



# NMLS

Individual (MU4) Form Filing -  
Extended

# Are you Ready?



If you are required by your state regulator or your mortgage company to complete and submit your own Individual (MU4) Form, the instructions below will help you file, provide access to your company, and follow-up on your license status.

## **Have the following on hand:**

- Residential history, including to and from dates (mm/yy) and addresses for the past 10 years.
- Employment history, including to and from dates (mm/yy) and addresses for the past 10 years.
- All names used since age the age of 18, including alias, aka, maiden name, etc.
- Documentation evidencing that all state specific requirements have been completed. These documents can be found in the [State Licensing](#) section of the NMLS Resource Center.
- You will be required to pay the applicable fees (licensing, criminal background check, credit report and NMLS processing fees); available payment methods are Visa, MasterCard, or ACH.
- Verify with your Company the States you should be filing with.

# **Review your State Requirements Checklist**

**Go to the NMLS Resource Center at:**

**<http://mortgage.nationwidelicensingsystem.org>**



## Welcome to the NMLS Resource Center

The NMLS Resource Center is the official gateway into the Nationwide Mortgage Licensing System (NMLS) and provides users with tools, tips, news and updates.

### Getting Started with NMLS...

Companies	Mortgage Loan Originators	Course Providers
NMLS streamlines the licensing process by using a single record for all state agencies. Learn how to get an account and set up your company record under <a href="#">Getting Started: Company</a> .	NMLS allows either the company or the individual to manage the licensing process. To learn more and to get set up on NMLS, go to <a href="#">Getting Started: Mortgage Loan Originator</a> .	Apply to become an approved course provider, submit courses, maintain offerings, and report course completions here: <a href="#">Getting Started: Course Provider</a> .

### NMLS News & Events

#### NMLS Introduces Criminal Background Check, MU Form Changes

NMLS has been upgraded incorporating federal criminal background check functionality and changes to the national MU Forms approved by regulators this past fall. Starting January 25, 2010, all new mortgage loan originator application filings will require a federal

### State Licensing News

#### Missouri Announces Participation in NMLS

The Missouri Division of Finance will start accepting new mortgage loan originator applications through NMLS starting April 2, 2010. For details see the [Missouri Transition Plan](#).

#### Texas SML Announces Participation in NMLS

[Annual License Renewal](#)

### Popular Links

[Criminal Background Check](#)[Testing](#)[Getting Started: Mortgage Loan Originator](#)[Education](#)[NMLS Consumer Access](#)

### State Licensing Requirements

Find license  
information  
for your state



**Enter the State Licensing Page**



## State Licensing Requirements

### Common Requirements

[Policy](#)[Financial Statements](#)[Professional Standards](#)[Mortgage Call Report](#)[Annual Renewal](#)

### Resources & Support

[Quick Guides](#)[Navigation Guides](#)[Report Samples](#)[NMLS Resource Center](#) > [State Licensing](#)


## State Licensing

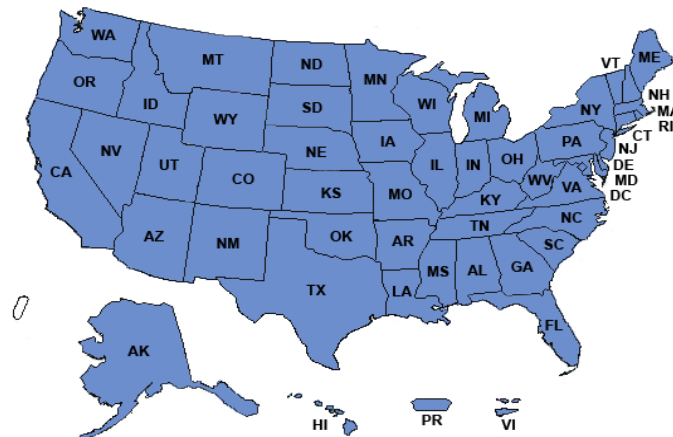
Just getting started, or have a question? Take a look at our [Resources & Support](#) section.

To view state licensing requirements, use the map below. It will provide you with descriptions and checklists for each license in that state agency managed in NMLS.

To view Common Requirements, applicable to all states, go to any of the following sections:

[Policy](#)[Financial Statements](#)[Professional Standards](#)[Mortgage Call Report](#)[Annual Renewal](#)

Click on the map or select state here... 



### Quick Links

[Navigation Guides](#)

Topical guides with screenshots to help walk users through the system.

[Quick Guides](#)

Handy 1-2 page instructions on particular tasks.

[Policy Guidebook for Licensees](#)

Created by a group of regulators from each state agency to help licensees and applicants understand the policies related to using NMLS.

