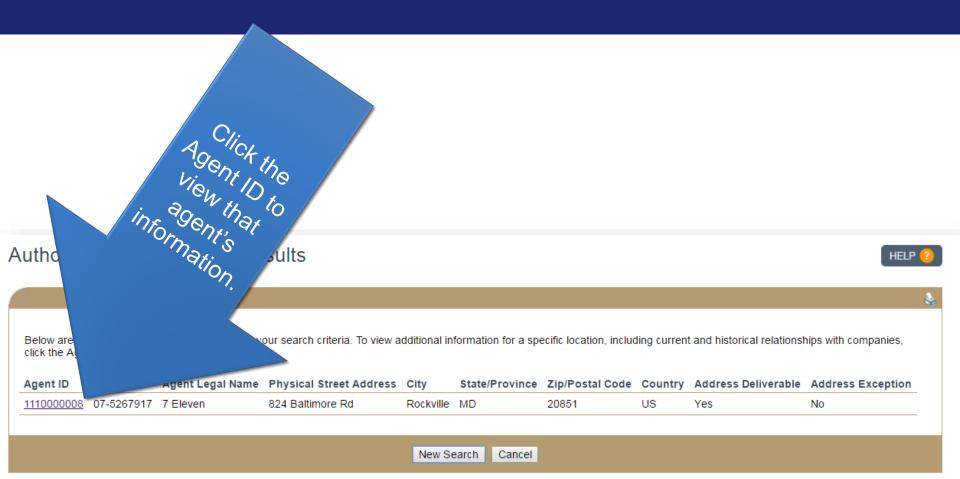


Note: Reporting Principal refers to the Money Transmitter Licensee or company	To search authorized agent Agent General Information Agent ID: Agent EIN: Agent Legal Name:		
that reported the agent		Starts With O Contains	Soundex
relationship.	Date Modified on or After: Agent Physical Address: Country: Street:	Restrict Search by St Conducts Business United States	If you search by the licensee's information you will be able to see all the agents that company has reported through the UAAR,
	City:	Search by Soundex	
	State/Province:	,	(Country must be
	Zip/Postal Code:		
Search by the Licensee's	Reporting Principal (Licen	see) Information:	
-	Licensee NMLS ID:		
information.	Licensee Name:	Starts With Contains	Soundex

Authorized Agent Search

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HELP ?



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Agent ID: 111000008

Agent EIN: 07-5267917

Created Date: 3/5/2013

EIN Associated with Existing Company Record: No Physical Address Deliverable: Yes

Physical Address: 824 Baltimore Rd Rockville, MD 20851-1001

Physical Address Exception: No

	Agent Legal Name	Contact Information	Mailing Address	Multiple States?	Reporting Principal	Status	Uploaded Date	Modified Date
	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Maine Company (50142)	Active	10/28/2014	10/28/2014
CALLS 11111	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Mortgage State Company LL (39431)	Active	4/4/2013	3/5/2013
1111 1111	7 Eleven	Steve, Lantzas 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Loan Max USA <u>(38689)</u>	Active	4/22/2016	4/22/2016
1111 1111	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Alvarado Mortgage Co. (1132)	Active	1/27/2014	3/12/2013
1111 1111	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Amber's Company (<u>39672)</u>	Active	5/28/2013	5/28/2013
1111 1111	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	RachelState Company (<u>39673)</u>	Active	5/28/2013	5/28/2013
1111 1111	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Money Launderers (39674)	Active	5/28/2013	5/28/2013
h	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Johnny State Company (<u>39617)</u>	Active	5/28/2013	5/28/2013
A	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Missouri Mortgage Co (<u>46145)</u>	Active	11/14/2014	11/14/2014

Vermont (11)

info	rmation reported by ea Agent ID: 11100 Agent EIN: 07-52 sical Address: 824 E Rock	Ag inforr	that currently have or previo Agent's information.		reated Date: 3/5/2013 any Record: No Deliverable: Yes Exception: No				
123	Agent Legal Name 7 Eleven	Contact Information John, John	Mailing 1 Main st	No	es?	Reporting Principal Maine Company (50142)	Status Active	Uploaded Date 10/28/2014	10/28/2014
â.	7 Eleven	320-123-1231 email@email.com	Baltimore, MD 20692			Maine Company (<u>30142)</u>	Active	10/20/2014	10/20/2014
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No		Mortgage State Company LL (39431)	Active	4/4/2013	3/5/2013
â	7 Eleven	Steve, Lantzas 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No		Loan Max USA <u>(38689)</u>	Active	4/22/2016	4/22/2016
â	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No		Alvarado Mortgage Co. (1132)	Active	1/27/2014	3/12/2013
â	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No		Amber's Company (<u>39672)</u>	Active	5/28/2013	5/28/2013
â	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No		RachelState Company (<u>39673)</u>	Active	5/28/2013	5/28/2013
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No		Money Launderers (39674)	Active	5/28/2013	5/28/2013
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No		Johnny State Company (<u>39617)</u>	Active	5/28/2013	5/28/2013
**	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No		Missouri Mortgage Co (<u>46145)</u>	Active	11/14/2014	11/14/2014

