



NMLS Release 2016.3 Release Portfolio

Scheduled for Release: September 12, 2016

The purpose of this portfolio is to communicate system enhancements targeted for Release 2016.3. Targeted system enhancements and updates listed in this portfolio are committed to Release 2016.3. However, as development proceeds, it is possible that changes will be made to the approach to some enhancements or that some of the enhancements may be removed from the release.

Roadmap enhancements targeted for Release 2016.3 include:

- [Biennial Licensing Form Changes](#) – Updates to licensing forms pursuant to [public comment](#). Approved form changes include updates to business activities and legal statuses, enhanced advance change notice functionality, and attestation language changes. See: [Company Form \(MU1\) Changes](#), [Branch Form \(MU3\) Changes](#), and [Individual Form \(MU2/MU4\) Changes](#).
- [Individual Document Upload](#) – This enhancement introduces document upload capability for state individual filings (MU2 and MU4). Documents for specified document types can be uploaded to an individual filing only if the individual is applying for an active license or is associated to an active company or branch license that permits or requires submission of the document type. NMLS will limit access to documents that may contain sensitive information.
- [Criminal Background Check \(CBC\) for MU2 Individuals](#) – CBC for MU2 Individuals enables states to indicate if they allow or require CBC for those individuals. The new functionality will require companies to request the CBC authorization and individuals to approve the authorization and the release of CBC results to regulators.
- [Surety Bond Tracking](#) – NMLS will deploy Electronic Surety Bond Tracking for company licenses in selected states.

[View General Enhancement Descriptions](#)

Biennial Licensing Form Changes

SUMMARY: Updates to licensing forms pursuant to [public comment](#). Approved form changes include updates to business activities and legal statuses, enhanced advance change notice functionality, and attestation language changes. See below for more details: [Company Form \(MU1\) Changes](#), [Branch Form \(MU3\) Changes](#), and [Individual Form \(MU2/MU4\) Changes](#).

Company Form (MU1) Changes

SCR Number	Title	Description	Context
31812, 32134, 32135, 32673	Advance Change Notice (ACN) – Allow ACNs for removal of DO/EO, IO, and QI	Companies will be able to utilize Advance Change Notice (ACN) functionality to remove Direct Owners and Executive Officers, Indirect Owners, and Qualifying Individuals effective at a later date.	State
31704	Expansion of Business Activities – Company Filing	The business activities on the Company filing will be expanded to include: Reverse mortgage lending Reverse mortgage brokering Reverse mortgage servicing The existing business activity, “reverse mortgage activities,” will be retired. Company users will be required to remove the retired “reverse mortgage activities” from the company filing, if selected, prior to submitting the first filing on the updated form.	State
31817, 32284, 32285	Updates to Legal Status Section of Company Filing	Due to market growth of Limited/General Partnerships and Trusts, additional options will be added to the Legal Status section of the Company filing. The <i>Partnership</i> legal status option will be retired and the following options added: <i>General Partnership</i> <i>Limited Partnership</i> <i>Limited Liability Partnership</i> <i>Limited Liability Limited Partnership</i> <i>Trust</i> In connection with the release, companies with the <i>Partnership</i> legal status will be required to select one of the new partnership legal status options; whereas companies with the <i>Other</i> legal status will be permitted to select <i>Trust</i> or remain as <i>Other</i> .	State

Company Form (MU1) Changes

SCR Number	Title	Description	Context
31816	Annual/Call Report Contact Employee	The designated primary contact individual on the Company filing may not be the appropriate contact for Annual Report or Call Report related communications. Therefore, <i>Annual/Call Report</i> will be added as an option in the Area(s) of Responsibility section of the Contact Employee Information page.	State
32594	Create a new Company Filing Role	A new company filing role will be created: <i>Create and Edit MU1/MU2 Filings</i> . At the time of the release, all existing users (except account administrators) with the <i>Create and Submit MU1/MU2 Filings</i> role will be assigned to this new role. In companies that have assigned the create and edit role to individuals other than the account administrator, the administrator will have to re-assign the <i>Create and Submit MU1/MU2 Filings</i> role to users authorized to submit a company filing on the company's behalf. Only users with the <i>Create and Submit MU1/MU2 Filings</i> role will be able to submit payment for the filing after attestation.	State
32573	Attestation Language Updates – Company Filing	Company filing attestation language will be updated and will include the Title/Position of the user completing the attestation.	State

Branch Form (MU3) Changes			
SCR Number	Title	Description	Context
32283	Expansion of Business Activities – Branch Filing	<p>The business activities on the Branch filing will be expanded to include:</p> <ul style="list-style-type: none"> Reverse mortgage lending Reverse mortgage brokering Reverse mortgage servicing <p>The existing business activity, “reverse mortgage activities,” will be retired. Company users will be required to remove the retired “reverse mortgage activities” from each branch filing where it has been selected prior to submitting the first filing on the updated form.</p>	State
32595	Create a new Branch Filing Role	A new branch filing role will be created: <i>Create and Edit MU3/MU2 Filings</i> . At the time of the release, all existing users with the <i>Create and Submit MU3/MU2 Filings</i> role will be assigned to this new role. A company account administrator must then re-assign the <i>Create and Submit MU3/MU2 Filings</i> role only to users authorized to submit a branch filing on the company’s behalf. Only users with the <i>Create and Submit MU3/MU2 Filings</i> role will be able to submit payment for the filing after attestation.	State
32139	Attestation Language Updates – Branch Filing	Branch filing attestation language will be updated and will include the Title/Position of the user completing the attestation.	State

Individual Form (MU2/MU4) Changes

SCR Number	Title	Description	Context
19394	Individual Criminal Disclosure – MTEB Investigations	NMLS or SRR Testing Rules of Conduct Disclosure questions are no longer necessary, due to new functionality within NMLS that allows authorized SRR staff to place a flag on an individual record to notify regulators of a pending or complete investigation into a potential violation of the Rules of Conduct for Test Takers and Education Students. These disclosure questions and related disclosure explanations will be removed from individual state filings. Previous responses to these questions will be viewable in historical filings.	State
31813	Attestation Language Updates – Individual Filing	Individual filing attestation language will be updated.	State

Document Upload Capability for Individual Filings

SUMMARY: This enhancement introduces document upload capability for state individual filings (MU2 and MU4). Specified document types can be uploaded to an individual filing only if the individual is applying for an active license or is associated to an active company or branch license that permits or requires submission of the document type. NMLS will limit access to documents that may contain sensitive information.

