State Adoption of NMLS ESB

States that have adopted ESB

State Agency adopting ESB for new license types as of 1/1/2018

State Agency adopting ESB for new license types as of 2/1/2018
<table>
<thead>
<tr>
<th>Agency Name (Conversion Plans Available via Links Below)</th>
<th>Company License Type <em>Branch Bonds are Not Accepted</em></th>
<th>Mandatory/Optional Dates</th>
<th>Accept Cancellation in NMLS?</th>
<th>Continuation Certificates</th>
</tr>
</thead>
</table>
| **Alaska Division of Banking & Securities**  
*New License Types (2/1/2018)* | • Mortgage Broker/Lender License  
• Registered Depository Institution Registration  
• Money Transmitter License | For MBL & RDIR: 1/23/2017 — Mandatory New Application  
12/31/2017 — Conversion Deadline  
For MTs: 2/1/2018 — Mandatory New Application  
10/31/2018 — Conversion Deadline  
**Note:** While AK Money Transmitter Licensees are required to submit a license transition request through NMLS by April 1, 2018, licensees have until October 31st, 2018 to convert their existing surety bond to an Electronic Surety Bond via NMLS. | Yes | No |
| **California Department of Business Oversight**  
*New Agency (1/1/2018)* | • Student Loan Servicer License | 1/1/2018—Mandatory New Application  
N/A— Conversion Deadline | Yes | No |
| **Georgia Department of Banking and Finance** | • Money Transmitter License  
• Mortgage Broker/Processor License/Registration  
• Mortgage Lender License/Registration  
• Seller of Payment Instruments License | 1/23/2017—Mandatory New Application  
12/31/2017 — Conversion Deadline | Yes | No |
| **Guam Department of Revenue and Taxation** | • Mortgage Servicer License  
• Residential Mortgage Lender and Servicer License  
• Residential Mortgage Lender License | 5/1/2017—Mandatory New Application  
9/1/2017 — Conversion Deadline | Yes | No |
| **Idaho Department of Finance** | • Collection Agency License | 9/12/2016 — Mandatory New Application  
3/15/2017 – Conversion Deadline  
**Note:** The use of NMLS for ID Collection Agency licensees/applicants is OPTIONAL. If you choose to use NMLS to manage your license, you must use ESB to manage your surety bond. If you choose NOT to transition your license to NMLS, you cannot use ESB to manage your surety bond and must continue to manage your surety bond outside of NMLS. | Yes | No |

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<table>
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<th>Mandatory/Optional Dates</th>
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<th>Continuation Certificates</th>
</tr>
</thead>
</table>
| Illinois Department of Financial and Professional Regulation, Division of Banking | • Residential Mortgage License  
• Exempt Company Registration | 1/23/2017 — Mandatory New Application  
12/31/2017 — Conversion Deadline | Yes | No |
| Indiana Department of Financial Institutions | • Debt Management License  
• Exempt Company Registration  
• First Lien Mortgage Lending License  
• Money Transmitter License  
• Subordinate Lien Mortgage Lending License | 9/12/2016 — Mandatory New Application  
12/31/2016 — Conversion Deadline | Yes | No |
| Indiana Secretary of State, Securities Division | • Loan Broker License | 1/23/2017—Mandatory New Application  
7/1/2017 — Conversion Deadline | Yes | No |
| Iowa Division of Banking | • Closing Agent License  
• Debt Management License  
• Exempt Company Registration  
• Money Services License  
• Mortgage Banker License  
• Mortgage Broker License | 9/12/2016 — Mandatory New Application  
12/31/2016 — Conversion Deadline | Yes | No |
| Louisiana Office of Financial Institutions | • Pawnbroker Main Office (in-state) (PMOIS)  
• Pawnbroker Main Office (out-of-state) (PMOOS)  
• Residential Mortgage Lending License(RMLL)  
• Sale of Checks and Money Transmitter (SCMT) | For RMLL and SCMT  
1/23/2017 — Mandatory for New Applications  
TBD — Conversion Deadline  
For PMOIS and PMOOS (Optional)  
1/23 — Available for New Applications  
TBD—Conversion Deadline | Yes | No |
<table>
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<tr>
<th>Agency Name (Conversion Plans Available via Links Below)</th>
<th>Company License Type <em>Branch Bonds are Not Accepted</em></th>
<th>Mandatory/Optional Dates</th>
<th>Accept Cancellation in NMLS?</th>
<th>Continuation Certificates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Massachusetts Division of Banks</td>
<td>Check Seller (CS)</td>
<td>9/12/2016 – Mandatory for New Application For MB, ML and EC – 12/15/2016 – Conversion Deadline For CS, DC, FT – 12/31/2016 – Conversion Deadline</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Maryland Commissioner of Financial Regulation</td>
<td>Collection Agency License Consumer Loan License Debt Management License Installment Loan License Money Transmitter License Mortgage Lender License</td>
<td>8/1/2017 – Mandatory New Application TBD – Current Licensee Use of ESB Deadline</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>Michigan Department of Insurance and Financial Services New Agency (1/1/2018)</td>
<td>1st Mortgage Broker License 1st Mortgage Broker/Lender License 2nd Mortgage Broker License 2nd Mortgage Broker Registrant 2nd Mortgage Broker/Lender/Servicer License 2nd Mortgage Broker/Lender/Servicer Registrant Consumer Financial Services Class I License Consumer Financial Services Class II License Money Transmitter License</td>
<td>1/1/2018 — Mandatory for New Application 12/31/2018 — Conversion Deadline</td>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