each licensee. 10000008 -5267917 4 Baltimore Rd	EIN Associated with E	Create xisting Company F sical Address Deliv	d Date: 3/5/20 Record: No verable: Yes	company record associated with the agent's EIN. If yes, you are able to link to the company record and see what license types the company		
e Contact Information	Mailing Address	Multiple States?	Reporting Principal	curr	ently hold t	hrough NMLS.
John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Maine Company (50142)	Active	10/28/2014	10/28/2014
John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Mortgage State Company LL (39431)	Active	4/4/2013	3/5/2013
Steve, Lantzas 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Loan Max USA <u>(38689)</u>	Active	4/22/2016	4/22/2016
John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Alvarado Mortgage Co. (1132)	Active	1/27/2014	3/12/2013
John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Amber's Company (<u>39672)</u>	Active	5/28/2013	5/28/2013
John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	RachelState Company <u>(39673)</u>	Active	5/28/2013	5/28/2013
John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Money Launderers (<u>39674)</u>	Active	5/28/2013	5/28/2013
John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Johnny State Company (<u>39617)</u>	Active	5/28/2013	5/28/2013
John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Missouri Mortgage Co <u>(46145)</u>	Active	11/14/2014	11/14/2014
1	each licensee. 10000008 -5267917 4 Baltimore Rd ckville, MD 20851-1001 e Contact Information John, John 320-123-1231 email@email.com John, John 320-123-1231 email@email.com John, John 320-123-1231 email@email.com John, John 320-123-1231 email@email.com John, John 320-123-1231 email@email.com John, John 320-123-1231 email@email.com John, John 320-123-1231 email@email.com John, John 320-123-1231 email@email.com John, John 320-123-1231 email@email.com John, John 320-123-1231 email@email.com	reach licensee.IO000008-5267917EIN Associated with E4 Baltimore Rd ckville, MD 20851-1001PhyseContact InformationMailing AddressJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692John, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692Steve, Lantzas 320-123-1231 email@email.com1 Main st Baltimore, MD 20692John, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692	each licensee.CreateCreateSteve, Lantzas 320-123-1231 email@email.comMultiple States?John, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoSteve, Lantzas 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoSteve, Lantzas 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692No	each licensee. Created Date: 3/5/20 100000008 Created Date: 3/5/20 -5267917 EIN Associated with Existing Company Record: No 4 Baltimore Rd ckville, MD 20851-1001 Physical Address Deliverable: Yes Physical Address Exception: No e Contact Information Mailing Address John, John 320-123-1231 email@email.com 1 Main st Baltimore, MD 20692 No John, John 320-123-1231 1 Main st Baltimore, MD 20692 No Steve, Lantzas 320-123-1231 1 Main st Baltimore, MD 20692 No Steve, Lantzas 320-123-1231 1 Main st Baltimore, MD 20692 No John, John 320-123-1231 1 Main st Baltimore, MD 20692 No John, John 320	each licensee.ControlControlControlControlEIN Associated with Existing Company Record: NoScience and an existing Company Record: NoPhysical Address Deliverable: Yes Physical Address Exception: NoContact InformationMailing AddressMultiple States?Reporting PrincipalJohn, John 320-123-1231 email@email.comJohn, John 320-123-1231John, John 320-123-1	each licensee. 10000008 5267917 EIN Associated with Existing Company Record: No 4 Baltimore Rd ckville, MD 20851-1001 EIN Associated with Existing Company Record: No Physical Address Deliverable: Yes Physical Address Exception: No e Contact Information John, John 320-123-1231 Baltimore, MD 20692 No Steve, Lantzas 320-123-1231 John, John 320-123-1231 John, John 320-123-1231 Baltimore, MD 20692 No No More Company record No Maine Company (50142) No Mortgage State Company LL (39431) Active 4/4/2013 Active 4/4/2013 Active 4/4/2014 Active 4/4/2014 Active 4/4/2014 Active 4/22/2016 Active 4/22/2016 Active 4/22/2016 Active 5/28/2013 Active 5/2

	Agent ID: 1110	000008		Create	d Date: 3/5/2013			
	Agent EIN: 07-52		EIN Associated with E			Ide	ntities if the	e agent has an
Dhu	•			• • • •				at the USPS
Рпу	sical Address: 824 E Rock	ville. MD 20851-1001	-	ical Address Deliv		-		
		,	Phy	sical Address Exc	eption: No		deemed "d	eliverable."
	Agent Legal Name	Contact Information	Mailing Address	Multiple States?	Reporting Principal	Status	Uploaded Date	Modified Date
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Maine Company (50142)	Active	10/28/2014	10/28/2014
	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Mortgage State Company LL (39431)	Active	4/4/2013	3/5/2013
***	7 Eleven	Steve, Lantzas 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Loan Max USA <u>(38689)</u>	Active	4/22/2016	4/22/2016
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1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Amber's Company (<u>39672)</u>	Active	5/28/2013	5/28/2013
***	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	RachelState Company (<u>39673)</u>	Active	5/28/2013	5/28/2013
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Money Launderers (39674)	Active	5/28/2013	5/28/2013
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Johnny State Company <u>(39617)</u>	Active	5/28/2013	5/28/2013
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Missouri Mortgage Co <u>(46145)</u>	Active	11/14/2014	11/14/2014

