



## **NMLS Company Reports Field Definitions**

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Conference of State Bank Supervisors  
1129 20<sup>th</sup> Street NW, Ninth Floor  
Washington, D.C. 20036

# Company Reports Field Definitions

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This document provides field definitions for the standard reports available to state licensed companies through the Reports tab in NMLS. The information contained in the report is for entities that are relevant to the company who is requesting the report.

Except for minor adjustments to account for holidays, the following rules apply regarding the availability of data for Reports within NMLS:

**'As of Date' Reports:** Reports with an 'As Of' date that falls on Monday through Thursday will include data current as of the previous day. Reports with an 'As Of' date that falls on Friday through Sunday will include data current as of the preceding Thursday.

**'Since Date' Reports:** Reports requested on Monday through Thursday will include data up to and including the previous day. Reports requested on Friday through Sunday will include data up to and including the previous Thursday.

The document contains two sections:

1. **A listing of reports containing:**
  - a. A brief description of the report
  - b. The report criteria filter options available
  - c. The fields contained in the report with field definitions.
2. **Appendix** containing the license status definitions referenced in the reports.

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# Company Report Field Definitions

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## Authorized Agent Roster Report

This report provides a list of the authorized delegates that are submitted by the company.

Criteria for the report can be filtered by:

- The option to Include terminated authorized agent relationships

Report Field	Description
Company Legal Name	Full legal name of the company.
Employer Identification Number (EIN)	Identifies the employer identification number for the authorized agent.
Principal Provided Identifier	The identification number assigned by the principal to the authorized agent.
Authorized Agent Legal Name	Full legal name of the authorized agent.
Doing Business As	DBA name.
Conducts Business in Other States	Y/N Indicator as to if the authorized delegate conducts business in another state.
Physical Address Line1	Authorized delegate's physical location street address line 1.
Physical Address Line2	Authorized delegate's physical location street address line 2.
Physical Address City	Authorized delegate's physical location city.
Physical Address State or Province	Authorized delegate's physical location state.
Physical Address Country	Authorized delegate's physical location country.
Physical Address Zip/Postal Code	Authorized delegate's physical location postal code.
Passed Delivery Point Validation	Indicates if the agent's physical address can receive mail via the United States Postal Service. Addresses marked as not passing delivery point validation are valid physical addresses, but based on a specific issue, are unable to receive mail through the standard mail delivery system.
Mailing Address Line 1	Authorized delegate's mailing location street address line 1.
Mailing Address Line2	Authorized delegate's mailing location street address line 2.
Mailing Address City	Authorized delegate's mailing location city.
Mailing Address State or Province	Authorized delegate's mailing location state.
Mailing Address Country	Authorized delegate's mailing location country.
Mailing Address Zip/Postal Code	Authorized delegate's mailing location postal code.
Conducts Business in Other States	Y/N Indicator as to if the authorized delegate conducts business in another state.
Doing Business As (DBA)	The name the authorized deligate is conducting business under.
Contact Last Name	Last name of the contact employee for the company.
Contact First Name	First name of the contact employee for the company.
Contact Middle Name	Middle name of the contact employee for the company.
Contact Suffix	Name suffix of the contact employee for the company.

<b>Report Field</b>	<b>Description</b>
Contact Phone	Email address of the contact employee for the company.
Contact Email Address	Phone number of the contact employee for the company.
Start Date	Date the authorized deligate relationship with the principal was established.

End Date	Date the authorized deligate relationship with the principal was terminated.
Multiple Agent Location ID	Identifies the ID assigned to an agent location if multiple agents are at the same physical address location.
Authorized Agent Initial Upload Date	Date and time the authorized agent was uploaded into NMLS.
Authorized Agent Last Modified Date	Date and time the authorized agent was last updated in NMLS.
Report Generated Time	The timestamp for when the report was generated by the system.

## Company/Branch Active License Items Report

The report displays a list of the company and branch outstanding (un-cleared) license items as of the report requested date.