Click here to access Expired ESB Form Versions.
# Company Licenses Accepting NMLS ESB

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<th>Accept Cancellation in NMLS?</th>
<th>Continuation Certificates</th>
</tr>
</thead>
</table>
| Minnesota Department of Commerce | • Accelerated Mortgage Payment Provider License  
• Credit Services Organization License  
• Currency Exchange License  
• Electronic Financial Terminal License  
• Money Transmitter License  
• Residential Mortgage Originator License  
• Residential Mortgage Originator Exemption  
• Residential Mortgage Servicer License  
• Residential Mortgage Servicer Exemption  
• Debt Management Services Provider Company License  
• Debt Settlement Services Provider Company License | 1/23/2017 — Mandatory New Application  
9/30/2017 — Conversion Deadline | Yes | No |
| Mississippi Department of Banking and Consumer Finance | • Mortgage Broker License  
• Mortgage Lender License | 1/23/2017 — Available for New Applications  
TBD — Conversion Deadline | Yes | No |
| Montana Division of Banking and Financial Institutions | • Deferred Deposit Lender License  
• Escrow Business License  
• Independent Contractor Entity License  
• Mortgage Broker License  
• Mortgage Lender License  
• Mortgage Servicer License | 1/23/2017 — Mandatory for New Applications  
12/31/2017 — Conversion Deadline | Yes | No |
| Nevada Division of Mortgage Lending | • Exempt Company Registration  
• Mortgage Banker License  
• Mortgage Broker License  
• Mortgage Servicer License  
• Supplemental Mortgage Servicer License | 12/1/2017 — Mandatory for New Application  
11/1/2018 — Conversion Deadline for all license types listed except Exempt Company Registrations not claimed under NAC 645B.043 or NAC 645E.080. | Yes | No |

**Note:** Exemptions not claimed under NAC 645B.043 or NAC 645E.080 may convert their voluntary bonding to electronic surety bond. Click [here](#) to access the NV Optional Conversion Plan.