[Report: A Nationwide View on State-Licensed Mortgage Entities](#)

This report compiles data from the first quarter of 2011 concerning companies, branches, and MLOs who are state-licensed or state-registered through NMLS.

**Choose the appropriate license state**



[About NMLS](#) | [Contact Us](#) | [Got Feedback?](#) | [Regulator Sign In](#)

NMLS Resource Center

Log into NMLS

[Home](#)
[News & Events](#)
[Licensees](#)
[State Licensing](#)
[Professional Requirements](#)
[Federal Registration](#)
[Course Providers](#)

State Licensing Requirements

Common Requirements

Renewals

Financial Statements

Professional Requirements

Policy

Transition Chart

NMLS Resource Center > State Licensing > State Licensing Requirements

State Licensing Requirements

Alaska

Electronic filings through NMLS may be delayed if all required items on the appropriate checklist below are not submitted to the regulator.

Check state agency website for further information: [Alaska Department of Commerce, Community & Economic Development: Division of Banking & Securities](#)

Common Requirements

The following requirements are handled through a common process for all jurisdictions:

[Renewals](#) - Annual license renewal for 2010

[Financial Statements](#) - Submitting financial statements through NMLS

[Professional Requirements](#) - Testing, education and background check requirements for individual Mortgage Loan Originators

[Policy](#) - Common policies, including the Policy Guidebook for Licensees

Company Licenses	Description	Requirements Checklist
Mortgage Broker / Lender License	This License is required of any mortgage broker, who is a company or sole proprietorship, who for compensation or gain, or in the expectation of compensation or gain, directly or indirectly arranges with a variety of lending sources to provide financing for mortgage loans or assists or offers to assist a borrower or potential borrower to obtain financing for mortgage loans on Alaska residential property. This License is required of any mortgage lender who is a company or sole proprietor, who consummates and funds a mortgage loan and who is named as the payee in the promissory note and as the beneficiary of the deed of trust on Alaska residential properties. <a href="#">(More Details)</a>	<ul style="list-style-type: none"> <li><a href="#">New Application</a></li> <li><a href="#">Amendment</a></li> <li><a href="#">Surrender</a></li> </ul>



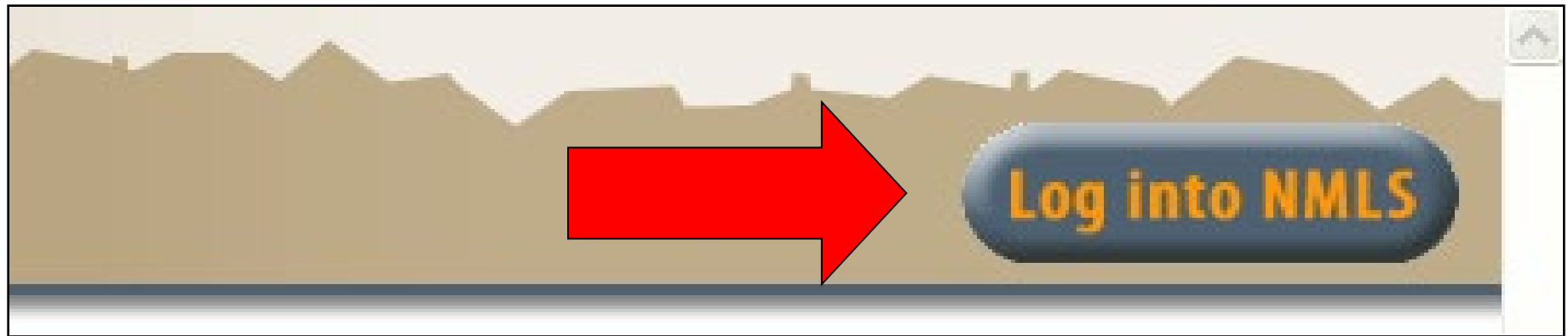
Identify the appropriate license. Consult license description and details.

Existing Licensees print “Transition to NMLS” checklist.

New Licensees print “New Application” checklist.

- Gather all required documentation listed on the Requirements Checklist.
- Include your NMLS Unique ID# on the top of the checklist once you have created your NMLS account. *(your ID# can be obtained by going to Composite View tab and View Individual. The ID# will be in parenthesis next to your name)*
- Mail the checklist and all required docs within 5 days of submitting your filing in NMLS.