	Agent ID: 1110	800008		Create	d Date: 3/5/2013			
Phy	Agent EIN: 07-52 sical Address: 824 E Rock		-	xisting Company F sical Address Deliv sical Address Exc	verable: Yes	pro	cessed and	he agent was d added to the gh the UAAR
	Agent Legal Name	Contact Information	Mailing Address	Multiple States?	Reporting Principal	Add	dress Exce	otion Process.
	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Maine Company (50142)	Active	10/28/2014	10/28/2014
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Mortgage State Company LL (39431)	Active	4/4/2013	3/5/2013
	7 Eleven	Steve, Lantzas 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Loan Max USA <u>(38689)</u>	Active	4/22/2016	4/22/2016
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Alvarado Mortgage Co. (1132)	Active	1/27/2014	3/12/2013
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Amber's Company (<u>39672)</u>	Active	5/28/2013	5/28/2013
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	RachelState Company (<u>39673)</u>	Active	5/28/2013	5/28/2013
	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Money Launderers (39674)	Active	5/28/2013	5/28/2013
	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Johnny State Company <u>(39617)</u>	Active	5/28/2013	5/28/2013
1	7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Missouri Mortgage Co <u>(46145)</u>	Active	11/14/2014	11/14/2014

Agent ID: 11100	800000		Create	d Date: 3/5/2013				
Agent EIN: 07-52	267917	EIN Associated with Ex	kisting Company F	Record: No				
		Phys	ical Address Deliv	erable: Yes				
Rock	ville, MD 20851-1001	Physical Address Exception: No			Identifies if the agent is			
Agent Legal Name	Contact Information	Mailing Address	Multiple States?			U	late	
7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Maline Company (<u>50142)</u>		· · · · · · · · · · · · · · · · · · ·		
7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Mortgage State Company LL	(39431) Active	4/4/2013	3/5/2013	
7 Eleven	Steve, Lantzas 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Loan Max USA <u>(38689)</u>	Active	4/22/2016	4/22/2016	
7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Alvarado Mortgage Co. (1132	2) Active	1/27/2014	3/12/2013	
7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Amber's Company (<u>39672)</u>	Active	5/28/2013	5/28/2013	
7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	RachelState Company (3967)	<u>3)</u> Active	5/28/2013	5/28/2013	
7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Money Launderers (39674)	Active	5/28/2013	5/28/2013	
7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Johnny State Company (3967	17) Active	5/28/2013	5/28/2013	
7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Missouri Mortgage Co (46145	5) Active	11/14/2014	11/14/2014	
	Agent EIN: 07-52 ysical Address: 824 E Rock Agent Legal Name 7 Eleven	Agent Legal NameContact Information7 ElevenJohn, John 320-123-1231 email@email.com7 ElevenJohn, John 320-123-1231 email@email.com7 ElevenJohn, John 320-123-1231 email@email.com7 ElevenSteve, Lantzas 320-123-1231 email@email.com7 ElevenJohn, John 320-123-1231 email@email.com7 ElevenJohn, John 320-123-1231 email@email.com	Agent EIN: 07-5267917EIN Associated with Expressionysical Address: 824 Baltimore Rd Rockville, MD 20851-1001PhysAgent Legal NameContact InformationMailing Address7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 206927 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 206927 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 206927 ElevenSteve, Lantzas 320-123-1231 email@email.com1 Main st Baltimore, MD 206927 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 206927 ElevenJohn, John 320-123-12311 Main st Baltimore, MD 206927 ElevenJohn, John 320-123-12311 Main st Baltimore, MD 20692	Agent EIN:07-5267917EIN Associated with Existing Company F Physical Addressysical Address:824 Baltimore Rd Rockville, MD 20851-1001Physical Address Deliv Physical Address ExcAgent Legal NameContact InformationMailing AddressMultiple States?Agent Legal NameContact InformationMailing AddressMultiple States?7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692No7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692No7 ElevenSteve, Lantzas 320-123-1231 email@email.com1 Main st Baltimore, MD 20692No7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692No7 ElevenJohn, John 320-123-1231 email@email.	Agent Elix: 07-5267917EIN Associated with Existing Company Record: Noysical Address:824 Baltimore Rd Rockville, MD 20851-1001Physical Address Deliverable: Yes Physical Address Exception: NoAgent Legal NameContact InformationMailing AddressMultiple States?7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoMaine Company (50142)7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoMortgage State Company LL States?7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoLoan Max USA (38689)7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoAlvarado Mortgage Co. (1132 Baltimore, MD 206927 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoAmber's Company (39672)7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoAmber's Company (39672)7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoMoney Launderers (39674)7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoMoney Launderers (39674)7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692NoMoney Launderers (39674)7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Baltimore, MD 20692N	Agent EIN: 07-5267917EIN Associated with Existing Company Record: NoPhysical Address Deliverable: Yes Physical Address Deliverable: Yes Physical Address Exception: NoIdentified conductin This field the licensAgent Legal NameContact InformationMailing AddressMultiple States?NoIdentified conductin This field the licens7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Battimore, MD 20692NoMortgage State Company LL (39431) ActiveActive7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Battimore, MD 20692NoLoan Max USA (38689) ActiveActive7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Battimore, MD 20692NoAlvarado Mortgage Co. (1132) ActiveActive7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Battimore, MD 20692NoAlvarado Mortgage Co. (1132) ActiveActive7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Battimore, MD 20692NoAmber's Company (39672) ActiveActive7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Battimore, MD 20692NoAmber's Company (39673) ActiveActive7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Battimore, MD 20692NoMoney Launderers (39674) ActiveActive7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Battimore, MD 20692NoMoney Launderers (39	Agent Eliv 07-5267917EIN Associated with Existing Company Record: NoPhysical Address: 824 Batilmore Rd Rockville, MD 20851-1001Mailing AddressMultiple States? Physical Address Exception: NoAgent Legal NameContact InformationMailing AddressMultiple States? Physical Address Exception: No7 ElevenJohn, John 320-123-1231 email@email.com1 Main st Batimore, MD 20692NoMortgage State Company LL (39431) ActiveActive 4/4/20134/4/20137 ElevenJohn, John 320-123-1231 email@email.com1 Main st Batimore, MD 20692NoLoan Max USA (38689) Alvarado Mortgage Co. (1132)Active 4/422120164/4/20137 ElevenJohn, John 320-123-1231 email@email.com1 Main st Batimore, MD 20692NoAlvarado Mortgage Co. (1132) ActiveActive 5/28/20134/29/20147 ElevenJohn, John 320-123-1231 email@email.com1 Main st Batimore, MD 20692NoAmber's Company (39672) ActiveActive 5/28/20135/28/20137 ElevenJohn, John 320-123-1231 email@email.com1 Main st Batimore, MD 20692NoMoney Launderers (39674) ActiveActive 5/28/20135/28/20137 ElevenJohn, John 320-123-1231 email@email.com1 Main st Batimore, MD 20692NoMoney Launderers (39674) ActiveActive 5/28/20135/28/20137 ElevenJohn, John 320-123-1231 email@email.com1 Main st Batimore, MD 20692NoMoney Launder	