Criteria for the report can be filtered by:

- Industry Type (All Industries, Mortgage, Consumer Finance, Debt, Money Services)
- License Item Type

Report Field	Description
Company ID	Identifies the NMLS ID for the Company corresponding to the License.
Company/ Branch Name	Full legal name of the company/branch.
Branch ID	Identifies the NMLS ID for the Branch corresponding to the License.
Street	Branch mailing address street.
Contact Person Name	Contact Employee name for the company.
Contact Email	Email address of the contact employee for the company.
Contact Phone	Phone number of the contact employee for the company.
Fiscal Year End	Fiscal year end month and day for the company.
License Number	The license number (if any) associated with the license.
License Name	The name of the license consisting of Jurisdiction name, License type.
Industry Type	The industry associated with the license type.
License Status	Refer to Appendix A: <a href="#">License Statuses and Definitions</a>
License Status Date	Date on which the license status is effective.
Renewed Through Year	The year the license is renewed through.
License Item Type	The type of license item added. Examples: <ul style="list-style-type: none"> <li>• Articles of Incorporation</li> <li>• Bond</li> <li>• Custom License Item</li> <li>• Fictitious Name</li> <li>• Financial Statement</li> <li>• Funding Source</li> <li>• Funds Pending</li> </ul>
License Item Title	The license item title as created by the regulator.
License Item Category	The applicable category for the license item (Deficiency or Jurisdiction Requirement).
MU2 License Item Entity ID	The NMLS ID of the company the MU2 individual is associated to.
MU2 License Item Entity Name	The name of the company the MU2 individual is associated to.
License Item Created Date	The date and time when the license item was added.
License Item Created By	Username of person who created license item.
Report Current As Of	Reports with an 'As of' date that falls on Monday through Thursday will include data current as of the previous day. Reports with a 'As of' date that falls on Friday through Sunday will include data current as of the preceding Thursday.
Report Generated Time	The timestamp for when the report was generated by the system.





## Company/Branch Roster by Contact Information

The report displays a list of the company and branches and their license information within a regulatory agency as of a past or present date. The report also provides all the qualifying branch managers( for branches) that are associated to the license.

Criteria for the report can be filtered by:

- Industry Type (All Industries, Mortgage, Consumer Finance, Debt, Money Services)
- [Active Licenses](#) (Yes/No)
- City
- State
- Postal Code
- As of date (no limitation)

Report Field	Description
Company Id	Identifies the NMLS ID for the Company corresponding to the License.
Company Name	Full legal name of the company.
Branch Id	Identifies the NMLS ID for the Branch corresponding to the License.
Street	Entity's main address street.
City	Entity's main address city.
State	Entity's main address state.
Country	Entity's main address country.
Postal Code	Entity's main address postal code.
Company Contact Name	The name of the primary contact for the company.
Company Contact Phone	The phone number for the primary contact for the company.
Company Contact Email	The email address for the primary contact for the company.
Complaint Contact Name	The name of the complaint contact for the company.
Complaint Contact Phone	The phone number for the complaint contact for the company.
Complaint Contact Email	The email address for the complaint contact for the company.
Branch Manager Name	The name of the branch manager for the branch.
Branch Manager NMLS ID	The NMLS ID of the branch manager for the branch.
Branch Manager Phone	The phone number for the branch manager for the branch.
Branch Manager Email	The email address for the branch manager for the branch.
Branch Manager Industry	The industry the branch manager is associated with.
License Number	The license number (if any) associated with the license name.
License Name	The name of the license consisting of Jurisdiction name, License type.
Industry Type	The industry associated with the license type.
License Status	Refer to Appendix A: <a href="#">License Statuses and Definitions</a>
License Status Date	Date on which the license status is effective.
Original License Date	Date on which the original license was issued.
Renewed Through Year	Renewed Through Year.
Report Current As Of	Reports with an 'As of' date that falls on Monday through Thursday will include data current as of the previous day. Reports with a 'As of' date that falls on Friday through Sunday will include data current as of the preceding Thursday.
Report Generated Time	The timestamp when the report was generated by the system.

## Company/Branch Roster by License Type

The report displays a list of the company and branches and their license information within a regulatory agency as of a past or present date.