# **Request your Individual NMLS Account**



**Click the “Log into NMLS” button in the top right corner of the NMLS Resource Center**

## Login

Log In

User Name:

Password:

[Forgot your User Name?](#)

[Forgot your password?](#)

- OR -

[Request an Account](#)



### Important System Messages:

The NMLS Industry Terms of Use will be updated on August 1, 2011. Changes include: clarification of the definition of "Applicant Data"; additional language regarding an individual's granting access to a company; addition of Privacy Act of 1974 Notice; removal of reference to use of Applicant Data for purposes under Section 603(d) of the Fair Credit Reporting Act; addition of references to SAFE Mortgage Loan Originator Test components in Section 8; addition of right of termination or suspension of access to the system for failure to pay any fees required for services under the Agreement. A redlined version of the changes to the Agreement can be found at: [Industry Terms of Use](#)

### Logging In:

- Your user name and password were sent to you from NMLS\_Notifications@NMLSNotifications.com when your user account was created.
- If you are not able to locate your user name and/or password and you are a company/institution or regulator/agency user, contact your organization's account administrator.
- If you are an individual user and need assistance, contact the NMLS Entitlement Group at (240) 386-4444.

Log In

**Select "Request an Account."**

## Request an Account

### Welcome to NMLS

Please select the type of account you would like to request:



Individual

Company / Institution

#### Helpful Hints:

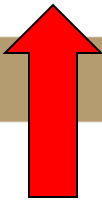
- Company/Institution and regulator/agency user accounts are established by the organization's account administrator.
- If you are an individual who needs to perform actions related to MU2, MU4 and/or MU4R filings, you must select Individual above.

©2011 SRR | All Rights Reserved | [Privacy Policy](#)  
SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 388-4444.

**Select “Individual”**

## Create an Individual User Account

Enter the characters as they appear in the image below. This step helps prevent unauthorized use of NMLS by automated programs.



Next

©2011 SRR | All Rights Reserved | [Privacy Policy](#)  
SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 388-4444.

**Enter requested information and click Next.**

## Create an Individual User Account

Please complete the following form if you are an **Individual** who currently holds or is applying for a state license/registration (MU4) or a federal mortgage loan originator registration (MU4R), or a **Control Person** (MU2 - i.e. executive officer, owner, branch manager, qualifying individual) who needs to attest to your record in NMLS as part of a company or branch license filing.

If you are not one of the above, you should not create an individual account.

Provide your **full legal name** as it appears on your government-issued identification document (e.g. driver's license, passport or Permanent Resident card).

First Name:	<input type="text"/>	
Middle Name:	<input type="text"/>	
Last Name:	<input type="text"/>	
Suffix:	<input type="text"/>	
Date of Birth:	<input type="text"/>	MM/DD/YYYY
Confirm Date of Birth:	<input type="text"/>	MM/DD/YYYY
Social Security Number:	<input type="text"/>	###-##-####
Confirm Social Security Number:	<input type="text"/>	###-##-####
<hr/>		
Mailing Address:	<input type="text"/>	
	<input type="text"/>	
City:	<input type="text"/>	
State:	<input type="text"/>	▼
Country/Province:	<input type="text"/>	▼
Postal Code:	<input type="text"/>	99999 or 99999-9999
<hr/>		
Phone Number:	<input type="text"/>	999-999-9999x99999
Email Address:	<input type="text"/>	
Confirm Email Address:	<input type="text"/>	
Security Question:	<input type="text"/>	
Security Answer:	<input type="text"/>	

Next

**Fill in all information, be sure to use your Legal Name and click Next.**

## Create an Individual User Account

Click **Confirm** to establish an Individual User Account using the information below or **Cancel** to make additional edits.

First Name: Lincoln

Middle Name:

Last Name: Burrows

Suffix:

Date of Birth: 3/20/1974

Social Security Number: 415-89-5632

Mailing Address: 145 Fox River Road

City: Chicago

State: IL

Country/Province: USA

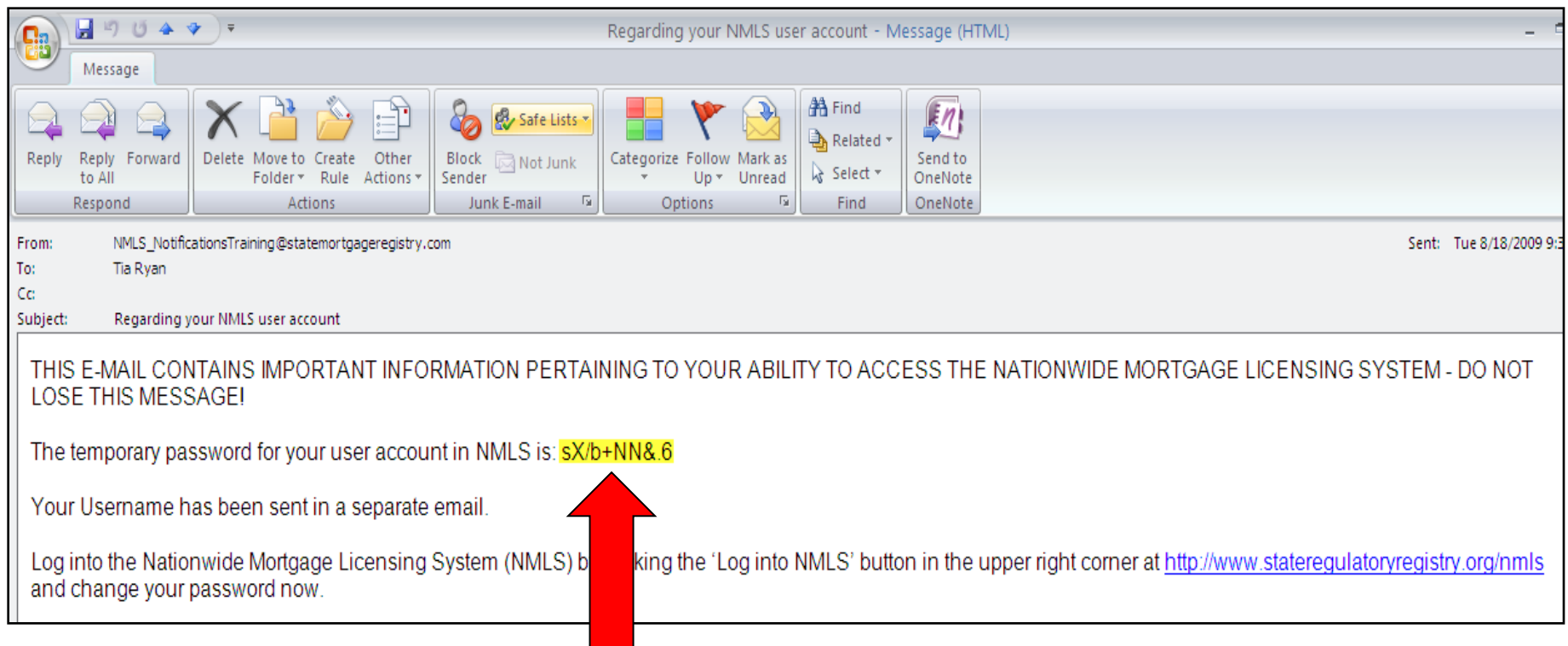
Postal Code: 15623

Email Address: email@email.com

Cancel

Confirm

**Confirm all data entered is correct, then click Confirm.**  
**NOTE: Once you click finish, only the NMLS Call Center can correct the Date of Birth and Social Security Number fields. This process can take several days.**



**NMLS\_Notifications will send two emails. One will contain your user name. The other will contain your temporary password.**

**Copy and paste your temporary password**

# Login to NMLS

Log In

User Name:

[Forgot your User Name?](#)

Password:

[Forgot your Password?](#)

- OR -

[Request an Account](#)

## Important System Messages:

Updated uniform Licensing Forms for Companies, Branches, and Individuals have been implemented in NMLS and will require submission of a new filing. Tools and Resources are available on the NMLS Resource Center. [Workshops](#) are also offered to demonstrate the new fields required and how to submit the filing.

[NMLS Hours of Operation](#)

## Logging In:

- If you are a first-time user, your user name and temporary password were sent to you from NMLS\_Notifications@NMLSNotifications.com when your user account was created. You should copy and paste the password when entering the temporary password.
- If you cannot remember your user name and/or password, use the "[Forgot your User Name](#)" and/or "[Forgot your Password](#)" options to have the information sent to you.
- You will be logged out after 30 minutes of inactivity.

Log In

**When you receive your emails, return to the NMLS login. Type in your user name. Copy and paste in the temporary password. Click Log In.**

## Industry Terms of Use

### 1. Agreement

This Industry Terms of Use ("Agreement") constitutes an agreement between You and State Regulatory Registry LLC ("SRR") and governs Your use of this website and the various on-line software applications, materials and services provided by SRR, affiliates of SRR and third parties in connection therewith (referred to as the "Nationwide Mortgage Licensing System and Registry," "Nationwide Multistate Licensing System," "NMLS" or the "System"). You must obtain a username and password and establish an account ("Your Account" or "Applicant's Account") in order to access the System. You are responsible for all access to and use of the System under Your username and password.

### 2. Definitions

The following terms have the meanings that follow when used in this Agreement:

The terms "Applicant," "You" and "Your" as used in this Agreement mean you as an individual as well as any corporate or other legal entity on whose behalf you are acting.