Phy	Agent ID: Agent EIN: sical Address:	07-52 824 B	67917	,		verable: Yes			
	Agent Legal Na	ame	Contact Information	Mailing Address	Multiple States?	Reporting Principal	Status	Uploaded Date	Modified Date
	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Maine Company <u>(50142)</u>	Active	10/28/2014	10/28/2014
	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Mortgage State Company LL (39431)	Active	4/4/2013	3/5/2013
	7 Eleven		Steve, Lantzas 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Loan Max USA <u>(38689)</u>	Active	4/22/2016	4/22/2016
	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Alvarado Mortgage Co. (1132)	Active	1/27/2014	3/12/2013
	7 Eleven		avs the chi John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 2011	nciples/li	Amper's Company (39672)	Active	5/28/2013	5,28/2013
	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	RachelState Company <u>(39673)</u>	Active	5/28/2013	5/28/2013
	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Money Launderers (<u>39674)</u>	Active	5/28/2013	5/28/2013
	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Johnny State Company (<u>39617)</u>	Active	5/28/2013	5/28/2013
	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Missouri Mortgage Co <u>(46145)</u>	Active	11/14/2014	11/14/2014

Phy	Agent II Agent Ell vsical Addrer	24 B		01		verable: Yes			
	Ar C			ne history of a	ultiple States?	Reporting Principal	Status	Uploaded Date	Modified Date
		part		ensee/agent	2	Maine Company (50142)	Active	10/28/2014	10/28/2014
			relatio	nship.					
	7 Eleve		320-123-1231 email@email.com	Baltimore, MD 20692	NO	Mortgage State Company LL (39431)	Active	4/4/2013	3/5/2013
***	7 Eleven		Steve, Lantzas 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Loan Max USA <u>(38689)</u>	Active	4/22/2016	4/22/2016
***	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Alvarado Mortgage Co. (1132)	Active	1/27/2014	3/12/2013
***	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Amber's Company (<u>39672)</u>	Active	5/28/2013	5/28/2013
***	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	RachelState Company (<u>39673)</u>	Active	5/28/2013	5/28/2013
1111 1111	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Money Launderers (39674)	Active	5/28/2013	5/28/2013
1	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Johnny State Company <u>(39617)</u>	Active	5/28/2013	5/28/2013
1	7 Eleven		John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	No	Missouri Mortgage Co <u>(46145)</u>	Active	11/14/2014	11/14/2014

Authorized Agent Search > Authorized Agent Location Relationships > Authorized Agent Location Relationship History

Authorized Agent Location Relationship History

This option is checked by default because sometimes licensees upload their entire agent roster during the quarterly updates. This option filters out the instances where the agent was uploaded with the same information and only displays when changes may have occurred.

