



## NMLS Release 2012.4 - Release Notes

Scheduled for Release: October 22, 2012

The purpose of these Release Notes is to provide a summary of system enhancements included in NMLS Release 2012.4.

Roadmap enhancements targeted for Release 2012.4 are as follows:

- **Credit Report Enhancements:** Updates include the reporting of two new summary flags, the addition of a delta change indicator to compare consecutive reports, and new regulator review items to alert regulators of changes to the summary flags and credit score.
- **Federal Registry Disciplinary Actions:** Pursuant to the Secure and Fair Enforcement for Mortgage Licensing Act of 2008 (“SAFE Act”), updates are being made to disclosure explanation functionality in the Federal Registry to require federally registered MLOs to provide disciplinary action information related to disclosure questions they have answered in the affirmative. This information will serve as the basis for displaying the information in NMLS Consumer Access in 2013.
- **Renewal Enhancements:** The individual renewal process is being updated to improve the individual workflow and to allow individuals to authorize a criminal history background check and/or credit report in conjunction with a renewal request if required by the license type. Minor updates will be made to the company and regulator workflows.

# Credit Report Enhancements

**SUMMARY:** Updates include the reporting of two new summary flags, the addition of a delta change indicator to compare consecutive reports, and new regulator review items to alert regulators of changes to the summary flags and credit score.

**Display** – The new summary flags and the delta indicator will display in Composite View in line with the current summary flags. The delta indicator will display when the regulator has access to consecutive credit reports and if there is a change in the number of summary flags. It will not display if the only change on the credit report is to the credit score.

**New Credit Report Summary Flags** – The new summary flags will only be available for credit reports requested after the release. The new summary flags will display “N/A” for any credit reports requested prior to the release. The new summary flags are listed below:

- OFAC – Presence in the OFAC (Office of Foreign Assets Control) database
- CHRG – Charge-offs within the last 84 months

**New Review Items** – The new review items will be generated when a regulator has access to the current and most recent credit report and the credit report falls into one or more of the criteria listed above. By default, all agencies will be subscribed to the review items when the release goes live. An Account Administrator can unsubscribe the agency from these review items if they wish. The current credit report summary flag changed review item had been disabled due to the new review items. The new regulator review items are listed below:

- *Credit Flag Degraded* – This review item will be generated when the Current Credit Report has at least one relevant summary flag that has degraded, meaning the record count of a relevant summary flag has increased by 1 or more. This review item specifically informs the regulator of a degradation in credit history.
- *Credit Flag Improved* – This review item will be generated when the Current Credit Report has: 1) At least one relevant summary flag that has improved, meaning the record count of a relevant summary flag has decreased by 1 or more; AND 2) No relevant summary flag that has degraded, meaning the record count of any relevant summary flags has NOT increased by 1 or more. This review item specifically informs the regulator of an improvement in credit history.
- *Credit Flag Counts Changed* – This review item will be generated when at least one relevant summary flag has changed, meaning the record count of a relevant summary flag has increased or decreased by 1 or more. This work item does NOT distinguish between a degradation or improvement in credit history.
- *Credit Score Degraded* – This review item will be generated when the Credit Score for the Current Credit Report has degraded, meaning the Credit Score has decreased by at least as many points as the Credit Score Threshold, a configurable value defined by policy.

# Federal Registry Disciplinary Actions

**SUMMARY:** Pursuant to the Secure and Fair Enforcement for Mortgage Licensing Act of 2008 (“SAFE Act”), updates are being made to disclosure explanation functionality in the Federal Registry to require federally registered MLOs to provide disciplinary action information related to disclosure questions they have answered in the affirmative. This information will serve as the basis for displaying the information in NMLS Consumer Access in 2013.

The Existing Disclosure Explanations section of the Individual Filing (MU4R) in the Federal Registry will be updated to accommodate the reporting of Disciplinary Actions. Federally registered mortgage loan originators (MLOs) will now be required via completeness check to provide disciplinary action information related to any “yes” responses to disclosure questions. MLOs that have “yes” responses to disclosure questions as of the release date will be required to provide disciplinary action information prior to submitting their next filing. Information still will not be permitted for “no” responses.