The term "Applicant Data" as used in this Agreement means (i) the information submitted by Applicants or third parties in connection with an Application or in connection with the renewal or maintenance of a License or a Registration, (ii) information contained in reports of condition submitted by Applicants pursuant to the S.A.F.E. Mortgage Licensing Act of 2008 (Title V of Public Law 110-289) and/or other state or federal law, (iii) the information submitted by a

I Agree

I Do Not Agree

**Review the terms of use and click the "I Agree" button.**

# Change your Password

**I** You logged in with a system-generated password. You must change it before continuing.

Passwords must be between 8 and 16 characters in length, and contain characters from 3 of the following 4 categories:

- English uppercase characters (A to Z)
- English lowercase characters (a to z)
- Base 10 digits (0 to 9)
- Special characters (For example, #, \$, and ^)

Current Password:

New Password:

Confirm New Password:

Change Password

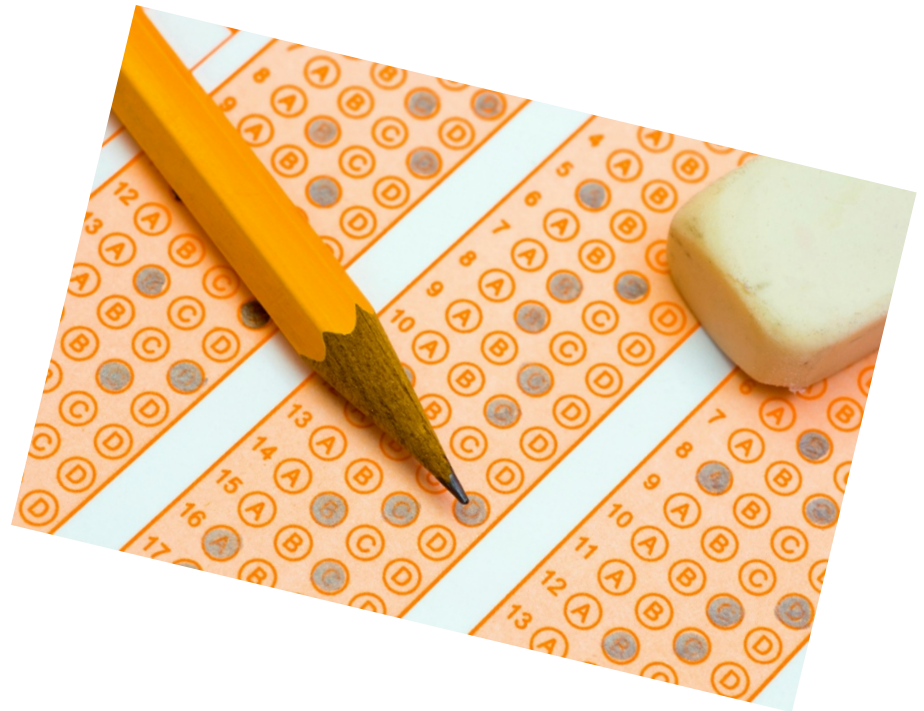
©2008 SRR | All Rights Reserved | Use is governed by the Applicant/Licensee [Terms of Use](#) and the State Agency [Terms of Use](#)  
SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 386-7000

**After logging in you will be required to change your password.  
Paste in your temporary password again, then create your new  
password.**

**\*\*Many state agencies require a passing score on the National Component with Uniform State Content and/or State Components of the SAFE MLO Test and completion of pre-licensure education prior to submitting the Individual (MU4) Form application for licensure.**

The next steps will walk you through enrolling in a test. Visit the [NMLS Resource Center](#) to schedule a test and enroll in a course for pre-licensure education.

# ENROLLING IN A TEST





**To enroll in the National or State Component of the SAFE MLO Test, click the MLO Testing & Education tab.**

Testing

## MLO Testing & Education - Home

0 Item(s) / \$0.00

### TESTING


Click the [Testing](#) menu above to select and pay for national and/or state tests. View the [Testing page of the NMLS Resource Center](#) and the [MLO Testing Handbook](#) for more details regarding the SAFE originator testing requirements and processes.

### PRE-LICENSURE AND CONTINUING EDUCATION

Information regarding pre-licensure and continuing education requirements for mortgage loan originators is available on the [Education page of the NMLS Resource Center](#).


**Click the Testing sub-menu.**

## Testing

 [?? HELP](#)

0 Item(s) / \$0.00 in Test Enrollment Cart

Choose an option below to select tests:

[Select by State](#) 

[National Test](#)

[List of Eligible Tests](#)

OR:

To pay for the test(s) in your cart, select [Pay for Tests](#)

*IMPORTANT NOTE:* Some state agencies may be in the process of adopting the Uniform State Test. Visit the [Testing Page of the NMLS Resource Center](#) for more information on the Uniform State Test and a schedule of when state agencies plan to adopt the test.