Below is a history of all submissions made by the reporting licensee for this authorized agent location.

Agent Legal Name: 7 Eleven (111000008) Agent EIN: 07-5267917

Physical Address: 824 Baltimore Rd Rockville, MD 20851-1001 Reporting e: M Cur Active Physical Address D : Yes Physical Address Exception: No

Only display uploads where the agent record was modified

Historical Legal Name	Contact Information	Mailing Address	Start Date	End Date	Multiple States?	Uploaded Date	Modified Date
7 Eleven	John, John 320-123-1231 email@email.com	1 Main st Baltimore, MD 20692	12/12/2001		No	3/5/2013	3/5/2013

New Search Cancel

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Review the *Authorized Agent Roster Report* to Review Multiple Agents/Delegates.

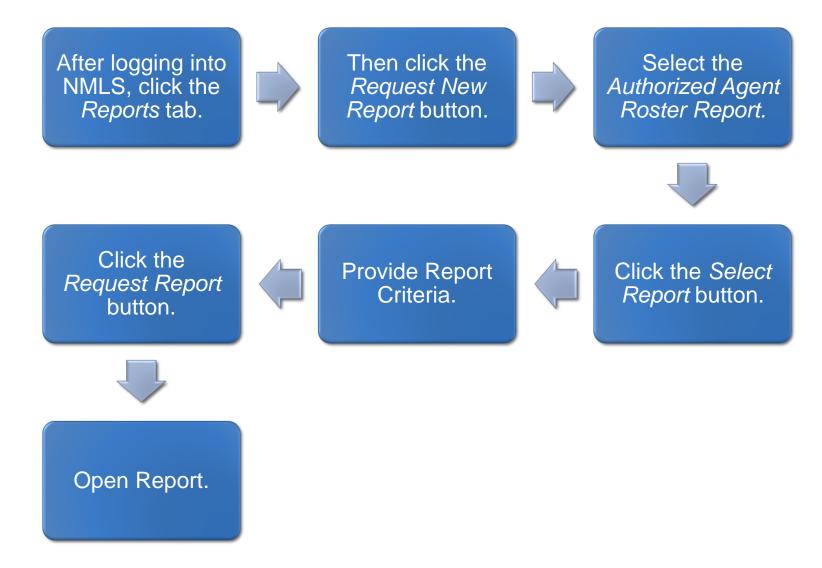


Authorized Agent Roster Report

- Regulators are able to request the report through NMLS, which generates in an excel spreadsheet.
- The report is defaulted to display only agents with active relationships in your state. However, this can be changed when pulling the report.

1	Α	В	С	D	E	F	G	н	- I	J	К	L	M	N	C
1	Report Na	Company	Regulator	Since Date	Include or	Include te	Include or	nly authori	zed agents	s who cond	uct busin	ess in other	states:Fal	se	
2	Company	Company	Company	Principal I	Authorize	Authorize	Authorize	Doing Bus	Conducts	Physical A	Physical	A Multiple A	City	State or P	Cour
3	43997	Schultz In	75-397401	9	1.11E+09	ACE POST	55-595927	ACE POST	N	218 Hanna	ford Dr		South Bur	VT	US
4	43997	Schultz In	75-397401	9	1.11E+09	ACHEA, IN	32-697453	A1 COPY N	N	218 Hanna	ford Dr		South Bur	VT	US
5	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	i0	N	105 VT Rou	ite 12 S		Randolph	VT	US
6	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	REDFORDS	N	340 Dorset	t St		South Bur	VT	US
7	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	SAIGON N	N	5T Lake M	orey Rd		Fairlee	VT	US
8	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	A Nails Su	N	164 Route	100		West Dov	VT	US
9	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	Thinh Pha	N	7 Prince Lr	ı		Bristol	VT	US
10	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	i0	N	2 Main St			Montpelie	VT	US
11	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	C&T MAR	N	115 Monkt	on Rd		Vergenne	VT	US
12	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	Minh Canl	N	2466 St Ge	orge Rd		Williston	VT	US
13	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	MAI'S VID	N	80 Fairgro	unds Plz		Morrisvill	VT	US
14	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	SAIGON V	N	29 Middle	Rd		Milton	VT	US
15	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	0	N	260 N Mai	n St		Rutland	VT	US
16	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	i0	N	31 Busines	is Ctr Rd		Williamst	VT	US
17	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	i0	N	3141 Cold	River Rd		Rutland	VT	US
18	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	i0	N	84 Pine St		1	Burlingtor	VT	US
19	43997	Schultz In	75-397401	9	1.11E+09	Walgreen	91-577626	Saigon Ma	N	108 N Mai	n St		Northfield	VT	US
20	39743	Sure Thing	74-996352	6	1.11E+09	A1 Conver	491-18-75	92	N	179 Bank S	t		Burlingtor	VT	US
21	39743	Sure Thing	74-996352	6	1.11E+09	Corner Ma	327-54-33	95	N	44 Main St			Montpelie	VT	US
22	39743	Sure Thing	74-996352	6	1.11E+09	Corner Ma	327-54-33	95	N	87 State St			Montpelie	VT	US
23	39743	Sure Thing	74-996352	6	1.11E+09	Mike's Liq	55-172004	0	N	158 Cherry	9th Floor		Burlingtor	VT	US
24	39743	Sure Thing	74-996352	6	1.11E+09	QuickShop	495-29-88	29	N	115 S Wind	ooski Ave		Burlingtor	VT	UL
25	39743	Sure Thing	74-996352	6	1.11E+09	Shaw's Ge	608-19-42	67	N	54 Main St			Montpelie	VT	US
26	39743	Sure Thing	74-996352	6	1.11E+09	State Stre	694-67-05	78	N	11 E State	St		Montpelie	VT	US
27															