Criteria for the report can be filtered by:

- Industry Type (All Industries, Mortgage, Consumer Finance, Debt, Money Services)
- [Active Licenses](#) (Yes/No)
- City
- State
- Postal Code
- As of date (no limitation)

Report Field	Description
Company ID	Identifies the NMLS ID for the Company corresponding to the License.
Company Name	Full legal name of the company.
Branch ID	Identifies the NMLS ID for the Branch corresponding to the License.
Street	Entity's main address street.
City	Entity's main address city.
State	Entity's main address state.
Country	Entity's main address country.
Postal Code	Entity's main address postal code.
Company Contact Name	The name of the primary contact for the company.
Company Contact Phone	The phone number for the primary contact for the company.
Company Contact Email	The email address for the primary contact for the company.
Complaint Contact Name	The name of the complaint contact for the company.
Complaint Contact Phone	The phone number for the complaint contact for the company.
Complaint Contact Email	The email address for the complaint contact for the company.
License Number	The license number (if any) associated with the license name.
License Name	The name of the license consisting of Jurisdiction name, License type.
Industry Type	The industry associated with the license type.
License Status	Refer to Appendix A: <a href="#">License Statuses and Definitions</a>
License Status Date	Date on which the license status is effective.
Original License Date	Date on which the original license was issued.
Renewed Through Year	Renewed Through Year.
Report Current As Of	Reports with an 'As of' date that falls on Monday through Thursday will include data current as of the previous day. Reports with a 'As of' date that falls on Friday through Sunday will include data current as of the preceding Thursday.
Report Generated Time	The timestamp when the report was generated by the system.

## Criminal Background Check Compliance Report

The report displays the Criminal Background Check authorization status ('Closed', 'Expired', or current status if a new background check is in process: 'Pending Fingerprint', 'Processing Fingerprint', 'Processing Name Check') for MU2/MU4 individuals licensed or seeking licensure who have successfully completed a criminal background check since the date requested.

Criteria for the report can be filtered by:

- Industry Type (All Industries, Mortgage, Consumer Finance, Debt, Money Services)
- Individual Filing Type (MU2, MU4)
- License Status Category (Approved; Pending, Both)
- Since Date (no limit)

Report Field	Description										
Individual ID	The NMLS ID for the individual.										
Individual Last Name	Individual Last Name.										
Individual First Name	Individual First Name.										
Individual Middle Name	Individual Middle Name.										
Individual Suffix	Individual Suffix.										
Individual Email Address	Individual's email address.										
Relationship Type	Type of relationship: Licensee, Control Person (DO/EO), Control Person (IO), Qualifying Individual.										
QI/BM Industry Type	The industry type associated to the Qualifying Individual or Branch Manager.										
Company/Branch ID	The ID of the company associated with DO/IO or QI relationship types, or the ID of the Branch if the relationship type is Branch Manager.										
Company/Branch Name	The ID of the company associated with DO/IO or QI relationship types, or the ID of the Branch if the relationship type is Branch Manager.										
License ID	The internal system identifying number for a license.										
License Number	The license number (if any) associated with the license name.										
License Name	The name of the license consisting of Jurisdiction name, License type										
Industry Type	The industry associated with the license type										
License Status	Refer to Appendix A: <a href="#">License Statuses and Definitions</a>										
License Status Date	Date on which the license status is effective.										
Fingerprint Record Expiration Date	Expiration date of the most recent fingerprints taken.										
Latest CBC Completed On Date	Timestamp for when the last CBC was completed.										
Current CBC Authorization Status	<table border="1"> <thead> <tr> <th colspan="2">CBC Authorization Statuses</th> </tr> <tr> <th>Name</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>Closed</td> <td>Criminal background check has been completed successfully and has resulted in a CHRI code or returned illegible, rejected, etc.</td> </tr> <tr> <td>Expired</td> <td>No fingerprint record was associated to the authorization within the allotted timeframe.</td> </tr> <tr> <td>Pending Fingerprint</td> <td>An individual submitted an authorization to conduct criminal background check and a CBC Authorization has been created in the system.</td> </tr> </tbody> </table>	CBC Authorization Statuses		Name	Description	Closed	Criminal background check has been completed successfully and has resulted in a CHRI code or returned illegible, rejected, etc.	Expired	No fingerprint record was associated to the authorization within the allotted timeframe.	Pending Fingerprint	An individual submitted an authorization to conduct criminal background check and a CBC Authorization has been created in the system.
CBC Authorization Statuses											
Name	Description										
Closed	Criminal background check has been completed successfully and has resulted in a CHRI code or returned illegible, rejected, etc.										
Expired	No fingerprint record was associated to the authorization within the allotted timeframe.										
Pending Fingerprint	An individual submitted an authorization to conduct criminal background check and a CBC Authorization has been created in the system.										

