



# NMLS Release 2015.2.2 – Release Notes

Release Date: June 22, 2015

The purpose of the Release Notes is to provide a summary of system enhancements included in NMLS Release 2015.2.2.

General Enhancements			
SCR Number	Title	Description	Context
16927, 22924	ACN: Update Regulator Status on Processed ACNs	<p>Regulator users will be given the ability to update the regulator status of processed Advance Change Notices (ACNs). The following changes are being made to support this ability:</p> <p><b>Work Item</b> The Pending ACNs section in the Work Item will be updated to display relevant advance change notices. This will include a list of pending ACNs and a list of ACNs processed within the past 30 days. The lists will be expandable. The pending ACNs list will default to expanded. The processed ACNs list will default to collapsed unless there are no processed ACNs to display. A link to the Manage Advance Change Notices list will also be provided.</p> <p><b>Advance Change Notice Detail</b> The Update Regulator Status section will be available on the Advance Change Notice Detail page for processed ACNs. Regulators will be able to update the status of a processed ACN to <b>Under Review</b>, <b>On Hold</b>, <b>Approved</b>, or <b>Rejected</b>. In addition, regulators will be required to provide a comment when updating the status of a pending or processed ACN to <b>Rejected</b>.</p> <p><b>Manage Advance Change Notices</b> The default sort order for the Processed and Cancelled ACNs list will be updated to Effective Date (descending) then Change Type (ascending).</p>	State



# NMLS Release 2015.2.2 – Release Notes

Release Date: June 22, 2015

## General Enhancements

SCR Number	Title	Description	Context
22684	Work List: Allow user to return to Work List after saving changes to a Work Item	<p>This enhancement will result in two save buttons being available on the regulator Work Item page – a <b>Save and Return</b> button and a <b>Save and Remain</b> button. The buttons will operate as follows:</p> <p><b>Save and Return</b> – the System will save any changes and take the regulator back to the Work List they accessed the work item from – either My Work List, Agency Work List &amp; Assignment, or Review Item Assignment.</p> <p><b>Save and Remain</b> – the System will save any changes and keep the user on the Work Item page.</p> <p>In addition, a user will be prompted to save changes when attempting to navigate away from the Work List page without saving their changes.</p>	State
22554	Work List: Show Work Items in My Work List only if relevant incomplete Review items exist	A work item will no longer display on the My Work List page for a regulator user after the last review item assigned to the user is marked as complete.	State
22451	Work List: Default Work List page selection	<p>Account Administrator users will be permitted to change the default Work List page for each regulator user at their agency from the User Account Profile page under the Admin tab. The default Work List pages, if no changes are made, will continue to be as follows:</p> <ul style="list-style-type: none"> <li>• Organization Users – My Work List</li> <li>• Account Administrators – Agency Work List &amp; Assignment</li> </ul>	State



# NMLS Release 2015.2.2 – Release Notes

Release Date: June 22, 2015

## General Enhancements

SCR Number	Title	Description	Context
22095, 22147, 22148	State Federal Wall: Mask Complete Company EIN, Individual Information, and Non-Native Individual Information Instead of Suppression	<p>For state regulator users viewing the Company Composite of a federally registered company, the company EIN will be masked instead of suppressed. For state-licensed or dually regulated entities, only the last four digits of the EIN will be displayed.</p> <p>For state regulator users viewing the Individual Composite for a federally registered individual, demographic information and non-relevant individual information will be masked instead of suppressed. For dually regulated individuals, only the last four digits of the SSN will be displayed.</p> <p>For federal agency users viewing Individual Composite for a state licensed individual, demographic information and non-relevant individual information will be masked instead of suppressed. For dually regulated individuals, only the last four digits of the SSN will be displayed.</p>	State, Federal
22871	User Account Profile: Prompt When Navigating Away Without Saving	Account Administrator users will be prompted to save changes when attempting to navigate away from the User Account Profile page without saving their changes. In addition, when a user selects any <b>Save</b> or <b>Cancel</b> button on the page, the save or cancel action will apply to all sections on the page.	State, Federal



# NMLS Release 2015.2.2 – Release Notes

Release Date: June 22, 2015

## General Enhancements

SCR Number	Title	Description	Context
21810	Implement PCI-DSS standard of a 15 minute session timeout on payment pages	<p>The Payment Card Industry Data Security Standard (PCI-DSS) requires session timeouts for functionality handling credit card data to be 15 minutes or less. The system will be enhanced to display a timer at the top of each payment page. The timer will count down from 15 minutes and then redirect the user to a page preceding the payment submission section. For example:</p> <ul style="list-style-type: none"> <li>• Users submitting cart payments will be redirected to the invoice page.</li> <li>• Users submitting payment for filing submissions will be redirected to the Attest and Submit page.</li> <li>• Individual users paying for test enrollments will redirect to the Candidate Agreement page.</li> </ul> <p>When redirected, the following message will appear at the top of the page: Your payment session has expired. You must restart payment submission.</p> <p>Additional text updates and formatting will be made to the payment pages.</p>	State, Federal
22681	MU4R Disciplinary Actions - Associate CFPB to Action Types	<p>The Consumer Financial Protection Bureau (CFPB) will now be available for selection when a user creates or updates a Disciplinary Action for any of the following Action Types:</p> <p>Cease and Desist (Regulatory)            Order (Regulatory)            Revocation (Regulatory)            Other (Regulatory)</p>	Federal



# NMLS Release 2015.2.2 – Release Notes

Release Date: June 22, 2015

## System Maintenance Updates

SCR Number	Category	Description	Context
31113	ACN: Processed Other Trade Name (OTN) Does Not Display in Composite	NMLS will be updated to properly display information when Advance Change Notice events are processed on consecutive days without an intervening user filing. For example, Other Trade Names will now appear as expected in Consumer Access and Composite View until a user submits a new filing. The updates will also be included in the XR files used by regulators for Data Download processing.	State
22899	Data Download: Company Direct Owner Records Not Found in Download	Direct and Indirect Owners that do not have a NMLS ID will now be included in the Data Download XR files. NMLS will be updated to ensure XR Direct and Indirect Owner records for companies actively licensed by the regulator will be received by the regulator based on the same rules as the MU1 filing in which they originate, that is, the Company is actively licensed by the regulator.	State
22923	Industry Workflow Event Log: NMLS Unable to Find Specified Column in Result Set	The MLO Batch Upload functionality will be modified to allow individuals suppressed from Consumer Access to process.	State