The following document types, if the license permits submission, will be available on individual filings (MU2 and MU4).

- Credit Report Explanations
- Legal Name/Status Documentation
- Memorandum of Tax Certification
- Verification of Experience

In addition to the documents above, Direct Owners/Executive Officers, Indirect Owners, Qualifying Individuals, and Branch Managers will also be able to upload, if the license permits or requires submission, the following document types on the individual filing (MU2).

- Personal Financial Statement
- State Background Check Authorization

Individual Document Upload functionality will only be available on individual filings in the State Context. Once documents are submitted, users with the appropriate roles will be able to view document uploads in Historical Filings and Composite View. With the exception of strict access controls for documents with sensitive information, individual document uploads will function similar to company and branch document uploads.

SCR Number	Title		SCR Number	Title
33046	TEXT-Demo Feedback-Update individual doc upload form filing text		31928	Individual Doc Uploads: (UD5B) Company User - add a NEW document based on PERMITTED doc types per Company and Branch License Type
32588	Individual Doc Uploads: (CM6) (Test Only) Federal Users - Document List and Document History Viewing Rules		22132	Individual Doc Uploads: (CM3) Company, Support - View a LIST of CURRENT Doc Records & PDF Docs (incl. Docs with Restrictions)
32480	Individual Doc Uploads: (PF4) Federal Agency- View a LIST of Doc Records & PDF Docs (incl. Docs with Restrictions)		22122	Individual Doc Uploads: (CM1) Individual - View a LIST of CURRENT Doc Records & PDF Docs
32460	Doc Uploads (Composite/Form filing) - Changes to composite doc list, history pages + doc desc (Demo Feedback)		22119	Individual Doc Uploads: (CM4) Regulator - View a LIST of CURRENT Doc Records & PDF Docs (incl. Docs with Restrictions)
32444	Individual Doc Uploads: (CM5) Federal Agency - View a LIST of CURRENT Doc Records & PDF Docs (incl. Docs with Restrictions)		22118	Individual Doc Uploads: (RW1) Regulator - Generate REVIEW ITEM / Manage subscription based on a document action

SCR Number	Title		SCR Number	Title
32378	Individual Doc Uploads: (UD5A) MU4 Submitter - add a NEW document based on PERMITTED doc types per Individual License Type		22117	Individual Doc Uploads: (PF2) Company, Support - View a LIST of Doc Records & PDF Docs (incl. Docs with Restrictions)
32295	Individual Doc Uploads: (UD5C) MU4 Submitter - add a NEW document based on MANDATORY doc types per Individual License Type		22113	Individual Doc Uploads: (PF3) Regulator - View a LIST of Doc Records & PDF Docs (incl. Docs with Restrictions)
32294	Individual Doc Uploads: (RW2) Regulator - Suppress Review item generation when doc type is not permitted / required by the viewing regulator		22110	Individual Doc Uploads: (PF1) Individual, Company, Regulator, Support - View a LIST of Doc Records & PDF Docs (incl. Deleted)
32289	Individual Doc Uploads: (GUI-TXT) Instructional Text Changes		22109	Individual Doc Uploads: (UD6) Company Submitter - DISALLOW viewing of sensitive/confidential docs
31938	Individual Doc Uploads: (FF2) TEST only - UPGRADE Pending MU2/MU4 Filing to the Latest Form Version		22100	Individual Doc Uploads: (UD2) MU2/MU4 Submitter - AMEND a document record
31937	Individual Doc Uploads: (FF1) CREATE MU2/MU4 Filing in the New Form Version		22099	Individual Doc Uploads: (UD3) MU2/MU4 Submitter - DELETE a document record
31929	---- Individual Doc Uploads: (UD10) MU4 Submitter - Provide REQUIRED docs for new Individual license APP (license type settings)		22098	Individual Doc Uploads: (UD4) MU2/MU4 Submitter - view a LIST of doc records and PDF docs
22097	Individual Doc Uploads: (UD1) MU2/MU4 Submitter - Add a NEW document		33066	Individual Doc Uploads: Masking Reason for Change and Comment

CBC for MU2 Individuals

SUMMARY: Congress recently amended the SAFE Act to authorize NMLS to process background checks for non-mortgage loan originator license applicants. States can now allow or require MU2 individuals to submit a criminal background check (CBC) through NMLS, if permitted by applicable state law.

Regulators will be able to indicate whether they allow or require a CBC by license type for Direct Owners/Executive Officers, Indirect Owners, Qualifying Individuals, or Branch Managers.

Company users will be able to request authorization for the CBC and for a state to view the results directly in NMLS through the creation of the MU2 filing when creating the company form (MU1) filing. An individual must approve the authorization and release of the results to the appropriate regulator as part of their MU2 filing. Individuals may also authorize a CBC and release of the results for additional states not requested by the company as part of the MU2 filing.

