



# NMLS Release 2016.4 – Release Notes

Release Date: October 10, 2016

The purpose of these Release Notes is to provide a summary of system enhancements included in NMLS Release 2016.4.

## General Enhancements

SCR Number	Title	Description	Context
33267	Authorized Agents: Internal Address Verification	A licensee who has previously uploaded an authorized agent will be able to update the agent record even if the automated address validation system validates to a different address.	State
35985	Authorized Agents: Manual Job to Validate Address Standardization	An address verification table will be maintained in NMLS listing authorized agents' addresses accepted by the System. These addresses will be validated with the external Address Validation Service on a routine basis to determine when adjustments are required to physical address entries in the System.	State
35838	CBC: Update Text to 'Agency Access Required' MU2 Level CC	The text for the 'Agency Access required' MU2 level completeness check will be updated for clarity.	State
34701	Legal: Text Update to TU Regulator Agreement	The text for the TransUnion Regulator agreement presented when the regulator accesses credit reports will be updated.	State
36143	MCR: Update Configuration Setting for Create and Edit Period Limit for MCR to Two (2) Years	The Create and Edit period for Mortgage Call Report (MCR) filings will be reduced from three (3) to two (2) years. After the Release, a company will not be able to create or amend a MCR filing that is older than two years.	State
33299	Surety Bonds: Allow Company to Change Bond Signatory after Signature	A company user will be able to change the bond signatory after it has been signed (but prior to delivery to a regulator) to allow a different authorized individual to sign the bond.	State
32597	Surety Bonds: Company Get Notified Once MU2 Individual Signs Bond	A subscribable notification will be available for company users when a designated individual signs a company bond. An authorized user will need to access the <i>Manage Notifications</i> page under the Admin tab to subscribe the appropriate user(s) to the notification after the release.	State
36553	Surety Bonds: Converted Bond Language Text Update	The converted bond language appearing as a header for converted bonds in NMLS will be updated for clarity for existing and future bonds.	State



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36738	Surety Bonds: Create Organization User - Representative Setup Instruction for SBP Admins	After creating an organizational user, and when a producer has users but no Representatives have been identified, a message with a link to the <i>Representatives</i> page will be presented to surety bond producer account administrators to as a reminder that representatives must be identified.	State
35949	Surety Bonds: Display Rider-level Transactions for Each Rider on Bond Detail	Rider-level transactions will now display with the corresponding rider. These include: <ul style="list-style-type: none"> <li>- Signed by Surety</li> <li>- Signed by Licensee</li> <li>- Delivered to Regulator</li> <li>- Returned to Surety</li> </ul> Users will need to use a link to expand the rider transaction details.	State
35379	Surety Bonds: New Converted Surety Bond License Item Type for Bulk License Item Placement	A “Converted Bond” license item will be available for placement on company licenses. The purpose is to direct licensees to convert all existing paper bonds to electronic bonds in NMLS before regulator-set conversion deadlines. A notification will be sent to the licensee when the license item is placed. Company licenses with an active license item of this type will not be eligible for auto-renewal.	State
33228, 36329	Surety Bonds: Notification Subscription Framework for Surety Entities	Surety companies and surety bond producers will be able to subscribe to system-generated notifications. After the Release, an authorized user will need to access <i>Manage Notifications</i> page under the Admin tab to subscribe users to the notifications. The <i>Manage Notifications</i> pages will provide an informational message listing notifications that do not have a subscribed user. Notifications without a subscribed user will continue to be sent to the Account Administrator.	State



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36552	Surety Bonds: Updated Surety Entity Roles	<p>The user roles for surety companies and surety bond producers will be updated to create separate roles for association management. For surety companies, the ability to Create, Update, View (outside of Composite), and Remove Associations will be removed from the “Manage Bonds” role and will require a new role called “Manage Associations.” For surety bond producers, the ability to Accept, Reject, Remove View (outside of Composite), Request Correction on Associations, and Manage Representatives will require a new role called “Manage Associations and Representatives.”</p> <p>Surety company and surety bond producer account administrators will need to assign the new roles to users after the Release to give them the ability to manage associations and/or representatives.</p>	State
36262	Surety Bonds: Remove CFPB Role Access for Surety Bond List in Composite	The ability for Consumer Financial Protection Bureau (CFPB) users to access the <i>Bond List</i> pages in Company Composite will be removed.	State
36271	Surety Bonds: Surety Company Notifications to be Underwriter-Specific	The Return to Surety notification for surety company users will be sent to the assigned underwriter user instead of the notification subscriber or account administrator. If the bond has no assigned underwriter (or an underwriter with a deleted user account), then the notification will be sent to the notification subscriber or account administrator.	State