**Form Version Refresh** – Implementation of Disciplinary Actions will result in a new form version for the MU4R. As a result, all pending MU4R filings created prior to the release will be subject to a form version refresh to allow for review of the filing and enforcement of the disciplinary action requirements, as applicable, after the release has been deployed. In order to perform the form version refresh, Institutions must recall the attestation for any pending MU4R filings that are in an Attested or Attestation Requested Status on October 22, 2012. After recalling the attestation/attestation request, the Institution will need to: i) open the filing, ii) accept the Form Version Refresh, iii) request attestation from the individual, and iv) wait for attestation to be completed before being able to submit the filing.

**Linked Disciplinary Action Information** – Public State Regulatory Actions posted to an MLO’s record will be available to link to disciplinary action information and will allow the user to provide Brief Description of Disciplinary Action (required), Disciplinary Action Detail (optional) and Applicable Questions (required) in addition to the information in the state regulatory action. Users can access State Regulatory Action information linked to disciplinary actions in their record through the action ID where it is displayed. MLOs with a State Regulatory Action posted to their record will not be permitted to submit a filing without any ‘Yes’ disclosure question responses and their corresponding disciplinary action information.

# Federal Registry Disciplinary Actions (cont'd)

**The Disciplinary Action Detail screen** will vary slightly from the Disclosure Explanation Detail screen it is replacing and will include the following fields:

- Brief Description of Disciplinary Action – Required text field. Ideally used to provide a short description of the Event that created the need for the Explanation.
- Action Type – Required, pre-determined set of actions. The user will select the Action Type that corresponds to the disciplinary action being disclosed.
- Action Type Description – Further detail regarding the Action Type is permitted only if Action Type of “Order (Regulatory)” or “Other (Regulatory)” is selected.
- Authority Type – Pre-determined set of regulators or regulatory authorities responsible for taking the disciplinary action being disclosed. Available selections are limited to authorities relevant to the Action Type selected.
- Name of Authority – Further detail regarding the Authority Type permitted only if Authority Type of “State Regulator,” “Other Federal Regulator,” “Foreign Financial Regulator,” “Civil Court” or “Criminal Court.”
- Date of Action – Required date field to disclose the date the disciplinary action was made final.
- Disciplinary Action Detail – Optional text field to provide a detailed comment regarding the disciplinary action.
- Applicable Questions – Requires users to select one or more disclosure questions with “Yes” responses to associate with the disciplinary action.
- Supporting File – Required. Files may only be in PDF format. Only one file per disciplinary action event is allowed.

**Maintaining Disciplinary Action Information** – The Disclosure Questions available to be associated to a disciplinary action record will continue to include only those where the Disclosure Question is answered ‘Yes’. If a disclosure response is changed to ‘No’ then the user will need to update the explanation(s) accordingly, including deleting Disciplinary Action Information that no longer applies. Previously submitted Disciplinary Actions and Supporting Files that have been deleted will still be available through Historical Filings.

**Notifications**—Notifications updated/developed to support disciplinary actions are addressed in the Notifications section below.

**Composite View (Individual Snapshot/Historical Filings)** – The heading for the existing MU4R Disclosure Explanations section in the Individual Snapshot will be updated to read MU4R Disciplinary Actions and Disciplinary Action information will be available through Historical Filings.

## Federal Registry Disciplinary Actions (cont'd)

**Reports** — Details regarding report changes to accommodate Disciplinary Actions are provide in the General Enhancements section below.

**Data Security**—The following information pertaining to a Disciplinary Action will be encrypted:

- Brief Description of Disciplinary Action (Disclosure Event)
- Disciplinary Action Detail
- Supporting File – PDF document

## Renewal Enhancements (State Context)

**SUMMARY:** The individual renewal process was updated to be more streamlined and to allow individuals to authorize a criminal history background check (CBC) and/or credit report (CR) if required by the license type. Minor updates will be made to the company and regulator workflows.