SCR Number	Title	SCR Number	Title
34459	Reports: CBC Compliance Report (Company)- Filter and Pending MU2s	33607	CBC: Process CHRI Result (Clear License Items)
33587	CBC: Allow CBC Request for BM	33608	CBC: Process CHRI Result (Send Notifications)
33588	CBC: Allow CBC Request for QI	33609	CBC: Add CHRI Regulator Access
33589	CBC: Allow CBC Request for DO/EO	33611	CBC: Generate CHRI Regulator Access for Existing CHRI Records
33590	CBC: Allow CBC Request for IO	33612	CBC: Remove CHRI Regulator Access
33591	CBC: Prevent CBC Request for BM	33613	CBC: View Individual Composite
33593	CBC: Prevent CBC Request for QI	33614	CBC: Reports: CBC Compliance Report (Regulator Users)
33594	CBC: Prevent CBC Request for DO/EO	33615	CBC: Reports: CBC Compliance Report with Results (Regulator Users)
33595	CBC: Prevent CBC Request for IO	33616	CBC: Reports: CBC Compliance Report (Company Users)
33596	CBC: Require CBC for BM	33618	CBC: Email/Notification Impacts for MU2 CBC (Regulator)
33597	CBC: Require CBC for QI	33619	CBC: Email/Notification Impacts for MU2 CBC (Company)
33598	CBC: Require CBC for DO/EO	33628	CBC: Display Warning Message When CBC is Optionally Selected for a BM
33599	CBC: Require CBC for IO	33629	CBC: Evaluate MU1/MU3 CBC completeness check impacts on "copied" MU2 filings
33600	Test Only CBC: Limit CBC Method of Namecheck for MU2s with a Medical Waiver	33660	CBC: Display Warning Message When CBC is Optionally Selected for a QI

SCR Number	Title		SCR Number	Title
33601	CBC: BM - Prevent CBC Request for MU2 with Open CBC Authorization		33739	MU2 CBC-Suppress "prevent CBC" Comp Check for BM when "open CBC auth" Comp Check is fired.
33602	CBC: Warn User When Outstanding CBC Required License Item Exists for an MU2		33838	CBC-GUI-TEXT for CBC MU2 implementation
33603	CBC: MU1-Generate License Items when a Required CBC Auth is created.		33942	CBC: Display Warning Message When CBC is Optionally Selected for a DO
33604	CBC: MU3-Generate License Items when a Required CBC Auth is created.		34453	Reports: CBC Compliance Report (Regulators)- Update Filter Criteria
33605	CBC: View and Manage License Settings for MU2 CBC (User Interface)		34458	Reports: CBC Compliance Report with Results (Regulators)- Update Filter Criteria
33606	CBC: View License Settings for MU2 CBC (CSV Extract)			

Surety Bond Tracking

SUMMARY: Surety Bonds Tracking launches the creation of electronic surety bonds in NMLS, as well as the ability to generate riders and cancel bonds. Licensees will be able to grant a surety bond producer access to create bonds on their behalf. The ability to change a broker of record will also be available. See <http://mortgage.nationwidelicensingsystem.org/Pages/esbt.aspx> for information on state adoption of electronic surety bonds.

Bond Creation

Surety bond producers and surety companies will be able to create a bond for a company to which they have access for a specific license. The bond will be routed to the company for signature by the appropriate party, either a company user or a designated MU2 individual. The company will then be able to send it to the relevant regulator. The bond will be viewable by the surety bond producer, surety company, company, and relevant regulator. NMLS will enforce state-specific minimum bond amounts upon bond creation and will enforce these minimums throughout the maintenance of the bond in NMLS.

Bond Rider Issuance

Surety bond producers and surety companies will be able to create a rider to apply changes to an existing bond for a company's license. The rider will be routed to the company for signature by the appropriate party, either a company user or designated MU2 individual. The company will then be able to send the rider to the relevant regulator. Rider changes will be applied to the bond and each version of the rider will be viewable by the surety bond producer, surety company, company, and relevant regulator.

Bond Cancellation

Surety companies will be able to cancel a bond. The cancellation will have to comply with individual state regulations for advance notice if the state allows cancellation. All parties, surety bond producer, company, and regulator will be informed of the cancellation. Surety companies will also be able to rescind the cancellation.

Surety Bond License Settings

Regulators will be able to set license type settings to allow electronic surety bonds, to allow/not allow cancellations, set advance notice requirements for cancellations, and set minimum bond amounts that NMLS will enforce.

Notifications

Notifications are being developed for the following events:

- Bond requires signature
- Bond rider requires signature
- Bond Cancellation

Surety Bond Tracking

Reports

Reports are being planned for all parties in the surety bond process.

- Regulator Surety Bond Roster Report
- Surety Company Bond Event Report
- Surety Bond Producer Event Report
- Company Bond Roster Report

Bond/Rider View

Companies and regulators will be able to view bonds and rider details associated to a license in Composite. Surety bond producers and surety companies will view bonds from their work lists.

SCR Number	Title		SCR Number	Title
34857	Surety Bonds: Inform Surety entities of Licensee Address Changes		33776	Surety Bonds: Incorporate Cancelled bonds into existing Bond lists
22474	Modify SuretyStateAuthorizationApproval control to use Control State instead of View State		33777	Surety Bonds: Worklist subscription and assignment setup
32223	Surety Bonds: Company Authorizes SBP to issue a bond		33800	Surety Bonds: Create State Bond Form
32224	Surety Bonds: SBP Collects Data and Creates Bond (in simple format)		33801	Surety Bonds: Create State Bond Form
32225	Surety Bonds: Company accepts SBP issued bond		33802	Surety Bonds: Create State Bond Form
32243	Surety Bonds: Send Notification to SBP when licensee has granted access		33803	Surety Bonds: Create State Bond Form
32245	Surety Bonds: Company licensee views a list of Surety Bond Producers with access		33804	Surety Bonds: Create State Bond Form
32246	Surety Bonds: Surety Bond Producer views a list of Company licensees with access		33805	Surety Bonds: Create State Bond Form
32249	Surety Bonds: SBP representative reviews and signs bond		33806	Surety Bonds: Create State Bond Form
32250	Surety Bonds: Company is notified that a bond is ready for review		33807	Surety Bonds: Create State Bond Form
32366	Surety Bonds: Generate Template for WI-Banker-Bond with SBP Rep view		33808	Surety Bonds: Create State Bond Form
32367	Surety Bonds: Bond Form Template Framework extension (Field capture customizations)		33809	Surety Bonds: Create State Bond Form