**Click “Select by State” to select test enrollments from a list of required tests in the state selected. This option allows you to select both the National Component with Uniform State Content and/or a State Component.**

## Select by State

0 Item(s) / \$0.00 in Test Enrollment Cart

Select the state(s) where you want to apply for a mortgage loan originator license.

<input type="checkbox"/> Alabama	<input type="checkbox"/> Illinois	<input type="checkbox"/> Nebraska	<input type="checkbox"/> Rhode Island
<input type="checkbox"/> Alaska	<input type="checkbox"/> Indiana	<input type="checkbox"/> Nevada	<input type="checkbox"/> South Carolina
<input type="checkbox"/> Arizona	<input type="checkbox"/> Iowa	<input type="checkbox"/> New Hampshire	<input type="checkbox"/> South Dakota
<input type="checkbox"/> Arkansas	<input type="checkbox"/> Kansas	<input type="checkbox"/> New Jersey	<input type="checkbox"/> Tennessee
<input type="checkbox"/> California	<input type="checkbox"/> Kentucky	<input type="checkbox"/> New Mexico	<input type="checkbox"/> Texas
<input type="checkbox"/> Colorado	<input type="checkbox"/> Louisiana	<input type="checkbox"/> New York	<input type="checkbox"/> US Virgin Islands
<input type="checkbox"/> Connecticut	<input type="checkbox"/> Maine	<input type="checkbox"/> North Carolina	<input type="checkbox"/> Utah
<input type="checkbox"/> Delaware	<input type="checkbox"/> Maryland	<input type="checkbox"/> North Dakota	<input type="checkbox"/> Vermont
<input type="checkbox"/> District of Columbia	<input type="checkbox"/> Massachusetts	<input type="checkbox"/> Ohio	<input type="checkbox"/> Virginia
<input type="checkbox"/> Florida	<input type="checkbox"/> Michigan	<input type="checkbox"/> Oklahoma	<input type="checkbox"/> Washington
<input type="checkbox"/> Georgia	<input type="checkbox"/> Minnesota	<input type="checkbox"/> Oregon	<input type="checkbox"/> West Virginia
<input type="checkbox"/> Guam	<input type="checkbox"/> Mississippi	<input type="checkbox"/> Pennsylvania	<input type="checkbox"/> Wisconsin
<input type="checkbox"/> Hawaii	<input type="checkbox"/> Missouri	<input type="checkbox"/> Puerto Rico	<input type="checkbox"/> Wyoming
<input type="checkbox"/> Idaho	<input type="checkbox"/> Montana		

Next Cancel

**Select the state(s) where you want to apply for a mortgage loan originator license. Then click NEXT.**

## Select by State



0 Item(s) / \$0.00 in Test Enrollment Cart

Select one or more tests and click **Add to Cart** to continue.

**Selected States:** Alabama,Massachusetts

[Select All](#) [Unselect All](#)

Test Name	Test is required for the following state agency(ies):
<input type="checkbox"/> SAFE Mortgage Loan Originator Test - National Component with Uniform State Content	<b>Satisfies National Test requirement for all state agencies.</b> <b>Satisfies State Test requirement for the following state agencies:</b>
<input type="checkbox"/> AL - SAFE Mortgage Loan Originator Test - State Component	Alabama
<input type="checkbox"/> MA - SAFE Mortgage Loan Originator Test - State Component	Massachusetts

A 180-day test enrollment window will be opened for each selected test once the cart is submitted and payment is made. If the test is not scheduled and taken prior to the end of the enrollment window, the window will expire and you must select and pay for the test again to open a new enrollment window.

A test may not appear above for any of the following reasons: (i) an open enrollment window exists for the test (or a related test); (ii) the test (or a related test) has already been taken and passed; or (iii) the test is already in the cart.

Previous

Add to Cart

Cancel

**Select one or more tests and click ADD TO CART.**

## Test Cart

?? HELP

Proceed to Invoice

Empty Cart

Cancel

Click **Proceed to Invoice** to view and pay the invoice. If you do not want to pay for a test listed below, click **×** to remove the test from the cart.