**Individual Workflow Updates** – The individual renewal workflow was retooled to make the process more intuitive to individuals as well as allow the individual to authorize a CBC and a CR in conjunction with renewal. There will be 3 work flows available to individuals:

- **Attest for Company** allows an individual to attest that their record is up-to-date and authorize a CBC and/or CR if required. This will then send the attestation to the sponsoring company so that the company can submit the renewal on behalf of the individual.
- **Attest and Pay** allows an individual to attest that their record is up-to-date, authorize a CBC and/or CR if required, and submit and pay of their renewal request.
- **Do No Renew** allows an individual to indicate that they do not intend to renew a license. The system will now allow an individual to indicate this without meeting continuing education requirements for the license. The 'do not renew' workflow was also updated to allow users to mark a license as "Do Not Renew" when they have not satisfied the education requirement.

## Renewal Enhancements (State Context, cont'd)

**Criminal Background Check (CBC) and Credit Report (CR) Authorizations** – If the license type requires a CBC or CR authorization for renewal, users will be required to complete the required authorization(s) regardless of when their last CBC and/or CR result was received by the regulator. If a CBC or CR result was received no more than 30 days prior to the renewal request submission or during the renewals period, the existing results will be used and the requesting individual or company will not be charged for a new CBC or CR. If an individual does not have a valid fingerprint record in NMLS taken within the last 3 years, the user will need to request a new CBC through an individual filing. Once the CBC request has been submitted and new fingerprints taken, the individual will be able to request renewal. The attestation history in composite view will be updated to track the date that the CBC and/or CR authorization was acknowledged by the individual.

**Company Workflow** – The company workflow was updated so that company users will see the CBC and CR requests in the renewal cart. If an individual has authorized a CBC and/or CR, and results have not been posted more than 30 days prior to the renewal request submission or during the renewal period, the company will need to pay for a new CBC and/or CR for the individual. If the individual has invalid/expired fingerprints, or does not have a valid IDV to request a CR, the company will see an exception message for the individual. The individual will have to be removed from the submission and the items resolved before the renewal request can be submitted.

**Frozen Credit Report License Item** – The renewals process is not able to detect if a credit report has been frozen. If an individual's credit report is returned as frozen then a license item will be placed on their license. The license item will only be removed after an individual removes the freeze and resubmits a CR authorization through an individual filing. The presence of a frozen credit report license item will prevent automatic renewal of the license.

**Prevent Renewal for non-compliance with Mortgage Call Report Requirements** – The system will prevent submission of company renewal requests for failure to comply with Mortgage Call Report filing requirements. Based on license type settings, the system will prevent a renewal submission if there is an active MCR license item (system-set or manually set) on the license. Additional detail on this requirement can be found on the state renewal checklist. Companies must submit the MCR filings indicated on the license item(s) prior to submitting the renewal request(s).

**Regulator Workflow** – The renewal workflow for regulators was updated to include the CBC and CR information, visible based on user role, on the Manage Renewal Status Screen.

## Renewal Enhancements (State Context, cont'd)

**Renewed Through Year** – The Renewed Through Year (RTY) will display in Composite View, Reports, and Consumer Access for all license types (company, branch, and individual). In composite view, the information will be displayed in the View License/Registration List, License/Registration Status History, and the Company and Individual snapshots. Regulators will also be able to view the RTY in the license list and the manage license status screens. A list of reports that contain the RTY are listed in the General Enhancements section. In Consumer Access the RTY will display within the State Licenses/Registrations section when viewing the details of a license. By default all RTY values will be null (“None” in Consumer Access) when the release deploys. As license renewals are processed the RTY will be set to the current renewal year (November 1, 2012 begins the 2013 renewal year). Licenses that are inactive will remain null and when a license becomes inactive the RTY will become null (“None” in Consumer Access).