SCR Number	Title		SCR Number	Title
32368	Surety Bonds: Company Licensee declines SBP-issued Bond		33810	Surety Bonds: Create State Bond Form
32389	Surety Bonds: Latest Company Name must be in bond for execution		33812	Surety Bonds: Create State Bond Form
32391	Surety Bonds: Enforce non-tiered requirements for new Company license application		33814	Surety Bonds: Create State Bond Form
32393	Surety Bonds: SC Collects Data and Creates Bond		33815	Surety Bonds: Create State Bond Form
32405	Surety Bonds: TEST ONLY-Company accepts SC-issued bond		33816	Surety Bonds: Create State Bond Form
32406	Surety Bonds: TEST ONLY-Company licensee declines or Request Correction SC-issued bond		33818	Surety Bonds: Create State Bond Form
32407	Surety Bonds: TEST ONLY-SC user reviews and signs the bond		33819	Surety Bonds: Create State Bond Form
32408	Surety Bonds: SC needs to authorize a bond written by associated SBP without Execution Authority		33820	Surety Bonds: Create State Bond Form
32409	Surety Bonds: OTNs to be incorporated into Bond		33821	Surety Bonds: Create State Bond Form
32410	Surety Bonds: Company designates specific MU2 Individual to sign a bond		33830	Surety Bonds: Prevent a Rider on an Expired Bond
32411	Surety Bonds: Import of Bond information for bond creation		33839	Surety Bonds: Licensee Removes SC Access
32412	Surety Bonds: SBP Creates Bond Rider		33841	Surety Bonds: Provide SBP ability to delete a pending bond or rider
32413	Surety Bonds: SC Generates Bond Rider on behalf of SBP		33842	Surety Bonds: Text for Bond Cancellation notice to Licensee
32423	Surety Bonds: Inform State Regulator of bond or bond rider execution		33859	Surety Bonds: SBP/SC needs ability to view bonds after Company access terminated
32425	Surety Bonds: SC Executed Bond Report		33862	Surety Bonds: SC Bulk Cancellation of Bonds
32426	Surety Bonds: Composite View for active bonds (Company, Support and EU users)		33863	Surety Bonds: New license item type for Electronic Surety Bonds
32427	Surety Bonds: Composite view of active bonds (Regulator users)		33865	Surety Bonds: Rider Composite History
32428	Surety Bonds: Company licensee list of SBPs to include authorized SBP states		33866	Surety Bonds: Categorization of Riders (for Surety Export and Rider history)
32429	Surety Bonds: SC Cancellation of an active bond		33867	Surety Bonds: Continuation Certificates
32430	Surety Bonds: State regulator to be informed of bond cancellation by Work Item		33868	Surety Bonds: Company Pre-designation of allowable signatories

SCR Number	Title		SCR Number	Title
32431	Surety Bonds: State regulator identifies MU2 signing requirement		33883	Surety Bonds: Validation on Cancellation Action Date
32441	Surety Bonds: Manage Bond requirements settings for state license types		33888	Surety Bonds: Update State Bond Form
32456	Surety Bonds: Text Changes for Industry Demo		33895	Surety Bonds: Claims information to be added to Bond forms
32465	Surety Bonds: Validation of bond existing for new license applications		33896	Surety Bonds: Expire bonds after Expiration Date has passed
32472	Surety Bonds: Company Licensee views fully rendered bond when signing		33917	Surety Bonds: SC to Rescind a Pending Cancellation
32475	Surety Bonds: Add Attestation to SBP Rep bond signing step		33918	Surety Bonds: Bond Cancellation search to include Company Name
32476	Surety Bonds: Company Licensee Requests Correction on SBP-issued Bond		33919	Surety Bonds: Reinstate a cancelled bond with a future Reinstatement date
32477	Surety Bonds: Emails when License Licensee declines or requests correction on Bond		33926	Surety Bonds: SBP and SC cannot sign riders they did not create
32532	Surety Bonds: Research utility for State Bond Form XSLT template creation		33927	Surety Bonds: Surety Company user can sign rider
32538	Surety Bonds: Create State Bond Form		33928	Surety Bonds: Work Item for Bond Reinstatement
32540	Surety Bonds: Validation on Flat Bond Amount when SBP creates or edits bond		33929	Surety Bonds: Notification to Licensee for bond reinstatement
32553	Surety Bonds: License Setting for CanCreateSuretyBond		33930	Surety Bonds: Notification to Surety Bond Producers for bond reinstatement
32559	Surety Bonds: Email to Individual designated to sign a bond		33931	Surety Bonds: Reinstate cancelled bonds with future Reinstatement Date
32560	Surety Bonds: Individual user signs bond		33933	Surety Bonds: Work Item for rescinding a bond cancellation
32562	Surety Bonds: Demo feedback and prep		33934	Surety Bonds: Notification to Licensee for rescinding for Bond cancellation
32563	Surety Bonds: Split Edit bond and sign bond functions for SBP user		33935	Surety Bonds: Notification to Surety Bond Producers for rescinding of Bond cancellation
32577	Surety Bonds: SBP needs to address bond in Request Correction status		34010	Surety Bonds: Implement Effective Periods table for Create and Cancel events
32589	Surety Bonds: Latest Company Address must be in bond before execution		34012	Surety Bonds: Allow SC to delete a pending bond or rider