[Click here to access Expired ESB Form Versions](#).
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<th>Continuation Certificates</th>
</tr>
</thead>
</table>
| North Carolina Office of the Commissioner of Banks      | • Money Transmitter License                          | 1/23/2017 — Mandatory for New Application  
12/31/2017 — Conversion Deadline | Yes | No |
| North Dakota Department of Financial Institutions      | • Collection Agency License  
• Debt Settlement Service Provider License  
• Exempt Company Registration  
• Money Broker License  
• Money Transmitter License | 1/23/2017 — Mandatory for New Application  
12/31/2017 — Conversion Deadline | Yes | No |
| Ohio Division of Financial Institutions New Agency (1/1/2018) | • Money Transmitter License | 1/1/2018 — Mandatory for New Application  
2/1/2018 — Encouraged Conversion Deadline | Yes | No |
| Oregon Division of Financial Regulation                 | • Collection Agency Registration  
• Consumer Finance License  
• Consumer Finance Registration  
• Debt Management Service Provider Registration  
• Exempt Company Registration  
• Money Transmitter License  
• Mortgage Lending License  
• Mortgage Servicer License (MSL) | 4/15/2017 — Mandatory for New Application  
12/31/2018 — Conversion Deadline  
For MSL: 11/1/2017 — Mandatory for New Applications | Yes | No |
| Rhode Island Department of Business Regulation          | • Check Cashier License  
• Debt Management Services Registration  
• Electronic Money Transfers  
• Lender License  
• Loan Broker License  
• Sales of Checks  
• Small Loan Lender  
• Third Party Loan Servicer License | 1/23/2017 — Mandatory for New Applications  
12/31/2017 — Conversion Deadline | Yes | No |
| South Dakota Division of Banking                        | • Exempt Mortgage Company Registration  
• Mortgage Brokerage License  
• Mortgage Lender License | 1/23/2017 — Mandatory for New Application  
12/31/2017 — Conversion Deadline | Yes | No |
<table>
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<tr>
<th>Agency Name (Conversion Plans Available via Links Below)</th>
<th>Company License Type <em>Branch Bonds are Not Accepted</em></th>
<th>Mandatory/Optional Dates</th>
<th>Accept Cancellation in NMLS?</th>
<th>Continuation Certificates</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Texas Department of Banking</strong></td>
<td>• Money Transmitter License</td>
<td>9/12/2016 – Optional for New Application Optional Conversion</td>
<td>Yes, if in NMLS</td>
<td>No</td>
</tr>
<tr>
<td><strong>Utah Department of Financial Institutions</strong></td>
<td>• Money Transmitter License</td>
<td>1/1/2018 — Mandatory for New Application 12/31/2018 — Conversion Deadline</td>
<td>Yes</td>
<td>No</td>
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<tr>
<td>New Agency (1/1/2018)</td>
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<tr>
<td></td>
<td>• Lender License (LL)</td>
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<tr>
<td></td>
<td>• Loan Servicer License (LS)</td>
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<td></td>
<td>• Loan Solicitation License (LSL)</td>
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<td></td>
<td>• Mortgage Broker License (MB)</td>
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<td></td>
<td>• Mortgage Broker Sole Proprietor License (MBSP)</td>
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<td></td>
<td>• Money Transmitter (MT)</td>
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<td></td>
<td>• Litigation Funding Registration (LF)</td>
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*Branch Bonds are Not Accepted*

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## Company Licenses Accepting NMLS ESB

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<th>Continuation Certificates</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Washington Department of Financial Institutions</strong></td>
<td>Check Casher w/ Small Loan Endorsement (CC)</td>
<td>For MB – 9/12/2016 – Mandatory for New Applications 12/31/2017 – Conversion Deadline</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td>Consumer Loan Company License (CLC)</td>
<td>For MB – 9/12/2016 – Mandatory for New Applications 12/31/2017 – Conversion Deadline</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Money Transmitter License (MT)</td>
<td>For MT – 7/17/2017 – Mandatory for New Applications 7/1/2018 – Conversion Deadline</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Mortgage Broker License (MB)</td>
<td>For MT – 7/17/2017 – Mandatory for New Applications 7/1/2018 – Conversion Deadline*</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>For CE – 7/17/2017 – Available for New Applications* 7/1/2018 – Conversion Deadline</td>
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<tr>
<td></td>
<td></td>
<td>N/A** – Conversion Deadline</td>
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<td></td>
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<td>*CC have alternatives to a bond, only those with a bond must use ESB in NMLS</td>
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<tr>
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<td></td>
<td>**CE have not had a bond requirement so no paper bonds exist to be converted</td>
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</tr>
<tr>
<td><strong>Wisconsin Department of Financial Institutions</strong></td>
<td>Mortgage Banker License</td>
<td>9/12/2016 – Mandatory for New Applications 9/1/2017 – Conversion Deadline</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td>Mortgage Broker License</td>
<td>9/12/2016 – Mandatory for New Applications 9/1/2017 – Conversion Deadline</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Wyoming Division of Banking</strong></td>
<td>Exempt Company Registration</td>
<td>9/12/2016 – Mandatory for New Applications 6/30/2017 – Conversion Deadline</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td>Money Transmitter License</td>
<td>6/30/2017 – Conversion Deadline</td>
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<tr>
<td></td>
<td>Mortgage Broker License</td>
<td>For SLL— 1/1/2017 — Mandatory for New Applications</td>
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<tr>
<td></td>
<td>Mortgage Lender/Broker License</td>
<td>6/30/2017 — Conversion Deadline</td>
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<tr>
<td></td>
<td>Supervised Lender License (SLL)</td>
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