



License Requirements and Fees Chart

Companion Guide

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Introduction

This is a companion document to the [License Requirements and Fees Chart](#) to help you filter the chart based on your entity type and goal within NMLS: applying for a new license, amending your record, or complying with periodic reporting requirements.

There may be additional state-specific requirements for your license. Review the relevant state-specific checklists found on the [Checklist Compiler](#) page to ensure that you have all information and requirements.

NOTE: The License Requirements and Fees Chart is a Microsoft Excel document. If you do not have Microsoft Excel, we recommend importing the chart into a 3rd party service like Google Sheets to maximize usability.

Company New License Application

Show Columns: A-R, AA-AJ, AV-BA

Hide Columns: S-Z, AK-AU, BB-BI

Columns	Category of Information	Notes
A-C	License or Registration Information	A list of the state agencies, license names, and license requirement statutes.
D-I	Criminal Background Check (CBC) and Credit Report Requirements for Control Individuals	Indicates what actions require the control individual listed in each column to do a CBC or credit report. A “No” or “N/A” in the column means a control person does not need to complete the listed requirement.
J-O	NMLS Document Uploads	Indicates if the documents referenced in the column header must be uploaded in the Document Uploads section of the MU2. A Yes in these columns means the document listed must be uploaded during the new application process.
P-R	Financial Statement	Indicates what classification of Financial Statement is required (if any) and corresponding net worth requirements.
AA-AJ	Electronic Surety Bond	Indicates if the electronic surety bond (ESB) is required or allowed, if a specific control individual is required to sign the ESB, minimum



Columns	Category of Information	Notes
		bond amounts required, and information related to cancellation and conversion.
AV-BA	Fees	Provides the fees required for the selected license as well as any additional information needed.

Company Amendment

This information is applicable to companies who have an existing license and wish to make changes to the Legal Name or Status, Main Address, Other Trades Names or Owners and Control Persons.

Show Columns: A-C, S-Z, BB-BI

Hide Columns: D-R, AA-AY

Columns	Category of Information	Notes
A-C	License or Registration Information	A list of the state agencies, license names, and license requirement statutes.
S-Z	Number of Days in Advance the Change Must be Reported	Indicates the number of days in advance the change needs to be reported for an Advance Change Notice (ACN).
BB-BI	Fees	Provides the fees required for the selected license as well as any additional information needed.

Company Periodic Reporting (MCR, MSBCR, or UAAR)

This information is applicable to companies who have an existing license and need to satisfy any periodic reporting requirements, including the Mortgage Call Reports (MCR), Money Service Business Call Reports (MSB), and Uniform Authorized Agent Reporting (UAAR).

Show Columns: A-C, AK (for UAAR), AL-AP (for MCR), AQ-AU (for MSBCR),

Hide Columns: D-AJ, AV-BI



Columns	Category of Information	Notes
A-C	License or Registration Information	A list of the state agencies, license names, and license requirement statutes.
AK	Uniform Authorized Agent Reporting (UAAR)	Provides a Yes or No indicator for the UAAR requirement.
AL-AP	Mortgage Call Report (MCR)	Provides the requirements related to completing the MCR including what sections of the MCR are required such as the State-Specific Supplemental Form (SSSF).
AQ-AU	Money Services Business Call Report (MSBCR)	Provides the requirements related to completing the MSB Call Report.

Branch New License Application

Show Columns: A-K, O-T

Hide Columns: L-N, U-Z

Columns	Category of Information	Notes
A-C	License or Registration Information	A list of the state agencies, license names, and license requirement statutes.
D-G & K	Branch Manager Criminal Background Check (CBC) and Credit Report Requirements	Provides a Yes or No indicator for the Credit Report and conveys what action will require the Branch Manager to complete a CBC.
H-J	NMLS Document Uploads	Indicates if the documents referenced in the column header must be uploaded in the Document Uploads section of the MU2. A Yes in these columns means the document listed must be uploaded during the new application process.
O-T	Fees	Provides the fees required for the selected license as well as any additional information needed.



Branch Amendment

This information is applicable to companies who have an existing branch license and wish to make changes to their MU3 filing.

Show Columns: A-C, L-N, U-Z

Hide Columns: D-K, O-T

Columns	Category of Information	Notes
A-C	License or Registration Information	A list of the state agencies, license names, and license requirement statutes.
L-N	Number of Days in Advance the Change Must be Reported	Indicates the number of days in advance the change needs to be reported for an Advance Change Notice (ACN).
U-Z	Fees	Provides the fees required for the selected license as well as any additional information needed.

Individual New License Application

Columns	Category of Information	Notes
A-D	License or Registration Information	A list of the state agencies, license names, license requirement statutes, the Temporary Authority to Operate eligibility.
E-F	Criminal Background Check (CBC) and Credit Report Requirements	Indicates if a CBC and/or Credit Report is required at the time of new application.
G-J	Education	Provides the Pre- Education (PE) Requirements, including if individuals have state specific PE required in addition to the 20 SAFE Act required hours.
K-N	NMLS Document Uploads	Lists if the documents referenced in the column headers is required to be uploaded in the Document Uploads section of the MU4. A Yes indicator in these columns means the document listed must be uploaded during the new application process.



Columns	Category of Information	Notes
O-V	Fees	Provides the fees required for the selected license as well as any additional information needed.
W-X	Remote Work	Indicates if work remote is allowed and any associated requirements.

Individual Amendment

There is no information for individual amendments included in the License Requirements and Fees Chart. Review the relevant state-specific checklists found on the [Checklist Compiler](#) page to ensure that you have all information and requirements.

Contact the NMLS Call Center with any questions.

1-855-665-7123

Monday - Friday from 9:00AM to 9:00PM ET

Available from all U.S. States and Territories.