SCR Number	Title		SCR Number	Title
32593	Surety Bonds: Capture Title for Principal Signatory when Company signs bond		34020	Surety Bonds: Expiration Date validation/derivation based on regulator requirements
32596	Surety Bonds: Company removes designation of specific MU2 Individual to sign a bond		34024	Surety Bonds: Change text for removing OTN on rider
32597	Surety Bonds: Company get notified once individual signs bond		34027	Surety Bonds: Notify Licensee when pending BOR change report
32598	Surety Bonds: Individual signing company bond can decline or request correction		34028	Surety Bonds: Licensee Accepts pending BOR change report
32600	Surety Bonds: Test Only - Allow Bond Riders only for an "Active" bond		34031	Surety Bonds: Notify SC when licensee rejects BOR change report
32601	Surety Bonds: Bond form for WI Mortgage Banker - extend		34032	Surety Bonds: Notify the old SBP when licensee rejects BOR change report
32645	Surety Bonds: Render and Sign Bond Rider		34033	Surety Bonds: Notify the new SBP when licensee rejects BOR change report
32658	Surety Bonds: Industry Dashboards to show bonds Pending Principal signature		34034	Surety Bonds: Evaluate aged Broker On Record (BOR) requests
32662	Surety Bonds: State Bond Form		34053	Surety Bonds: Create State Bond Form
32676	Surety Bonds: Generate State Bond Form		34054	Surety Bonds: Create State Bond Form
32677	Surety Bonds: Bond Form State Bond Form		34055	Surety Bonds: Create State Bond Form
32678	Surety Bonds: Add Bond Effective date to bond list pages and Demo Feedback		34056	Surety Bonds: Create State Bond Form
32719	Surety Bonds: Demo Feedback from 10-20 demo		34057	Surety Bonds: Create State Bond Form
32720	Surety Bonds: Company Designates type of Signatory		34061	Surety Bonds: Enhance SC view of bonds to see current actionable bonds for all SBPs
32756	Surety Bonds: SBP needs to pull back a signed bond for edits (avoid rider)		34069	Surety Bonds: Production value for Bond Cancellation license item type
32757	Surety Bonds: Company Designates Bond as Ready for Regulator		34073	Surety Bonds: Report(s) for Regulators
32759	Surety Bonds: Enhance SBP bond list with filters		34081	Surety Bonds: When creating bonds the license type dropdown should have regulator prefix
32812	Surety Bonds: State Bond Form		34090	Surety Bonds: Save and Sign as one action for Surety Entity
32826	Surety Bonds: Filter Company Access list		34092	Surety Bonds: Notify SC when bond is unsigned due to name or address ACN

SCR Number	Title		SCR Number	Title
32827	Surety Bonds: Automatically grant SC access to Company signing bond associated with SC		34094	Surety Bonds: SC to be notified of Company access being granted
32831	Surety Bonds: Notify SC/SBP of bond events		34095	Surety Bonds: Company Access list for SCs
32832	Surety Bonds: Company grants access to Surety Company		34096	Surety Bonds: TEST ONLY-SC signer to get email of Bond declined or correction requested
32833	Surety Bonds: Regulator access to Power of Attorney		34180	Surety Bonds: Create State Bond Form
32835	Surety Bonds: SC to sign bond in addition to SBP		34211	Surety Bonds: TEST ONLY-Rider Flow for SC created bonds
32839	Surety Bonds: Surety Company reinstates bond		34213	Surety Bonds: TEST ONLY-Test Additional functionality for SC created bonds
32857	Surety Bonds: GUI TEXT-Company Grant SBP Access screens		34239	Surety Bonds: Disregard Notification Period when cancelling undelivered bonds
32858	Surety Bonds: GUI TEXT-Screens for SBP Writing and signing bonds		34240	Surety Bonds: Display SBP conditionally whenever we show bond meta data
32860	Surety Bonds: Show bond metadata when Company user requests correction		34241	Surety Bonds: Change meta data label for IDMONEYTRANS_C Bond Form
32861	Surety Bonds: GUI TEXT-Screens for Company Licensee signing bonds		34260	Surety Bonds: Add Clear Filter buttons to all filter pages
32920	Surety Bonds: Text changes for Riders from 11-6 demo		34261	Surety Bonds: Demo Feedback from 2-23 SRR Demo
32949	Surety Bonds: Rider Generated Date and Rider Effective Date needed		34269	Surety Bonds: Derive Original Bond Id on bond
32957	Surety Bonds: Enforce flat bond amount requirement at license application		34282	Surety Bonds: Validation on Bond Rider Effective Date
32958	Surety Bonds: Completeness Check navigation		34283	Surety Bonds: Handle State Auth bond limit changes that invalidate existing association bond limits
32999	Surety Bonds: Bond Form Versioning		34284	Surety Bonds: Declined Rider should be skipped when creating new rider
33000	Surety Bonds: Regulator to know that bond has been submitted to regulator		34287	Surety Bonds: Add link to display the SC State Auths for a Regulator
33002	Surety Bonds: Company needs to terminate access to a SBP or SC		34291	Surety Bonds: SC State Authorization page in composite history (for Regulators)
33003	Surety Bonds: Enforce bond requirements for transition license requests		34292	Surety Bonds: Enhance Surety Association page in composite with drill down history
33044	Surety Bonds: Bulk export of executed bonds for SC/SBPs		34323	Surety Bonds: Capture and Display Bond Events of Interest

