

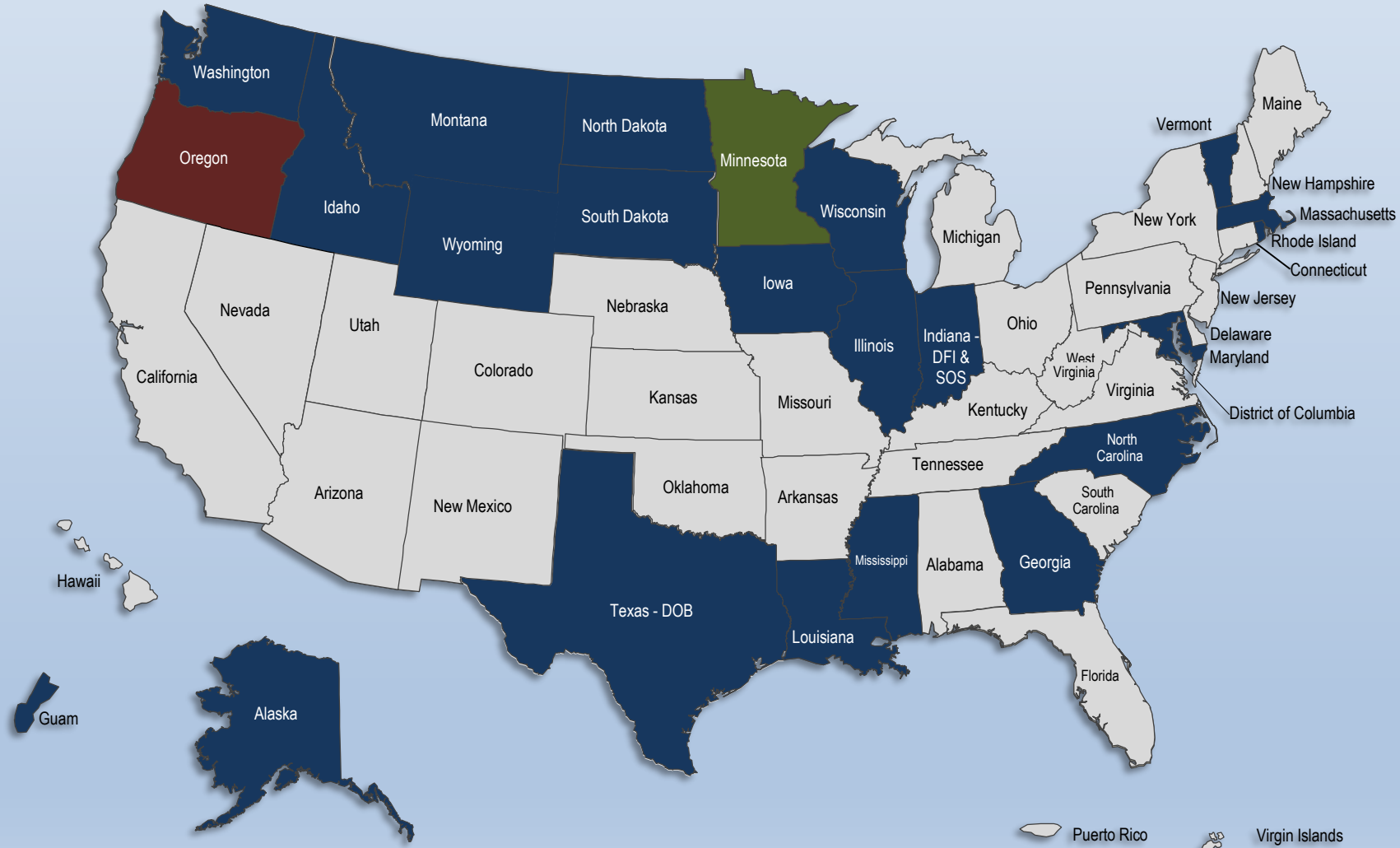


State Adoption of NMLS ESB

States that have adopted ESB

State Agency adopting ESB for new license types as of 10/1/2017

State Agency adopting ESB for new license types as of 11/1/2017



Company Licenses Accepting NMLS ESB

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| Agency Name (Conversion Plans Available via Links Below) | Company License Type *Branch Bonds are Not Accepted* | Mandatory/Optional Dates | Accept Cancellation in NMLS? | Continuation Certificates |
|---|--|--|---------------------------------------|------------------------------|
| Alaska Division of Banking & Securities | <ul style="list-style-type: none"> Mortgage Broker/Lender License Registered Depository Institution Registration | 1/23/2017 — Mandatory New Application 12/31/2017 — Conversion Deadline | Yes | No |
| Georgia Department of Banking and Finance | <ul style="list-style-type: none"> Money Transmitter License Mortgage Broker/Processor License/Registration Mortgage Lender License/Registration Seller of Payment Instruments License | 1/23/2017—Mandatory New Application 12/31/2017 — Conversion Deadline | Yes | No |
| Guam Department of Revenue and Taxation | <ul style="list-style-type: none"> Mortgage Servicer License Residential Mortgage Lender and Servicer License Residential Mortgage Lender License | 5/1/2017—Mandatory New Application 9/1/2017 — Conversion Deadline | Yes | No |
| Idaho Department of Finance | <ul style="list-style-type: none"> Collection Agency License | 9/12/2016 – Mandatory New Application 3/15/2017 – Conversion Deadline Note: The use of NMLS for ID Collection Agency licensees/applicants is OPTIONAL. If you choose to use NMLS to manage your license, you must use ESB to manage your surety bond. If you choose NOT to transition your license to NMLS, you cannot use ESB to manage your surety bond and must continue to manage your surety bond outside of NMLS. | Yes | No |
| Illinois Department of Financial and Professional Regulation, Division of Banking | <ul style="list-style-type: none"> Residential Mortgage License Exempt Company Registration | 1/23/2017 — Mandatory New Application 12/31/2017 — Conversion Deadline | Yes | No |

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| Indiana Department of Financial Institutions | <ul style="list-style-type: none"> Debt Management License Exempt Company Registration First Lien Mortgage Lending License Money Transmitter License Subordinate Lien Mortgage Lending License | 9/12/2016 – Mandatory New Application 12/31/2016 – Conversion Deadline | Yes | No |
| Indiana Secretary of State, Securities Division | <ul style="list-style-type: none"> Loan Broker License | 1/23/2017—Mandatory New Application 7/1/2017 — Conversion Deadline | Yes | No |