**Renewal notification** changes are described in the General Enhancements – Notifications summary below.

## Renewal Enhancements (Federal Registry)

**Renewal Fees to be Charged** – Renewal fees will be charged starting on November 1, 2012 for the 2013 Renewal Period. Reactivation fees will be charged starting January 1, 2013. The registration renewal fee and reactivation fee are both \$30. Mortgage Loan Originators who are currently in an “Inactive-Failed to Renew” registration status and are submitted for reactivation during the 2013 Renewal Period will simultaneously have their registration both reactivated for the remainder of 2012 **and** renewed for 2013 when attestation is completed (multiple submissions will not be necessary to reactivate and renew a registration). Since no reactivation fee is being assessed for 2012, only the \$30 renewal fee for 2013 will be charged when the Institution submits the reactivation request. During the 2014 Renewal Period starting November 1, 2013, and subsequent renewal periods, Mortgage Loan Originators submitted for reactivation will have their registration simultaneously reactivated for the remainder of the current year and renewed for the following year when attestation is completed. Since renewal and reactivation fees will be in effect, a reactivation fee and a renewal fee will be charged for the individual when the Institution submits the reactivation request.

**Payment of Renewal Fees** – Institutions completing manual submission of renewal/reactivation requests will be presented an invoice for renewal/reactivation fees and will be taken through the payment process at submission. Institutions submitting renewal/reactivation requests via upload will need to access a separate invoice to pay renewal/reactivation fees. Text has been updated on the upload pages and a link to the invoice has been added to provide direction regarding payment of fees assessed based on a file upload. Only the user who uploaded the file or a Financial Admin user (including Account Administrators) can submit payment for invoices generated for renewal/reactivation. Users can also access and pay renewal/reactivation invoices from the Invoice sub-menu on the Home tab.

**Renewal notification** additions are described in the General Enhancements – Notifications summary below.

# General Enhancements

**SUMMARY:** General Enhancement Software Change Requests (SCRs) prioritized for release 2012.4 were selected from a pool of enhancement requests. The enhancement requests were prioritized by the NMLS Enhancement Review Committee (NERC). NERC takes into consideration various channels of feedback including, but not limited to, Industry and Regulator surveys, when prioritizing SCRs.

## NOTIFICATIONS

### **New and Updated Notifications (State Context)**

#### *Renewals*

- Company and Individual users will continue to receive an email notification when they have submitted a renewals cart which fails to process. While this is rare, an example of when a cart may fail to process is when a license type requires a CR and NMLS is unable to connect with TransUnion to request the report. The wording of the notification was updated to reflect possible errors.
- The renewal approved email notification was updated so users will receive the notification whether the license was automatically or manually approved.
- All existing notifications were reviewed and text updates were made where necessary.

#### *State Regulatory Actions*

- Existing notifications received by the respondent (and relevant company, if applicable) when a state regulatory action has been updated will now be triggered when an artifact has been added or removed from the action.
- Notifications sent when an individual has been added as a respondent to a state regulatory action or a state regulatory action have been modified to ask the individual to submit a filing with updated disclosure question responses and associated disclosure explanations as applicable.
- New notifications will be sent to the individual respondent (and relevant company, if applicable) when a state regulatory action has been removed from the individual's NMLS record. ***These notifications will be sent to Account Administrators for companies until notification subscriptions for other company users are updated to include the following subscription: Regulatory Action Removed***



# General Enhancements

## NOTIFICATIONS (cont'd)

### **New and Updated Notifications (Federal Registry)**

#### *Disclosure Explanations/Disciplinary Actions*

The existing notification sent to institutions when a mortgage loan originator they employ submits a filing with new or updated disclosure explanations will be updated to reference disciplinary actions.

#### *Renewals*

- A new Renewal Approved notification has been created for Federal MLOs.
- A new Renewal Approved notification has been created for Federal Institutions.

#### *State Regulatory Actions*

- Existing notifications received by the respondent (and relevant institution, if applicable) when a state regulatory action has been updated will now be triggered when an artifact has been added or removed from the action.
- Notifications sent when an individual has been added as a respondent to a state regulatory action or a state regulatory action have been modified to ask the individual to submit a filing with updated disclosure question responses and associated disciplinary action information as applicable.