SCR Number	Title		SCR Number	Title
33051	Surety Bonds: MU1 warning for Company Legal name changes (Rider needed)		34324	Surety Bonds: Surety Industry ability to view all Riders for a Bond
33052	Surety Bonds: MU1 Warning for Address changes (for a Rider)		34351	Surety Bonds: Refactor Effective Period records
33054	Surety Bonds: SC to report Broker of Record (BOR)		34370	Surety Bonds: Backdated Rider preceding existing Rider Effective Date
33055	Surety Bonds: Company needs access to bond executed but not marked ready for regulator submission		34371	Surety Bonds: Bond Status of all bond instances to reflect status of latest bond instance
33124	Surety Bonds: Regulator Views Bonds in Composite in context of license		34376	Surety Bonds: Collapse Bond history in composite view
33130	Surety Bonds: Licensee Requests Correction for a Bond that is Fully Executed but Not Received by Regulator		34384	Surety Bonds: Distinguish a Reinstated Bond
33134	Surety Bonds: Enforcing "Bond Exists" requirement needs to consider if bond is marked ready for Regulator by company		34404	Surety Bonds: Notify Licensee of pending Broker of Record change
33135	Surety Bonds: Company Dashboard to show signed bonds that need to be marked ready for regulator		34405	Surety Bonds: Create Bond Access for new SBP per confirmed BOR
33137	Surety Bonds: Company needs to request correction on an executed but not "received by regulator" bond		34407	Surety Bonds: TEST ONLY-Update bond status of pending bond transactions when BOR is confirmed
33138	Surety Bonds: Bond Delivery to Regulator for existing license		34408	Surety Bonds: SC rescinds or cancels a pending BOR
33161	Surety Bonds: Bond Delivery to Regulator for new license application		34417	Surety Bonds: SBP needs ability to view Cancelled Bonds
33209	Surety Bonds: Bond Warning to Company of OTN changes		34419	Surety Bonds: Derive correct SBP on rider after access change
33210	Surety Bonds: Impact of OTN changes to a bond delivered to a regulator		34420	Surety Bonds: Allow SC to see last executed bonds when pending rider exists
33211	Surety Bonds: Regulator Work Item created for Rider on existing license		34429	Surety Bonds: Extend Highlighting for Changed State Auths to Approval Screen for SBPs
33214	Surety Bonds: Licensee removes Access from Surety Bond Producer		34431	Data
33221	Surety Bonds: Upgrade to a New Version of the Bond Form		34440	Surety Bonds: SBP Bond List conditions after Company Access terminated
33223	Surety Bonds: Validate Bond Requirements at Time of Licensee Signature		34441	Surety Bonds: Differentiate between Requires SC Rep Signature and Requires SBP Rep Signature in SC/SBP Bonds list

SCR Number	Title		SCR Number	Title
33225	Surety Bonds: Licensee Views Inactive Bonds		34446	Surety Bonds: Re-organize Bond Metadata for consistency and readability
33226	Surety Bonds: Regulator Views Inactive Bonds for a Licensee		34455	Surety Bonds: Capture SC or SBP Comments with Bond Actions
33227	Surety Bonds: TEST ONLY-Provide SC the ability to respond to request correction requests		34461	Surety Bonds: Incorporate Rider history into re-designed bond detail page
33228	Surety Bonds: Notification subscription framework for surety entities		34486	Surety Bonds: View Bonds where no Company Access or no BOR
33262	Surety Bonds: Create State Bond Form		34487	Surety Bonds: SBP Bond view rules for BOR change
33263	Surety Bonds: Create State Bond Form		34489	Surety Bonds: Set Broker of Record for new bonds and riders
33264	Surety Bonds: Create State Bond Form		34506	Surety Bonds: Remove BOR when Company Access is Removed
33265	Surety Bonds: Create State Bond Form		34564	Surety Bonds: Refactor BOR Persistence
33266	Surety Bonds: Create State Bond Form		34583	Surety Bonds: Future-dated BOR change
33268	Surety Bonds: Create State Bond Form		34584	Surety Bonds: Inactive Bond list pages for SBP to use SuretyBondRevisionAccess
33269	Surety Bonds: Create State Bond Form		34585	Surety Bonds: Process BOR for SC-issued pending Rider
33270	Surety Bonds: Create State Bond Form		34586	Surety Bonds: Inform new SBP and SC if BOR processed for unauthorized states
33273	Surety Bonds: Create State Bond Form		34587	Surety Bonds: Notify SC/SBP entity when Company Access removed
33274	Surety Bonds: Create State Bond Form		34588	Surety Bonds: Warn Licensee when accepting BOR if Zero bonds affected
33275	Surety Bonds: Create State Bond Form		34589	Surety Bonds: SC-issued rider to be based upon SC authorized states
33276	Surety Bonds: Create State Bond Form		34636	Surety Bonds: Broker of Record creation to provide search for SBPs
33277	Surety Bonds: Create State Bond Form		34651	Surety Bonds: Riders to allow change to Bond Number or Bond Effective Date
33278	Surety Bonds: Create State Bond Form		34652	Surety Bonds: Company Access removal not permitted for active BORs
33279	Surety Bonds: Create State Bond Form		34659	Surety Bonds: Broker of Record changes not to apply to Cancelled bonds
33280	Surety Bonds: Create State Bond Form		34660	Surety Bonds: Bond form rendering for Riders - disclaimer language