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34784	Surety Bonds: Surety Bond Roster Report for Company Licensee	A 'Surety Bond Roster (Company)' report will be available to company account administrators or company users with the reports role. The report will provide a list of bonds for the company in all statuses except 'Requires Rep Signature'. The <i>Report Request</i> page will include a bond status filter. (NOTE: Although the bond status filter control will include the 'Requires Rep Signature' status, the report will not return data on bonds in this status.) Support users will be able to run the report after providing the Entity ID of the desired company.	State
36658, 36631	Surety Bonds: Underwriter Search Field Default Text	Text will be added to the underwriter data entry field presented when creating or managing a surety bond to help users enter the correct information to obtain the appropriate underwriter(s) selection.	State
36681	Surety Bonds: Underwriter Search Field to Allow First AND Last Name	The System will allow both the first and last name of the underwriter user to be entered (in addition to first <u>or</u> last name previously supported) in the underwriter field presented when creating or managing a surety bond. A result will be returned if the names entered match the first and last name associated to the user account profile of the underwriter user.	State
34081	Surety Bonds: When Creating Bonds the License Type Dropdown Will Have Regulator Prefix	Currently, the license type dropdown contains only the name of the license but not a prefix identifying the specific agency. The list will now contain the prefixes in order to reduce confusion and improve the ability to differentiate license types managed by different regulators in the same state.	State
36603	Renewal: Monitor Federal Renewal Multiple Payments	The System will monitor if duplicate renewal payments are processed for an individual when bulk renewal functionality is utilized. In addition, the System will prevent renewal information for an individual registration from being updated if a duplicate payment has been submitted.	Federal



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SCR Number	Title	Description	Context
35190	Form Filing: MU4 and MU4R CBC Selection Must Be Dynamic Based on an Existing Open CBC Authorization	NMLS will be enhanced to disable the criminal background check (CBC) checkbox on individual (MU4/MU4R) filings when an open CBC authorization exists. A message indicating the reason for the disabled checkbox will be presented to the user.	State and Federal
14529	Consumer Access: Inactive Federal Individual Registration Not Appearing Due to Institution Primary Agency Change	Consumer Access display logic will be updated to show the correct information in the <i>Previously Authorized to Represent</i> section when an individual was employed by an institution prior to a change in federal regulator, and the employment was terminated before the change occurred.	Consumer Access
35722, 35723	InfoSec: Non-Compliant Browser Warning / Restriction	Beginning in August of this year, users attempting to access NMLS or NMLS Consumer Access with a browser that did not support the TLS 1.2 security protocol received a message regarding the need to upgrade to a compliant browser by Saturday, October 8, 2016 (2016.4 Release).  <b>IMPORTANT: As of October 8th, users will NOT be able to access NMLS or NMLS Consumer Access until their browser is updated to a version that supports the TLS 1.2 security protocol.</b>	State, Federal, and Consumer Access



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## System Maintenance Updates

SCR Number	Category/Title	Description	Context
36500	Surety Bonds: Emails Not Handling Entity Name with Special Characters	An email will be sent to a surety bond producer or surety company user when their account is locked and the name of the entity contains a special character (like "&").	State
34998	Surety Bonds: Link to Association Upload Specification Is Incorrect	The Association Upload schema validation message will be updated to provide a link to the correct destination.	State
33894, 36268	Consumer Access: Prior Other Names - NMLS, CA, B2B	The System will be updated to consider the latest state or federal filing of an individual when displaying information in the Current Other Names section of Consumer Access	State, Federal, and Consumer Access