| Iowa Division of Banking | <ul style="list-style-type: none"> Closing Agent License Debt Management License Exempt Company Registration Money Services License Mortgage Banker License Mortgage Broker License | 9/12/2016 – Mandatory New Application 12/31/2016 – Conversion Deadline | Yes | No |
| Louisiana Office of Financial Institutions | <ul style="list-style-type: none"> Pawnbroker Main Office (in-state) (PMOIS) Pawnbroker Main Office (out-of-state) (PMOOS) Residential Mortgage Lending License(RMLL) Sale of Checks and Money Transmitter (SCMT) | For RMLL and SCMT 1/23/2017 — Mandatory for New Applications TBD — Conversion Deadline For PMOIS and PMOOS (Optional) 1/23 — Available for New Applications TBD—Conversion Deadline | Yes | No |
| Massachusetts Division of Banks | <ul style="list-style-type: none"> Check Seller (CS) Debt Collector (DC) Foreign Transmittal Agency (FT) Mortgage Broker License (MB) Mortgage Lender License (ML) Exempt Company Registration (EC) | 9/12/2016 – Mandatory for New Application For MB, ML and EC – 12/15/2016 – Conversion Deadline For CS, DC, FT – 12/31/2016 – Conversion Deadline | Yes | No |

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| Maryland Commissioner of Financial Regulation | <ul style="list-style-type: none"> Collection Agency License Consumer Loan License Credit Services Business License Debt Management License Installment Loan License Money Transmitter License Mortgage Lender License | 8/1/2017 – Mandatory New Application TBD – Current Licensee Use of ESB Deadline | Yes | No |
| Minnesota Department of Commerce <i>New License (10/1/2017)</i> | <ul style="list-style-type: none"> Accelerated Mortgage Payment Provider License Credit Services Organization License Currency Exchange License Electronic Financial Terminal License Money Transmitter License Residential Mortgage Originator License Residential Mortgage Originator Exemption Residential Mortgage Servicer License Residential Mortgage Servicer Exemption Debt Management Services Provider Company License Debt Settlement Services Provider Company License | 1/23/2017 — Mandatory New Application 9/30/2017 — Conversion Deadline 10/1/2017 — Mandatory New Application 12/1/2017 — Conversion Deadline | Yes | No |
| Mississippi Department of Banking and Consumer Finance | <ul style="list-style-type: none"> Mortgage Broker License Mortgage Lender License | 1/23/2017 — Available for New Applications TBD — Conversion Deadline | Yes | No |
| Montana Division of Banking and Financial Institutions | <ul style="list-style-type: none"> Deferred Deposit Lender License Escrow Business License Independent Contractor Entity License Mortgage Broker License Mortgage Lender License Mortgage Servicer License | 1/23/2017 — Mandatory for New Applications 12/31/2017 — Conversion Deadline | Yes | No |