New notifications will be sent to the individual respondent (and relevant institution, if applicable) when a state regulatory action has been removed from the individual's NMLS record. ***These notifications will be sent to Account Administrators for institutions until notification subscriptions for other company users are updated to include the following subscription: Regulatory Action Removed***

# General Enhancements

## REPORTS

### **Updated Reports (State Context)**

*Renewals – The following reports were updated to include the Renewed Through Year (RTY)*

- Company/Branch Roster (Company users)
- Company/Branch Roster (Regulator users)
- Company/Branch Active License Items Report (Company users)
- Company/Branch Active License Items Report (Regulator users)
- Individual Roster Report (Company users)
- Individual Roster Report (Regulator users)
- Individual Active License Items Report (Company users)
- Individual Active License Items Report (Regulator users)
- Sponsored Individual Roster (Regulator users)

### **Updated Reports (State and Federal Contexts)**

*Invoice CSV – The following fields were added to the Invoice CSV download:*

- Agency
- Agency Invoice Number
- Due Date

These fields will be blank for all invoices in 2012.4. These fields will be populated in a future release for invoices generated from Agency Fee Invoicing.

### **Updated Reports (Federal Context)**

*MU4R Detail Report (Institution users) – The column pertaining to “Disclosure Explanations Exist will be updated to Disciplinary Actions Exist.*

*Individual Roster Report (Regulator users) – Enhanced to include the “Has Disciplinary Actions” indicator.*

# General Enhancements (cont'd)

SCR Number	Title	Description	Context
<b>266195, 271796</b>	SRA: Regulator Report (Respondent-based)	This report will allow the regulators to obtain information regarding all active licensees / MU2s of active licensees and unlicensed/unregistered/unidentifiable entities who have a state regulatory action posted by their own agency or shared by another agency.	State
<b>267035</b>	Do not remove assigned users from completed tasks when task roles are removed or user is deleted user	NMLS will not automatically unassign tasks when the work item role is removed from an agency user and/or the agency user is deleted. Currently when a user who is assigned work items loses the work item role or is deleted, their name is removed from the work item. Regulators would like to see who completed work items or if work items were in process with these users so that they can be reassigned accordingly.	State
<b>270649</b>	Individual Form (MU2) work item generation rule change	When an MU2 filing is processed, a work item will be generated for each relevant jurisdiction where the individual is actively licensed as an MU4, regardless of the parent company (MU1) or branch (MU3) being actively licensed in the jurisdiction.	State
<b>270774</b>	NMLS Downloads – Impacts from 2012.4 Release	NMLS regulator downloads will be updated to accommodate any changes deemed necessary due to enhancements included in the 2012.4 release. Changes to data download include: i) new license type settings in support of changes to Renewals; ii) setting to allow renewal auto-approval when some license items are still uncleared; iii) renewed through year (including application to existing approved license records for current calendar year); iv) ability to accept MU3 data with no Branch Manager; v) criminal background check and credit report authorization dates from renewal; vi) accommodating multiple renewal attestation records per jurisdiction for an individual and vii) increasing field size for State Regulator Actions - Type of Order from 50 to 500 characters.	State
<b>271049</b>	NMLS Analytics – Handle standard to expanded MCR conversions	When a company converts their Mortgage Call Report from a Standard filing to an Expanded filing, NMLS will apply logic so only the latest version (Expanded) is provided to the Analytics database.	State

# General Enhancements (cont'd)

SCR Number	Title	Description	Context
271059	NMLS Analytics – Add Branch related composite tables	The composite tables related to branches (the branch counterparts to the Company tables) will be provided to the Analytics database.	State
271156, 271891	Allow MU3 to be submitted without a Branch Manager when surrendering last license	This enhancement will allow a company to withdraw/surrender the last branch license for a branch without a designated branch manager. Currently, if an MU2 branch manager leaves the company, the company must associate a new branch manager prior to submitting the request to withdraw/surrender the last license.	State
272404	NMLS-Regulatory Actions – Activate "All Regulators" Privacy Level	State regulators will be allowed to set a privacy level of "All Regulators" for state regulatory action (SRA) postings and/or SRA artifacts. When an SRA is marked with the privacy level of "All Regulators," all state regulators can: (i) view the SRA in Composite View for associated respondents the state regulator has access to; or (ii) search for and view the SRA under the Regulatory Actions sub-menu of the Tasks tab. SRA artifacts marked as "All Regulators" are also viewable by all state regulators provided the SRA itself has a privacy level setting of "All Regulators" or "Public." SRAs marked "All Regulators" will also display in Regulator SRA Reports as appropriate.	State
253833	Expiration of Fingerprint Records	<p>A fingerprint record may only be submitted to the FBI for processing for up to three (3) years following the date that the fingerprints are taken. NMLS is being updated to require resubmission of fingerprints when a criminal background check is requested three years or more after date of the fingerprint record on file. The fingerprint record expiration date will be displayed at the bottom of the Criminal Background Check Request section in Composite View and with the Use Existing Prints option on the Criminal Background Check Request within the filing. In the state context, the fingerprint record expiration date also has been added to the Company Criminal Background Check Compliance Report and the renewal report for Sponsored Licenses Not Requested for Renewal.</p> <p>When a fingerprint record expires, the individual is not required to request a new Criminal Background Check through NMLS and have new fingerprints taken until the individual is required to submit a Criminal Background Check request: (i) with a new license/registration filing submission, (ii) to comply with state renewal requirements and/or (iii) at the direction of their state regulator or employing institution.</p>	State and Federal