Report Field	Description	
	Processing Fingerprint	An individual background check is being performed by using the "fingerprint card" method for the individual. This follows the automated mechanism using the fingerprint provider interface.
	Processing Name Check	An individual background check is being performed by using the "Name Check" method for the individual. This is done manually by FINRA Operations personnel.
Current CBC Authorization Date	Date on which the criminal background check was authorized.	
Current System CBC License Item?	Indicates if there is a current system generated CBC license item placed on the application/license for the individual.	
Latest System CBC License Item Created Date	The creation date of the current system generated CBC license item.	
Current Regulator CBC License Item?	Indicates if there is a current regulator placed CBC license item on the application/license for the individual.	
Latest Regulator CBC License Item Created Date	The creation date of the current regulator placed CBC license item.	
Report Generated Time	The timestamp when the report was generated by the system.	

## Individual Active License Items

Identifies outstanding license items (deficiencies and requirements) placed on active individual licenses by the system or by the regulating agency as of the report requested date.

Criteria for the report can be filtered by:

- Industry Type (All Industries, Mortgage, Consumer Finance, Debt, Money Services)
- License Item Type (all license item types, or a specific license item type)

Report Field	Description
Individual ID	The NMLS ID for the individual.
Individual Last Name	Individual Last Name.
Individual First Name	Individual First Name.
Individual Middle Name	Individual Middle Name.
Individual Suffix	Individual suffix.
Individual Email Address	Email address for the individual.
License Number	The license number (if any) associated with the license name.
License Name	The name of the license consisting of Jurisdiction name, License type.
Industry Type	The industry associated with the license type.
License Status	Refer to Appendix A: <a href="#">License Statuses and Definitions</a>
License Status Date	Date on which the license status is effective.
Renewed Through Year	The year the license is renewed through.
License Item Type	The type of license item added. Examples: <ul style="list-style-type: none"> <li>• Continuing Education</li> <li>• Credit Report</li> <li>• Criminal Background Check</li> <li>• Criminal Background Check Required</li> <li>• Custom License Item</li> <li>• Disclosure</li> <li>• Education</li> </ul>
License Item Title	The license item title.
License Item Category	The applicable category for the license item (Deficiency or Jurisdiction Requirement).
License Item Created Date	The date and time when the license item was added.
License Item Created By	Username of person who created license item.
Report Current As Of	Reports with an 'As of' date that falls on Monday through Thursday will include data current as of the previous day. Reports with a 'As of' date that falls on Friday through Sunday will include data current as of the preceding Thursday.
Report Generated Time	The timestamp for when the report was generated by the system.

## Individual Roster

The report displays a list of all individuals who had an active relationship with the company (based on the “As of Date”), and their licenses or pending applications with a regulator's agency. The report also displays their email address, and includes sponsorship information for the license.

Criteria for the report can be filtered by:

- Industry Type (All Industries, Mortgage, Consumer Finance, Debt, Money Services)
- Active Licenses (Yes/No)
- As of Date (no limit)