SCR Number	Title		SCR Number	Title
33281	Surety Bonds: Create State Bond Form		34661	Surety Bonds: Reinstatement Date is to become system-derived
33282	Surety Bonds: Create State Bond Form		34662	Surety Bonds: Cancellation Transaction Date to be optional
33283	Surety Bonds: Create State Bond Form		34663	Surety Bonds: Maintaining a bond after last license terminated
33284	Surety Bonds: Create State Bond Form		34664	Surety Bonds: Display Broker of Record Changes
33285	Surety Bonds: Create State Bond Form		34665	Surety Bonds: Allow SC to assign a BOR to bonds that have no BOR
33286	Surety Bonds: Create State Bond Form		34666	Surety Bonds: Inform SC of BOR process status
33287	Surety Bonds: Create State Bond Form		34667	Surety Bonds: Request Correction scenario after BOR processed
33288	Surety Bonds: Create State Bond Form		34668	Surety Bonds: Broker of Record processing and pending bonds/riders
33289	Surety Bonds: Create State Bond Form		34674	Surety Bonds: Rework Operational Bond Access Rules based on BOR instead of Company Access
33290	Surety Bonds: Create State Bond Form		34682	Surety Bonds: Capture Address for Underwriting Companies
33299	Surety Bonds: Correct Company Bond Signatory Upon Completion Check for MU2 Signatory		34683	Surety Bonds: Cancellation to use cancellation reason code
33304	Surety Bonds: Give Company users with MU1 role access to surety bonds page		34684	Surety Bonds: Change to Broker of Record Effective Date
33306	Surety Bonds: Allow delivery of bond that is not required with an MU1		34685	Surety Bonds: Add Effective Date to bond forms that do not currently have it
33313	Surety Bonds: Allow Company to sign bond and make ready in one step		34707	Surety Bonds: BOR wizard to have "Next" as default button
33319	Surety Bonds: Notification to Company when Name Change ACN causes bond reset		34740	Surety Bonds: Allow License Signee Title to be changed in a rider
33320	Surety Bonds: Notification to SBP when Name Change ACN causes bond reset		34745	Surety Bonds: Print script for browser print to override scroll area
33394	Surety Bonds: Non-Editable fields in a Rider		34746	Surety Bonds: Apply new Bond display format to SC/SBP views
33395	Surety Bonds: Blanket Riders		34747	Surety Bonds: Consolidate Surety Bonds lists into one for SC users
33405	Surety Bonds: Surety Bond Review Item for MU1 Filing Work Item when bond submitted with filing		34749	Surety Bonds: Apply Bond Revision Access rules to Event Detail
33406	Surety Bonds: SBP Executed Bond Report		34750	Surety Bonds: Bond Events to expand to signature and delivery
33415	Surety Bonds: Regulator Work Item created for Bond on existing license		34751	Surety Bonds: Company Access not to be removed by BOR if active bonds with another SC exist
33457	Surety Bonds: Cancel Bond on Cancellation Effective Date		34753	Surety Bonds: Derive Broker of Record Change id onto the Original Bond records

SCR Number	Title		SCR Number	Title
33458	Surety Bonds: Regulator Approves or Rejects a cancellation		34754	Surety Bonds: Reinstatement not permitted on a bond without Active BOR (includes rescinding a pending cancellation)
33471	Surety Bonds: Prevent Riders for undelivered bonds		34756	Surety Bonds: Read-only role for SC and SBP user types
33489	Surety Bonds: Test Only - Verify Change NIPR to NPN throughout Surety Bonds Component		34761	Surety Bonds: Office location management for SBPs
33555	Surety Bonds: Add title to SC and SBP Attestations once user profile title implemented		34762	Surety Bonds: Inform SC of Regulator Bond review status (License approval)
33568	Surety Bonds: Add OTN to current list of templates		34763	Surety Bonds: Broker of Record change due to merger/acquisition
33569	Surety Bonds: Allow OTN to be changed on Rider		34764	Surety Bonds: Principal Signature exception on Riders
33592	Surety Bonds: Change Field Labels for State Bond Form		34766	Surety Bonds: Riders to support future-dated name changes
33627	Surety Bonds: New SC/SBP Entity Profile management role		34770	Surety Bonds: Inform SCs of public Regulatory Actions on relevant licensees
33648	Surety Bonds: Enable changing OTN in a rider		34772	Surety Bonds: Inform SC of cancellation policy when cancelling a bond
33680	Surety Bonds: Update bond form for State Bond Form		34773	Surety Bonds: Regulator validation workflow for a bond
33700	Surety Bonds: Display Company Main Address in metadata for bonds		34774	Surety Bonds: Bond Import utility
33701	Surety Bonds: Attestation for a Bond Submitted without a Filing		34778	Surety Bonds: Bond forms to incorporate standard text options
33709	Surety Bonds: Set Replacement flag for new bond		34784	Surety Bonds: Report for Company licensee
33710	Surety Bonds: Notify Licensee when SC cancels a bond		34789	Surety Bonds: Apply new Bond display format to Individual and Company workflows
33711	Surety Bonds: Create new Company license item type for surety bonds cancellation		34831	Surety Bonds: Create State Bond Form
33712	Surety Bonds: Update various bond list pages to accommodate cancelled bonds		34832	Surety Bonds: Create State Bond Form
33726	Surety Bonds: Handle bond forms without user-specified effective date		34833	Surety Bonds: Create State Bond Form
33731	Surety Bonds: Warn SBP if OTN no longer available - Demo Feedback		34834	Surety Bonds: Create State Bond Form
33753	Surety Bonds: Change Broker of Record (SBP) on a Bond		34840	Surety Bonds: Notify SBPs when BOR has processed