## General Enhancements (cont'd)

SCR Number	Title	Description	Context
270323	Update Help Links	The current Help link in NMLS will be updated to include additional information beyond navigation guides. The help button icon will be replaced on all pages in the system, but only the Company Form (MU1) will contain new help pages, in a pilot approach. The remaining pages will be updated in a subsequent release.	State and Federal
270651	Remove ACH clear payment condition for all federal-related transactions	The requirement for cleared payment will be removed from all areas within the Federal context. This means that NMLS will no longer delay updating MLO registration statuses or communicating employment transfers resulting from a Merger & Acquisition due to a pending ACH payment.	Federal
271356	Capture and propagate Regulator Invoice ID for reports and future Agency Fee Invoicing functionality	In preparation for the future Agency Fee Invoicing functionality, the Agency Invoice ID was added to the Invoice Status Report and the Regulator Disbursement Report, among other areas not visible in 2012.4.  Agency Fee Invoicing was deferred to a later release, but it was determined that the field would stay in the reports.	State

## System Maintenance Updates

SCR Number	Category	Description	Context
271131	Administrative	The roles associated with a dually-registered entity (Company/Institution) are not removed in the context that becomes dormant. The roles in the dormant context will no longer be available.	State and Federal
264971	Composite View	The Renewals Attestation History page under Composite View for View Company is displaying the renewals cart (item count and dollar amount) in the sand bar. The cart total should not be displayed on composite pages and will be removed in this case.	State
271684	Consumer Access	Count fields in Consumer Access are not displaying a comma if the fields are more than four digits. The fields that are affected are Sponsored MLO count, Employee count (Federal) and Branch Locations count. Commas will be added.	State and Federal

# System Maintenance Updates

SCR Number	Category	Description	Context
262390	Criminal Background Check	The Criminal Background Check (CBC) Processing Fee is being charged on the print submitted after the Illegible result and it shouldn't. The system has been enhanced to allow for submission of a second CBC request and corresponding fingerprints at no charge if the prior CBC request is Illegible.	State
266092	Employment	An Institution was attempting to confirm an employment and an error message was displayed. The error occurred because the confirm functionality requires an association between a Criminal Background Check Authorization and an Employment. In this one case it was missing. The system will be updated to make sure this association always exists.	Federal
271253	Entitlement	When an institution creates an individual on the federal context and then the same individual creates their individual user account on the state context, multiple access records are created for the same individual. The system will be updated to prevent generation of multiple records in this scenario.	State and Federal
272526	Entitlement	When users lock themselves out during the 'Reset Password' process, all users, regardless of type, are directed to contact their account administrator to unlock their account. The message that is presented to the user should be based on the user type. The system has been enhanced so that Company users and Regulator users (NOT Account Administrators) will be referred to their Account Administrator to unlock their account while all other user types will be referred to the call center for assistance.	State and Federal
271723	Form Filing View, Composite View and Individual Snapshot	When labels for data entry fields and column headers are updated in the Form Filing View, these updates need to be applied in Composite View, Print Filing View and Snapshot as well. There are a few label and column headers discrepancies remaining between Form Filing View, Composite View and Individual Snapshot that will be addressed for consistency.	State
263684	Historical filing	In isolated instances, a system error is displayed when a user attempts to view a historical filing in a version of a form type that does not exist. The system will be modified to display a user friendly message when an attempt is made to view a form type that does not exist.	State
270908	Merger and Acquisitions	When an institution attempts to terminate an employment with an individual whose status is 'Failed to Renew' and who went through a merger or acquisition, an error occurs. The merger process will be updated to bring over all pertinent information from the acquired institution to the purchasing institution so the employment termination can occur.	Federal
270862	Mortgage Call Report	The Mortgage Call Report Filing History page in Composite is not always displaying the most recent filing for the year and period type. The composite functionality will display the most recent filing per Year/Period Type based on Period End Date.	State