Actions	Individual	Test Name	Amount
×	Randal, Anthony	MA - SAFE Mortgage Loan Originator Test - State Component	\$69.00
×	Randal, Anthony	SAFE Mortgage Loan Originator Test - National Component with Uniform State Content	\$110.00
Total Charges			\$179.00

Proceed to Invoice

Empty Cart

Cancel

**Review your test cart and click Proceed to Invoice to proceed with the rest of the payment process.**

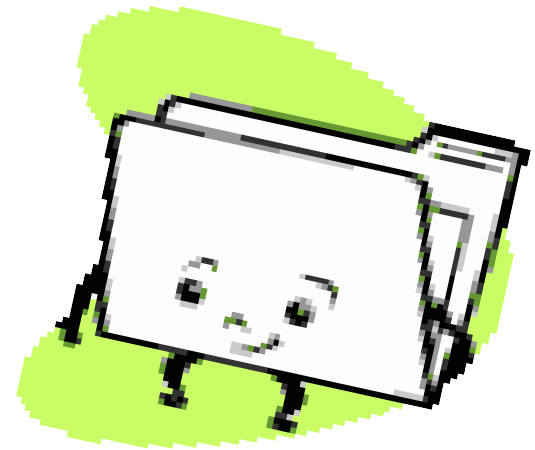


**You have successfully enrolled in a test!**

**You should receive an email confirmation  
instructing you to schedule your test.**

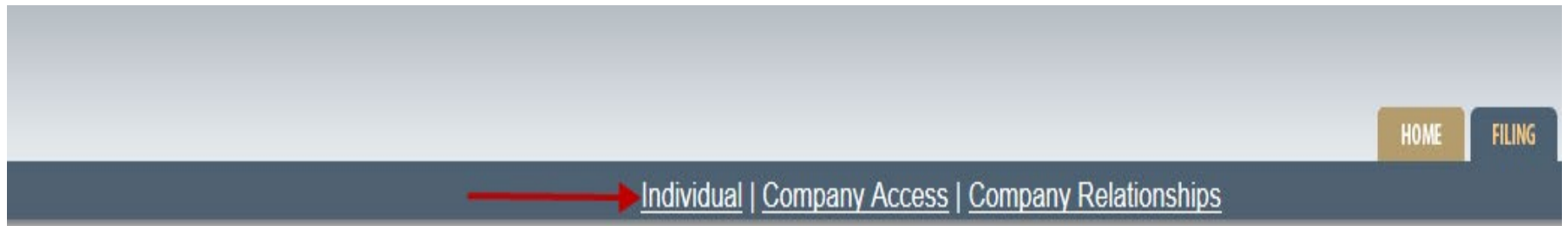
**You must proceed to the testing section of the  
[NMLS Resource Center](#) to schedule your  
test.**

# **SUBMITTING AN INDIVIDUAL (MU4) Form**





**Click on the Filing tab at the top of the screen.**



Form Filing Home

**Click on Individual on sub-menu.**



You are currently:

★ State ▼

▶ [Individual Filing\(s\)](#)

[Historical Filings](#)

## Individual Filing(s)

?? [HELP](#)

### TO ATTEST

Click **Review** and **Attest** to review the filing(s) created by your employer and attest to the information.

An attestation request has not been submitted by your company.

### TO CREATE, UPDATE, OR SUBMIT AN INDIVIDUAL FILING

Click **Request New/Update** to:

- Submit a license application/transition request
- Update your existing record
- Surrender/withdraw a license

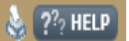
If a filing has not yet been submitted, you can edit and submit the filing below.

[Request New/Update](#)

**NOTE:** Each time you submit, or a company submits on your behalf, the filing will be stored as your record for subsequent submissions. Please consult your company before creating and submitting a filing in NMLS. **The party that creates and submits a filing must pay any associated fees.**

**Click Request New/Update. If you already have a pending filing, click the Edit button to edit the filing.**

## Individual Filing(s)



This will allow you to create a new filing. If you are attempting to attest to a company-created filing, click **Cancel** and, when you return to the Individual Filing(s) page, click **Review and Attest**. If you still wish to create a new filing, click **Continue**. **Note: The party that creates and submits a filing is the party that pays any fees associated with the filing.**



**Click Continue to proceed with the filing.**



You are currently:

★ State ▼

▶ [License/Registration Information](#)

Identifying Information

Other Names

Residential History

Employment History

Other Business

Disclosure Questions

Disclosure

Explanations

Criminal Background

Check

Credit Report Request

Attest and Submit

## License/Registration Information

Pamela E Beasley (39103) MU4 filing created 3/24/2014 by BeasleyPE2.

[HELP](#)

Total Charges: \$0.00

To begin, follow the instructions below and refer to the [State-Specific Checklist](#) for licensing requirements.

- Click [Add](#) to request a new license/registration or transition an existing license.
- To Amend, Review, or Edit your record select the appropriate section of the application on the navigation panel.
- Select [Attest and Submit](#) on the left panel to attest and/or submit the filing.