Pulling the Authorized Agent Roster



Report Criteria: Authorized Agent Roster

	vailable Report riteria:	Provid
•	Filter by licensees/ companies.	
•	Filter by Date.	Report Name: Compar
•	Include ONLY agent relationships in your	ID: Since Date:

 Include or do not include terminate agent relationships.

states.

 Include ONLY agents who conduct business in other states.

Provide Report Criteria						
Report Name: Authorized Agent Roster Report (Regulator) Company ID:						
business in other states						
Reports are updated nightly and contain information that reflects the previous business day.						
Request Report Cancel						

Report Criteria: Authorized Agent Roster

Default Criteria:

- All licensees/ companies that have submitted agents.
- No time limitation.
- Only authorized agent location in your state.

rovide	e Report Criteria
	la de la companya de
Report Name: Company ID: Since	Authorized Agent Roster Report (Regulator)
Date:	Include only authorized agent locations in your state
	Include terminated authorized agent relationships
	Include only authorized agents who conduct business in other states
	are updated nightly and contain information that he previous business day.
	Request Report Cancel

Authorized Agent Roster Report

-icensee/Company

Company NMLS IDCompany Name

Company EIN



Principal Provided ID

- System Generated ID
- Legal Name
- EIN
 - Doing Business As
- Conducts Business in Other States
- Physical Address
- Passed Delivery Point Validation
- Address Exception
- Mailing Address
- Primary Contact and their information. (Name, Email, Phone)
- Associated with Multiple Companies
- MU1 Exists



Relationship Information

- Authorized Agent Initial Upload Date
- Authorized Agent Last Modified Date
- Relationship Start Date
- Relationship End Date

Authorized Agent Roster Report

Conducts Business in Other States

Associated with Multiple Companies

MU1 Exists

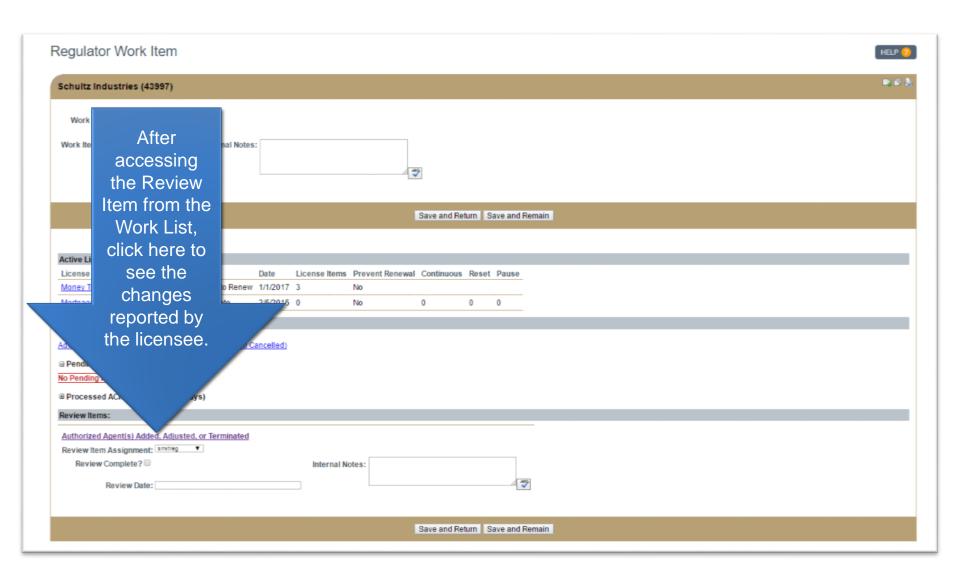
- Identifies if the agent is conducting online business.
- This field is self-reported by the licensees as Yes or No.
- Identifies if the agent was reported by multiple money transmitter licensees.
- This field is determined by NMLS.
- Identities if the agent has a Company record in NMLS.
- This field is determined by NMLS.