# System Maintenance Updates

SCR Number	Category	Description	Context
271674	Mortgage Call Report	The Mortgage Call Report warning message for rule D320 is not correct. The calculation for C800 (which is referred to by D320) was changed and that change was not factored into the warning message. The warning message calculation and warning message will be as follows: Absolute value of D320 = Absolute value of (C800 – C160 – D310)	State
253807	Renewals	An incorrect message is displayed when a Regulator attempts to Update Renewal Status with a blank. The message will state “You have attempted to update one or more renewal requests without selecting a status. Select a status to proceed.”	State
260628	Renewals	The Not Available For Renewal page is incorrectly sorting the Individual licenses by Status. The Individual licenses should be sorted by the license name.	State
260636	Renewals	The Renewal Selection List page is incorrectly sorting the Individual licenses. The Individual licenses should be sorted by the Regulator Name and License.	State
261914	Renewals	A license is not being marked eligible for renewal during the renewal period when the license status is updated to a renewable status and the regulator backdates the status date with a date prior to the renewal period. The system will mark the license eligible for renewal if the license status is updated to a renewable status and the regulator backdates the status date with a date prior to the renewal period.	State
266954	Renewals	Once an Institution User selects ‘All’ on the Renewals Manual Selection List, the user is unable to deselect all of the items listed. The user must manually deselect each one. A deselect all option will be provided.	Federal
267119	Renewals	The MU1R Status History is not displaying the Renewal Status changes in chronological order. The sort order will be modified to take into account the history time stamp of the status when displaying the renewal status changes.	State
267175	Renewals	The License(s)/Registration(s) Not Eligible for Renewal section on the Attest for Company or Attest and Pay screen is not displaying licenses with a next renewal year that is less than the current renewal year. This list should only display licenses that are not currently in a renewable status and a renewal request was not submitted for the current renewal year OR licenses that are not currently in a renewable status and are renewable in the year prior to the current renewal year.	State
269457	Renewals	The Regulator Renewals Dashboard currently shows counts for renewal requests that were submitted for licenses which are no longer renewable. However, the Renewals Requests List does not display such licenses. Therefore, a user may see a count in the dashboard but, upon selecting it, no results are displayed in the list. The Dashboard will be changed to exclude records where the current license status is not a renewable status.	State

# System Maintenance Updates

SCR Number	Category	Description	Context
271417	Renewals	The Regulator Manage Renewal Status page is showing licenses which are no longer in a renewable status. The list of related company licenses and related branch renewal requests are displaying licenses which have been terminated or otherwise are no longer in a renewable status. These lists will be updated to only display licenses that are currently in a renewable status.	State
272029	Reports	The Sponsored Individual Roster report does not include individuals which had an active sponsorship prior to the selected date range. The report is only showing those individuals whose sponsorship status date is within the date range. The report will be updated to also include those with a sponsorship that was active prior to the date range.	State
271483	State Regulatory Action	The information on the Post/Manage An Action page for a State Regulatory Action is not updated after a user deletes an artifact from the page. The page will be updated to reload the page when an artifact is deleted.	State
269842	Subscriptions	The Manage Work List Subscriptions are displaying all review items even if they have expired. The list should consider an effective date/expiration date for review items so that only those which are available for subscription will display.	State
270883	Test Enrollments	A company is prevented from requesting a test enrollment if an individual has multiple user accounts (one account has been deleted and one account is active). The system will be modified to handle multiple accounts when requesting a test enrollment.	State