Report Field	Description												
Individual ID	The NMLS ID for the individual.												
Individual Last Name	Individual Last Name.												
Individual First Name	Individual First Name.												
Individual Middle Name	Individual Middle Name.												
Individual Suffix	Individual Suffix.												
License Number	The license number (if any) associated with the license name.												
License Name	The name of the license consisting of Jurisdiction name, License type.												
Industry Type	The industry associated with the license type.												
License Status	Refer to Appendix A: <a href="#">License Statuses and Definitions</a>												
License Status Date	Date on which the license status is effective.												
Original License Date	Date on which the original license was issued.												
Renewed Through Year	The year the license is renewed through.												
Prevent Renewal	Indicates if the license is/will be prevented from being renewed.												
Sponsoring Company	Name of the company sponsoring the individual's license.												
Sponsoring Company ID	The NMLS ID of the sponsoring company.												
Sponsorship Status	The status of the sponsorship. <table border="1"> <thead> <tr> <th>Name</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>Accepted</td> <td>License Sponsorship was approved.</td> </tr> <tr> <td>Deficient</td> <td>License Sponsorship is in a deficient status, awaiting action from the Individual or Company.</td> </tr> <tr> <td>Rejected</td> <td>License Sponsorship was rejected.</td> </tr> <tr> <td>Removed</td> <td>License Sponsorship was withdrawn.</td> </tr> <tr> <td>Requested</td> <td>License Sponsorship was requested.</td> </tr> </tbody> </table>	Name	Description	Accepted	License Sponsorship was approved.	Deficient	License Sponsorship is in a deficient status, awaiting action from the Individual or Company.	Rejected	License Sponsorship was rejected.	Removed	License Sponsorship was withdrawn.	Requested	License Sponsorship was requested.
Name	Description												
Accepted	License Sponsorship was approved.												
Deficient	License Sponsorship is in a deficient status, awaiting action from the Individual or Company.												
Rejected	License Sponsorship was rejected.												
Removed	License Sponsorship was withdrawn.												
Requested	License Sponsorship was requested.												
Sponsorship Status Date	The date the sponsorship status was assigned to the license.												
Relationship Effective Date	The effective date for when the company relationship was established.												
Report Current As Of	Reports with an ‘As of’ date that falls on Monday through Thursday will include data current as of the previous day. Reports with a ‘As of’ date that falls on Friday through Sunday will include data current as of the preceding Thursday.												
Report Generated Time	The timestamp for when the report was generated by the system.												

## PE and Testing Compliance

The report displays a list of individuals actively licensed or seeking licensure that are compliant with their SAFE Pre-licensure Education and/or testing requirements.

Criteria for the report can be filtered by:

- Since date (no limit)

Report Field	Description
Individual ID	The NMLS ID for the individual.
Individual Last Name	Individual Last Name.
Individual First Name	Individual First Name.
Individual Middle Name	Individual Middle Name.
Individual Suffix	Individual Suffix.
Individual Email Address	Individual's email address.
Compliance Type	The type of compliance.
Effective Date	The effective date of compliance.
Date Compliance Reported	The date the compliance was reported to NMLS.
State Test Name	The name of the state test.
License Number	The license number (if any) associated with the license name.
License Name	The name of the license consisting of Jurisdiction name, License type.
License Status	Refer to Appendix A: <a href="#">License Statuses and Definitions</a>
License Status Date	Date on which the license status is effective.
Report Generated Time	The timestamp for when the report was generated by the system.

## PE and Testing Non Compliance

The report displays a list of individuals actively licensed or seeking licensure that are not compliant with their SAFE Pre-licensure Education and/or testing requirements.

Criteria for the report can be filtered by:

- (No filter is available for the report)

Report Field	Description
Individual ID	The NMLS ID for the individual.
Individual Last Name	Individual Last Name.
Individual First Name	Individual First Name.
Individual Middle Name	Individual Middle Name.
Individual Suffix	Individual Suffix.
Individual Email Address	Individual's email address.
Compliance Type	The type of compliance.
State Test Name	The name of the state test.
License Number	The license number (if any) associated with the license name.
License Name	The name of the license consisting of Jurisdiction name, License type.
License Status	Refer to Appendix A: <a href="#">License Statuses and Definitions</a>
License Status Date	Date on which the license status is effective.
Report Generated Time	The timestamp for when the report was generated by the system.



## Surety Bond Roster Report

The Surety Bond Roster report enables companies to track electronic bonds for all of their company licenses so that they can determine if the license has met expected bond requirements. The report returns all licenses belonging to the company along with the electronic surety bonds that are linked to those licenses as well as new bonds that have not yet been delivered to the regulator. If no license is associated with the bond then the license fields will be empty.

Bond information returned always represents the information from the latest delivered revision of the bond associated with the license being returned in the report. This is regardless of the bond status, i.e. Cancelled bonds are included in the report.