UAAR Review Items



UAAR Work Items

- If subscribed to, the UAAR Work Item is only generated on the Worklist when an agent upload has information relevant to your state.
- The Work Item will identify if "No Authorized Agent changes, additions, or deletions were reported" by the company or there are agent that were "Added, Adjusted or Terminated."



Displays agents added, adjusted, or terminated by the licensee.

Authorized Agent Search

Authorized Agent Search Results

Agent(s) added, adjusted, or terminated by Schultz Industries(43997) on or after 3/30/2015.

Below are authorized agent locations that meet your search criteria	To view additional information for a specific location, including	ng current and historical relationships with companies, click the Agent ID below.

1110006297 32-6974539 ACHEA, INC. 218 Hannaford Dr South Burlington VT 05403 US Yes 1110006298 55-5959270 ACE POSTAL CENTER 218 Hannaford Dr South Burlington VT 05403 US Yes 1110002771 91-5776260 Walgreens 7 Prince Ln Bristol VT 05401 US Yes 1110002772 91-5776260 Walgreens 84 Pine St Burlington VT 05401 US Yes 1110002772 91-5776260 Walgreens 5T Lake Morey Rd Fairlee VT 05045 US No 1110002775 91-5776260 Walgreens 29 Middle Rd Milton VT 05648 US Yes 1110002770 91-5776260 Walgreens 2 Main St Montpelier VT 05602 US No 1110002778 91-5776260 Walgreens 108 N Main St Northfield VT 05661 US Yes 1110002778 91-5776260 <t< th=""><th>No No No No No No</th></t<>	No No No No No No
1110002771 91-5776260 Walgreens 7 Prince Ln Bristol VT 05443 US Yes 1110002772 91-5776260 Walgreens 84 Pine St Burlington VT 05401 US Yes 1110002772 91-5776260 Walgreens 5T Lake Morey Rd Fairlee VT 05045 US No 1110002775 91-5776260 Walgreens 29 Middle Rd Milton VT 05468 US Yes 1110002770 91-5776260 Walgreens 2 Main St Montpelier VT 05602 US No 1110002778 91-5776260 Walgreens 80 Fairgrounds Plz Morrisville VT 05661 US Yes 1110002778 91-5776260 Walgreens 108 N Main St Northfield VT 05663 US Yes 1110002776 91-5776260 Walgreens 105 VT Route 12 S Randolph VT 05060 US No	No No No No
1110002772 91-5776260 Walgreens 84 Pine St Burlington VT 05401 US Yes 1110002772 91-5776260 Walgreens 5T Lake Morey Rd Fairlee VT 05045 US No 1110002775 91-5776260 Walgreens 29 Middle Rd Milton VT 05468 US Yes 1110002770 91-5776260 Walgreens 29 Middle Rd Milton VT 05468 US Yes 1110002770 91-5776260 Walgreens 20 Main St Montpelier VT 05602 US No 1110002778 91-5776260 Walgreens 80 Fairgrounds Plz Morrisville VT 05661 US Yes 1110002778 91-5776260 Walgreens 108 N Main St Northfield VT 05663 US Yes 1110002776 91-5776260 Walgreens 105 VT Route 12 S Randolph VT 05060 US No	No No No No
1110002782 91-5776260 Walgreens 5T Lake Morey Rd Fairlee VT 05045 US No 1110002775 91-5776260 Walgreens 29 Middle Rd Milton VT 05468 US Yes 1110002770 91-5776260 Walgreens 2 Main St Montpelier VT 05602 US No 1110002778 91-5776260 Walgreens 80 Fairgrounds Plz Morrisville VT 05661 US Yes 1110002776 91-5776260 Walgreens 108 N Main St Northfield VT 05663 US Yes 1110002776 91-5776260 Walgreens 105 VT Route 12 S Randolph VT 05060 US No	No No No
1110002775 91-5776260 Walgreens 29 Middle Rd Milton VT 05468 US Yes 1110002770 91-5776260 Walgreens 2 Main St Montpelier VT 05602 US No 1110002778 91-5776260 Walgreens 80 Fairgrounds Plz Morrisville VT 05661 US Yes 1110002776 91-5776260 Walgreens 108 N Main St Northfield VT 05663 US Yes 1110002776 91-5776260 Walgreens 105 VT Route 12 S Randolph VT 05060 US No	No
1110002770 91-5776260 Walgreens 2 Main St Montpelier VT 05602 US No 1110002783 91-5776260 Walgreens 80 Fairgrounds Plz Morrisville VT 05661 US Yes 1110002778 91-5776260 Walgreens 108 N Main St Northfield VT 05663 US Yes 1110002776 91-5776260 Walgreens 105 VT Route 12 S Randolph VT 05060 US No	No
1110002783 91-5776260 Walgreens 80 Fairgrounds Plz Morrisville VT 05661 US Yes 1110002778 91-5776260 Walgreens 108 N Main St Northfield VT 05663 US Yes 1110002776 91-5776260 Walgreens 105 VT Route 12 S Randolph VT 05060 US No	
1110002778 91-5776260 Walgreens 108 N Main St Northfield VT 05663 US Yes 1110002776 91-5776260 Walgreens 105 VT Route 12 S Randolph VT 05060 US No	No
1110002776 91-5776260 Walgreens 105 VT Route 12 S Randolph VT 05060 US No	No
	No
1110002774 91-5776260 Waldreens 260 N Main St Butland VT 05701 US No	No
	No
1110002780 91-5776260 Walgreens 3141 Cold River Rd Rutland VT 05701 US Yes	No
<u>1110002779</u> 91-5776260 Walgreens 340 Dorset St South Burlington VT 05403 US Yes	No
1110002769 91-5776260 Walgreens 115 Monkton Rd Vergennes VT 05491 US Yes	No
1110002781 91-5776260 Walgreens 164 Route 100 West Dover VT 05356 US No	No
1110002777 91-5776260 Walgreens 31 Business Ctr Rd Williamstown VT 05679 US Yes	No
1110002773 91-5776260 Walgreens 2466 St George Rd Williston VT 05495 US Yes	No

