



# NMLS Release 2014.1.1 – Release Notes

Release Date: April 28, 2014

The purpose of these Release Notes is to provide a summary of system enhancements included in NMLS Release 2014.1.1.

General Enhancements			
SCR Number	Title	Description	Context
18396	Authorized Agents – Add zip+4 to the upload results file	If a 5-digit zip is validated by Melissa Data and the +4 is returned, the full zip code+4 will now be retained in the Authorized Agent upload results file.	State
18343	Company Filing (MU1) – Change completeness check message text for ACN Identifying Information	The completeness check for the ACN Identifying Information message will be updated to correct a capitalization error. The word "New" will be capitalized since it is part of the field label (New Entity Name).	State
18391	Company License Item List: Add Entity ID Link and Access to Other Work Items	Company License Item List – License Item Task – Current License Items amendments: <ul style="list-style-type: none"> <li>• A Link from the Entity ID to Composite View will be added</li> <li>• A view icon and link from license item type will be added for all license items other than the license item being managed from the page</li> </ul>	State
17396	Company License Item List: Information Inconsistent Between List and Detail Pages	The Company License Item List will be amended to display the Entity ID and Name of the MU2 Individual for Company and Branch license items associated to an MU2 Individual. In addition, the license item description in the License Item Management section of the License Item Task page will be updated to reflect the Name and Entity ID of the MU2 Individual for these license items.	State
17451, 18544	Composite View – License Item List page updates	License Item lists in Composite View will be updated to include "Last Updated Date" and "Last Updated By" columns. The License Item Detail page will also be updated to include a column indicating whether the license item was cleared. Any license item that was opened and updated/cleared prior to October 21, 2013 (NMLS Release 2013.4) will have a dash in the "Updated Date" and "Updated By" columns.	State
18393	T&E Investigations: Remove references to T&E Investigations in Composite for Industry users	Composite View labels will be updated to exclude references to T&E Investigations for industry users.	State



# NMLS Release 2014.1.1 – Release Notes

Release Date: April 28, 2014

## General Enhancements

SCR Number	Title	Description	Context
18378, 18537	Notifications – License Item Created notification to trigger when License Item Updated	<p>Several enhancements will be made to the License Item Created notifications.</p> <ul style="list-style-type: none"> <li>• The License Item Created Notification (Company, Branch, and Individual) triggers will be updated as follows:               <ol style="list-style-type: none"> <li>1. Saving an existing License Item without making changes will <u>NOT</u> trigger the notifications.</li> <li>2. Updates to the Internal Note or Due Date will <u>NOT</u> trigger the notification.</li> <li>3. Updates to the Title, External Note, and/or External Note 'Is Private' indicator WILL trigger the notification.</li> </ol> </li> <li>• The notification text will be updated. However, the notification will not identify the specific information that has changed.</li> <li>• The labels on the Notification Subscription Page will be updated to read as follows:               <ol style="list-style-type: none"> <li>a. License Item Created/Updated</li> <li>b. License Item Created/Updated (Branch)</li> <li>c. License Item Created/Updated (Individual)</li> </ol> </li> </ul>	State
18324, 18432	Account Admin – Introduce separate email when a non-admin (industry and regulator users) is locked out	The text of the email organization users that are not account administrators receive when their account is locked will be updated to direct them to contact their account administrator instead of the call center to unlock their user account.	State and Federal



# NMLS Release 2014.1.1 – Release Notes

Release Date: April 28, 2014

## System Maintenance Updates

SCR Number	Category	Description	Context
18472	Authorized Agents	The Processed Authorized Agent File List and Results screen will now execute sorts of the File Status column correctly.	State
18397	Company License Item List	After selecting an Entity Type (Company, Branch, Individual) in the Company License Item List filter, only license items applicable to the selected entity will display.	State
18370	Dashboard	The Upcoming Events - Filing Deadlines Section on the Company Dashboard will now display N in the Deficient/Past Due column for Annual Financial Statement if the company does not have a Financial Statement Requirement.	State
17952	Entitlement	This system will be updated to log out the user and present a user account locked message when the user provides the incorrect password too many times on the Change Security Question and Answer page.	State and Federal
18441	Entitlement	An individual will no longer be able to self-enable their account after the account has been disabled by a support user.	State and Federal
17454	Form Filing: MU1	The system will be updated to ensure the sort is working correctly for the Effective Date column in the Direct Owners and Executive Officers section of a pending MU1 filing.	State
18262	Form Filing: MU4	The message presented when a company attempts to submit an MU4 filing when no longer have access to the related Individual's record will be updated to direct the user to either re-establish access or to delete the filing.	State
18567	Payments	The system will be updated to ensure the credit card service fee is reflected on the invoice after a user refreshes the payment confirmation page when making a credit card payment.	State and Federal
18524	Regulator: Manage License Item	A License Item History record will no longer be created when there is a change to the Internal Note field.	State