The report always returns the latest data available in the data warehouse and is not an “as of” report like the other rosters (reports are updated nightly and contain information that reflects the previous business day).

Criteria for the report can be filtered by:

- Surety Bond Status (Requires Rep Signature, Pending Principal Signature, Returned to Surety, Declined, Executed, Notice of Cancellation, Cancelled)\*

\* If no statuses are selected then the report returns bonds regardless of status.

Report Field	Description
License Number	The license number (if any) associated with the license name.
License Name	The name of the license consisting of jurisdiction name, license type.
Industry Type	The industry associated with the license type.
License Status	Refer to Appendix A: <a href="#">License Statuses and Definitions</a>
License Status Date	Date on which the license status is effective.
Original License Date	Date on which the original license was issued.
Renewed Through Year	Renewed through year.
Other Trade Name	As reported on the Surety Bond.
Bond Number	The number assigned to the bond by the surety entity that creates the bond in NMLS.
Bond Amount	As reported on the Surety Bond.
Surety Company	The name of the surety company or underwriting company.
Surety Company NAIC	The Surety Bond Producer number for the current Broker of Record. Note: This may NOT be the original Surety Bond Producer that issued the bond. (NAIC = The National Association of Insurance Commissioners)
Surety Bond Producer	The name of the surety bond producer identified as the current broker of record.
Surety Bond Producer NPN	The national producer number (NPN) for the surety bond producer.
Bond Effective Date	The effective date as stated on the bond.
Converted	The Converted indicator reflects that the bond initially was a paper bond delivered outside of NMLS that has now been converted to an electronic surety bond in NMLS.
Delivered	The Delivered Indicator reflects if the bond has been delivered to the regulator.
Bond Status	Status of the bond that is derived from NMLS (Requires Rep Signature, Pending Principal Signature, Returned to Surety, Declined, Executed, Notice of Cancellation, Cancelled)

<b>Report Field</b>	<b>Description</b>
Bond Status Date	The date of the last update to the bond record.
Cancellation Effective Date	Cancellation effective date provided by the Surety Company that initiated the cancellation.
Has Pending Revision	Y or N flag for whether or not the latest version of the bond is a rider or Revised Form Bond that has not yet been executed. This value is Y only if there is a non-executed rider that is not in Requires Rep Signature status.
Pending Rider Effective Date	Rider Effective Date provided by the surety entity reporting the rider. This field will be empty if there is no rider that is Pending Principal Signature or Return to Surety.
Pending Revision Status	Status of pending rider. A rider that is pending will only be either Pending Principal Signature or Return to Surety.
Pending Revision Type	Returns the type of pending revision, either "Rider" or "Revised Form Bond".
Revised Form Bond Required	Y flag indicates the existence of an un-cleared "Revised Form Bond Required" license item.

## Appendix A

<b>License Status and Description</b>	
Approved	Status assigned when the regulator has reviewed the license or transition request and decided to issue a license to the applicant through NMLS.
Approved - Conditional	Status assigned when the regulator has reviewed the license or transition request and decided to issue a license to the applicant through NMLS provided certain conditions imposed by the regulator are met. This status also may be used (i) when a regulator has imposed an increased financial responsibility requirement on the licensee or (ii) if a licensee is subject to conditions set by an administrative order that do not otherwise restrict their ability to conduct lawful activities under the license.
Approved - Deficient	Status assigned when a regulator determines an entity is entitled to conduct business, but may be required to provide additional documentation/information to the regulator to comply with licensing requirements.
Approved - Failed to Renew	Status assigned when a licensee with a perpetual license fails to file for renewal prior to December 31st.
Approved - Inactive	<p>Status assigned when (i) the last active sponsorship is removed from a mortgage loan originator license; or (ii) when an entity or individual informs a regulator (outside NMLS) that they do not intend to conduct business under the license for a period of time, but will continue to comply with certain licensing requirements in order to retain the license. The status may also apply when a new license applicant is not currently employed as a mortgage loan originator but meets all other licensing requirements. In such cases, the license may be displayed as "Approved-Inactive" until sponsorship by a mortgage company is acquired and approved by the regulator. Until sponsored and approved, the individual is prohibited from engaging in the business of a mortgage loan originator.</p> <p>This status also may be used for company licenses if the company is not associated with an approved qualifying individual.</p>
Approved - On Appeal	Status assigned when a regulator has taken action to suspend or revoke an approved license, the entity has appealed the decision and the entity is authorized to continue to conduct business while the action is on appeal. This status also may be used if a regulator rejects a renewal request and the licensee appeals the rejection.
Approved-Surrender/Cancellation Requested	Status assigned when a licensee submits a Surrender/Cancellation Request to a regulator.
Denied	Status assigned when regulator has reviewed the license request and determined that sufficient grounds exist to deny the request. This status also may be used by regulators who deny a renewal request for a license.