New Search Cancel

Link to the Authorized Agent Location Relationship

Results

ted, or terminated by Schultz Industries(43997) on or after 3/30/2015.

rized agent locations that meet your search criteria. To view additional information for a specific location, including current and historical relationships with companies, click the Agent ID below.

Agen	Agent EIN	Agent Legal Name	Physical Street Address	City	State/Province	Zip/Postal Code	Country	Address Deliverable	Address Exception
<u>1110006</u> 2	<u>97</u> 32-6974539	ACHEA, INC.	218 Hannaford Dr	South Burlington	VT	05403	US	Yes	No
<u>1110006</u> 2	<u>98</u> 55-5959270	ACE POSTAL CENTER	218 Hannaford Dr	South Burlington	VT	05403	US	Yes	No
<u>11100027</u>	<u>71</u> 91-5776260	Walgreens	7 Prince Ln	Bristol	VT	05443	US	Yes	No
11100027	72 91-5776260	Walgreens	84 Pine St	Burlington	VT	05401	US	Yes	No
11100027	82 91-5776260	Walgreens	5T Lake Morey Rd	Fairlee	VT	05045	US	No	No
11100027	75 91-5776260	Walgreens	29 Middle Rd	Milton	VT	05468	US	Yes	No
11100027	70 91-5776260	Walgreens	2 Main St	Montpelier	VT	05602	US	No	No
<u>11100027</u>	183 91-5776260	Walgreens	80 Fairgrounds Plz	Morrisville	VT	05661	US	Yes	No
11100027	78 91-5776260	Walgreens	108 N Main St	Northfield	VT	05663	US	Yes	No
11100027	76 91-5776260	Walgreens	105 VT Route 12 S	Randolph	VT	05060	US	No	No
11100027	74 91-5776260	Walgreens	260 N Main St	Rutland	VT	05701	US	No	No
11100027	1.5776260 (1.5776260)	Walgreens	3141 Cold River Rd	Rutland	VT	05701	US	Yes	No
11100027	<u>79</u> 91-5776260	Walgreens	340 Dorset St	South Burlington	VT	05403	US	Yes	No
<u>11100027</u>	69 91-5776260	Walgreens	115 Monkton Rd	Vergennes	VT	05491	US	Yes	No
1110002	81 91-5776260	Walgreens	164 Route 100	West Dover	VT	05356	US	No	No
1110002	77 91-5776260	Walgreens	31 Business Ctr Rd	Williamstown	VT	05679	US	Yes	No
11100027	73 91-5776260	Walgreens	2466 St George Rd	Williston	VT	05495	US	Yes	No

New Search Cancel

UAAR Annual Processing Fee



UAAR Annual Processing Fee

- An annual fee of twenty-five cents (\$0.25) for each active authorized agent/delegate location reported through NMLS is invoiced on November 1st. This fee is paid by the licensee.
- There is no fee for the first 100 active agents reported (Companies with 100 agents or less are not subject to the UAAR Processing Fee).
- The fee is capped at \$25,000 per licensee in any one year.
- The invoiced amount is based on the number of active agents in the system as of August 16th, (day after third quarter reporting deadline).
- See the <u>Uniform Authorized Agent Reporting Processing Fee Fact</u> <u>Sheet</u> for more information.

UAAR Resources

- Quarterly Money Services Business Fact Sheet
- Uniform Authorized Agent Reporting Page for Industry
- <u>Utilizing the Authorized Agent Report Guide for</u> <u>Regulators</u>

QUESTIONS?