General Enhancements

SCR Number	Title	Description	Context
<p>31429, 32319, 32321, 32322, 32323, 32320, 32325, 32326, 32327</p>	<p>Document Upload Enhancements for Branch Form (MU3) Filings</p>	<p>Branch document upload functionality for pending and historical/print filings will be enhanced to allow users to identify and review documents that have been added, amended, or deleted (will conform to company filing changes made in Release 2015.4).</p> <p>Document records will be assigned one of the following statuses which will display on the list page:</p> <ul style="list-style-type: none"> • <i>Amended – Description: amended description without an amended document</i> • <i>Amended – Document: amended document provided regardless of whether description has been amended</i> • <i>Deleted: document removed from the filing</i> • <i>Existing: document submitted on a previous filing and no changes made</i> • <i>New: added to the current filing</i> <p>The following actions will be available to company users based on Document Status:</p> <ul style="list-style-type: none"> • <i>Amended – Description: Undo, Amend</i> • <i>Amended – Document: Undo, Amend</i> • <i>Deleted: Undo, Amend (Reason for Deletion only)</i> • <i>Existing: Amend, Delete</i> • <i>New: Amend, Delete</i> <p>For each document record, the document file name length will be limited to 100 characters (existing documents will not be impacted by this new requirement). Company users will be required to provide a Reason for Change/Deletion for document records amended or deleted on the current filing. The Upload Date will be replaced with the Date Updated which will reflect the date of the most recent action by a company user to add, amend, or delete the document record.</p> <p>Filing Refresh</p> <p>This enhancement requires a branch form version change. Users will be required to refresh any un-submitted branch filing created prior to the release. In connection with this refresh, any un-submitted branch document upload change on the filing will be discarded. Branch users will be able to view the pending branch filing prior to the refresh. Users without pending filings will not be forced to resubmit previously submitted branch filings.</p>	<p>State</p>

General Enhancements

SCR Number	Title	Description	Context
	<p>Document Upload Enhancements for Branch Form (MU3) Filings (cont'd)</p>	<p>Historical/Print Filing The Document Uploads section will be updated to display documents grouped by document type listed in alphabetical order. The display will default to collapsed, however users will have the ability to expand document type groupings including at least one document record in an Amended - Description, Amended Document, Deleted, and/or New status will be marked as Modified. Document records in an Amended - Description, Amended Document, Deleted, and/or New status will be highlighted in the display.</p> <p>The following new information will be displayed for each document record:</p> <ul style="list-style-type: none"> • Submission Date (replacing Uploaded Date - to be updated only for document records with an amended document or deleted) • Reason for Change/Deletion • Document Status <p>Users attempting to open a document that was deleted on a filing will be required to acknowledge a warning that the document has been deleted prior to opening the document.</p> <p>Links to <i>View Document Uploads</i> page in Composite View and to the redline view between the current filing and the most recent previously submitted filing will be available at the top of the section.</p> <p>State-specific documents associated to a logged-in regulator will display at the top of the list of documents for the document type.</p> <p>Composite View The Processed Date currently displayed in Composite View on the Document Uploads and Document Uploads History pages will be updated to display the Submission Date.</p>	

General Enhancements

SCR Number	Title	Description	Context
21820, 33027, 32084, 32805, 32806, 32807, 32963, 32964, 32965, 33026	Refactor Direct Owner/Executive Officer, Indirect Owner, Qualifying Individual, and/or Branch Manager Individual Filing Creation/Update Rules	<p>NMLS is being enhanced to eliminate the need for a direct owner/executive officer, indirect owner, qualifying individual, and/or branch manager to attest to a new individual filing associated to the company or branch filing if all of the following are true:</p> <ul style="list-style-type: none"> • The attestation does not need to be recalled to make updates to the information on the filing; • No credit report or criminal background check requests need to be submitted; • The last processed individual state filing for the individual was submitted in the last significant form version; and • The last processed individual filing for the individual is a state filing. <p>In addition, if a pending individual filing associated to the company or branch filing has a more recent attestation than the attestation on the last processed individual filing, the new attestation can be retained provided conditions 1 – 3 above are met.</p>	State
31882, 32132, 32131, 32130	ACN Effective Date for Each Industry/State for Create OTN/QI	This enhancement to Advance Change Notice (ACN) functionality will allow company users to provide a separate effective date for each state and each industry when adding a new qualifying individual (QI) to a company filing or creating a new other trade name (OTN) on a company or branch filing.	State
22377	Filing: Prevent upgrade and re-attestation on Company-created MU4 filings for specific form versions	Newly implemented form versions without significant changes, will no longer require form version upgrade and re-attestation, for MU4 filings in an attested status when the new form version is released. This means a company will be able to submit most company-initiated MU4 filings that were attested to prior to the release even though a new form version will be implemented with the release.	State
32572	Filing: Prevent upgrade and re-attestation on Institution-created MU4R filings for specific form versions	Newly implemented form versions without significant changes, will no longer require form version upgrade and re-attestation, for MU4R filings in an attested status when the new form version is released. This means an institution will be able to submit most institution-initiated MU4R filings that were attested to prior to the release even though a new form version will be implemented with the release.	Federal
33067	Document Upload - Associate Reason for Change to Replaced Document for Display in History	Reason for Change/Deletion will be associated to the document that has been replaced or deleted in order to display Reason for Change in Document History for company, branch, and individual document uploads.	State
33069	Manage Review Item Triggers for Form Version Updates	At state regulators' request, review items will be suppressed when company users replace the old reverse mortgage activity with one or more new reverse mortgage activities on the first company or branch filing submitted after the form updates are made.	State

General Enhancements

SCR Number	Title	Description	Context
31804, 32286	Update User Profile to Include Title/Position	<p>In support of attestation language changes on the Company and Branch filings requiring display of the title/position of the user completing the attestation, organizational users associated to a company/institution record in NMLS will need to provide their Title/Position as part of their User Profile.</p> <p>Existing organization users at the time of the release will be prompted to provide their Title/Position via the User Profile Update. Organization users associated to a company in the state context will be prompted to update their profile when they first log in after the release. Organization users associated to an institution in the federal context will be prompted to provide their Title/Position with the first quarterly update after the release.</p>	State and Federal