Denied - On Appeal	Status assigned when regulator has reviewed the license request and determined that sufficient grounds exist to deny the request but applicant has appealed the decision.
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<b>License Status and Description</b>	
Pending - Review	Status assigned once the regulator has received external documents per checklist, completes a preliminary review of new license request and decides to accept the filing for processing. Once the request is placed in this status, the regulator review clocks start.
Pending - Deficient	Status assigned when regulator has determined documents or information are incomplete or missing from the license request.
Pending - Incomplete	Status assigned upon submission of a new license request.
Pending - Withdrawal Requested	Status assigned when the applicant submits a filing to withdraw a license request.
Revoked	Status assigned when a regulator has taken action to revoke the license. Pursuant to federal SAFE legislation, placement of a mortgage loan originator license into this status will render the mortgage loan originator unable obtain or retain a license to conduct mortgage business in any jurisdiction.
Revoked - On Appeal	Status assigned when a licensee has appealed a regulator's action to revoke the license
Suspended	Status assigned when a regulator has taken action to suspend the license.
Suspended - On Appeal	Status assigned when a licensee has appealed a regulator's action to suspend the license
Temporary Cease and Desist	Status assigned when a regulator issues a Temporary Cease and Desist order against the licensee.
Terminated - Expired	Status assigned to a non-perpetual license when entity fails to renew a license by the end of the Reinstatement period or the jurisdiction's deadline (whichever is earlier), marks a license as Do Not Renew or has failed to address outstanding issues with a license that has been suspended.
Terminated - Failed to Renew	Status assigned to a non-perpetual license when a licensee fails to file for renewal prior to December 31st or marks a license as Do Not Renew. The licensee is not authorized to conduct business with a license in this status.
Terminated - Ordered to Surrender	Status assigned when a regulator orders a licensee to surrender their license.
Terminated - Surrendered/Cancelled	Status assigned when a regulator approves a surrender/cancellation request submitted by the licensee.
Transition Cancelled	Status assigned upon submission of a filing requesting cancellation of a transition request.
Transition Rejected	Status assigned when regulator decides not to approve a transition request. Also used by regulators when the incorrect license type is identified for transition.
Transition Requested	Status assigned upon submission of a transition request.

Withdrawn - Application Abandoned	Status assigned with applicant has not responded to regulator requests for additional information within a timely manner.
Withdrawn - Voluntary Without Licensure	Status assigned upon acceptance of a withdrawal request submitted by the applicant.

## Appendix B

<b>Active/Inactive License Statuses</b>	<b>Is Active?</b>
Approved	Y
Approved - Conditional	Y
Approved - Deficient	Y
Approved - Failed to Renew	Y
Approved - Inactive	Y
Approved - On Appeal	Y
Approved - Surrender/Cancellation Requested	Y
Denied	N
Denied - On Appeal	Y
Pending - Review	Y
Pending - Deficient	Y
Pending - Incomplete	Y
Pending - Withdrawal Requested	Y
Revoked	N
Revoked - On Appeal	Y
Suspended	Y
Suspended - On Appeal	Y
Temporary Cease and Desist	Y

Terminated - Expired	N
Terminated - Failed to Renew	Y
Terminated - Ordered to Surrender	N
<b>Active/Inactive License Statuses</b>	<b>Is Active?</b>
Terminated - Surrendered/Cancelled	N
Transition Requested	Y
Transition Cancelled	N
Transition Rejected	N
Withdrawn - Application Abandoned	N
Withdrawn - Voluntary Without Licensure	N