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| North Carolina Office of the Commissioner of Banks | <ul style="list-style-type: none"> Money Transmitter License | 1/23/2017 — Mandatory for New Application 12/31/2017 — Conversion Deadline | Yes | No |
| North Dakota Department of Financial Institutions | <ul style="list-style-type: none"> Collection Agency License Debt Settlement Service Provider License Exempt Company Registration Money Broker License Money Transmitter License | 1/23/2017 — Mandatory for New Application 12/31/2017 — Conversion Deadline | Yes | No |
| Oregon Division of Financial Regulation <i>New License (10/1/2017)</i> | <ul style="list-style-type: none"> Collection Agency Registration Consumer Finance License Consumer Finance Registration Debt Management Service Provider Registration Exempt Company Registration Money Transmitter License Mortgage Lending License Mortgage Servicer License (MSL) | 4/15/2017 — Mandatory for New Application 12/31/2018 — Conversion Deadline For MSL: 11/1/2017 — Mandatory for New Applications | Yes | No |
| Rhode Island Department of Business Regulation | <ul style="list-style-type: none"> Check Cashier License Debt Management Services Registration Electronic Money Transfers Lender License Loan Broker License Sales of Checks Small Loan Lender Third Party Loan Servicer License | 1/23/2017 — Mandatory for New Applications 12/31/2017 — Conversion Deadline | Yes | No |
| South Dakota Division of Banking | <ul style="list-style-type: none"> Exempt Mortgage Company Registration Mortgage Brokerage License Mortgage Lender License | 1/23/2017 — Mandatory for New Application 12/31/2017 — Conversion Deadline | Yes | No |

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|---|--|--|------------------------------|---------------------------|
| Texas Department of Banking | <ul style="list-style-type: none"> Money Transmitter License | 9/12/2016 – Optional for New Application Optional Conversion | Yes, if in NMLS | No |
| Vermont Department of Financial Regulation | <ul style="list-style-type: none"> Debt Adjuster (DA) Lender License (LL) Loan Servicer License (LS) Loan Solicitation License (LSL) Mortgage Broker License (MB) Mortgage Broker Sole Proprietor License (MBSP) Money Transmitter (MT) Litigation Funding Registration (LF) | For DA, MT, LF – 9/12/2016 – Mandatory for New Applications 11/1/2016 – Conversion Deadline For LL, LS, MB, MBSP – 9/12/2016 – Mandatory for New Applications 6/30/2017 – Conversion Deadline For LSL – 5/22/2017 – Mandatory for New Applications N/A – Conversion Deadline | Yes | No |
| Washington Department of Financial Institutions | <ul style="list-style-type: none"> Check Cashier w/ Small Loan Endorsement (CC) Consumer Loan Company License (CLC) Currency Exchanger License (CE) Money Transmitter License (MT) Mortgage Broker License (MB) | For MB – 9/12/2016 – Mandatory for New Applications 12/31/2017 – Conversion Deadline For CLC – 1/23/2017 – Mandatory for New Applications 3/1/2018 – Conversion Deadline For MT – 7/17/2017 – Mandatory for New Applications 7/1/2018 – Conversion Deadline For CC – 7/17/2017 – Available for New Applications* 7/1/2018 – Conversion Deadline* For CE – 7/17/2017 – Available for New Applications N/A** – Conversion Deadline *CC have alternatives to a bond, only those with a bond must use ESB in NMLS **CE have not had a bond requirement so no paper bonds exist to be converted | Yes | No |

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|--|--|---|---------------------------------------|------------------------------|
| Wisconsin Department of Financial Institutions | <ul style="list-style-type: none"> • Mortgage Banker License • Mortgage Broker License | 9/12/2016 – Mandatory for New Applications 9/1/2017 – Conversion Deadline | Yes | No |
| Wyoming Division of Banking | <ul style="list-style-type: none"> • Exempt Company Registration • Money Transmitter License • Mortgage Broker License • Mortgage Lender/Broker License • Supervised Lender License (SLL) | 9/12/2016 – Mandatory for New Applications 6/30/2017 – Conversion Deadline For SLL— 1/1/2017 — Mandatory for New Applications 6/30/2017 — Conversion Deadline | Yes | No |

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