### Unsubmitted License/Registration Requests

The list below reflects license, transition, surrender and/or withdrawal requests that will be submitted with this filing. Click **Cancel Action** to remove the request from the filing.

**No Record found.**

### Submitted License/Registration Requests

Below is a list of license(s)/registration(s) that have been submitted to your regulator along with the status. Select the appropriate button to **surrender, withdraw, or cancel** a license/registration.


Regulator	License	Status	License Number	Available Action
Massachusetts	Mortgage Loan Originator	Approved		<a href="#">Surrender</a>

→ [Add](#)

**Any existing license filings will appear. Click Add to select additional licenses.**



**Before you proceed, note:  
NMLS will allow you to select all states,  
even if your company or branch is not  
licensed in those states.  
Verify which state licenses you need.  
FEES ARE NON-REFUNDABLE**



Logged in as BeasleyPE2 [Logout](#)  
 email@email.org [edit](#)
[Resource Center](#)

[HOME](#)
[FILING](#)
[MLO TESTING & EDUCATION](#)
[COMPOSITE VIEW](#)
[RENEWALS](#)

You are currently:  
 ★ State ▼

License/Registration Information  
 Identifying Information  
 Other Names  
 Residential History  
 Employment History  
 Other Business  
 Disclosure Questions  
 Disclosure Explanations  
 Criminal Background Check  
 Credit Report Request  
 Attest and Submit

[Individual](#) | [Company Access](#) | [Company Relationships](#)

## License Wizard

Pamela E Beasley (39103) MU4 filing created 3/24/2014 by BeasleyPE2.
 [? HELP](#)

Total Charges: \$0.00

Select the state agency(ies) below to which you would like to submit an individual license/registration application and click **Next**.

<input type="checkbox"/> Alabama	<input type="checkbox"/> Illinois	<input type="checkbox"/> Nebraska	<input type="checkbox"/> South Carolina-BFI
<input type="checkbox"/> Alaska	<input type="checkbox"/> Indiana-DFI	<input type="checkbox"/> Nevada	<input type="checkbox"/> South Carolina-DCA
<input type="checkbox"/> Arizona	<input type="checkbox"/> Indiana-SOS	<input type="checkbox"/> New Hampshire	<input type="checkbox"/> South Dakota
<input type="checkbox"/> Arkansas	<input type="checkbox"/> Iowa	<input type="checkbox"/> New Jersey	<input type="checkbox"/> Tennessee
<input type="checkbox"/> California - BRE	<input type="checkbox"/> Kansas	<input type="checkbox"/> New Mexico	<input type="checkbox"/> Texas - SML
<input type="checkbox"/> California - DBO	<input type="checkbox"/> Kentucky	<input type="checkbox"/> New York	<input type="checkbox"/> Texas - OCC
<input type="checkbox"/> Colorado	<input type="checkbox"/> Louisiana	<input type="checkbox"/> North Carolina	<input type="checkbox"/> Utah-DFI
<input type="checkbox"/> Connecticut	<input type="checkbox"/> Maine	<input type="checkbox"/> North Dakota	<input type="checkbox"/> Utah-DRE
<input type="checkbox"/> Delaware	<input type="checkbox"/> Maryland	<input type="checkbox"/> Ohio	<input type="checkbox"/> Vermont
<input type="checkbox"/> District of Columbia	<input type="checkbox"/> Massachusetts	<input type="checkbox"/> Oklahoma	<input type="checkbox"/> Virgin Islands
<input type="checkbox"/> Florida	<input type="checkbox"/> Michigan	<input type="checkbox"/> Oklahoma - Department of Banking	<input type="checkbox"/> Virginia
<input type="checkbox"/> Georgia	<input type="checkbox"/> Minnesota	<input type="checkbox"/> Oregon	<input type="checkbox"/> Washington
<input type="checkbox"/> Guam	<input type="checkbox"/> Mississippi	<input type="checkbox"/> Pennsylvania	<input type="checkbox"/> West Virginia
<input type="checkbox"/> Hawaii	<input type="checkbox"/> Missouri	<input type="checkbox"/> Puerto Rico	<input type="checkbox"/> Wisconsin
<input type="checkbox"/> Idaho	<input type="checkbox"/> Montana	<input type="checkbox"/> Rhode Island	<input type="checkbox"/> Wyoming

Next Cancel

**Select only the states you have verified with your company and click Next.**

## License Wizard

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.



Total Charges: \$0.00

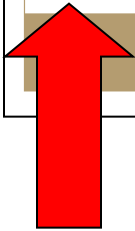


It is important that you consult the jurisdiction-specific requirements for each license in order to determine that you qualify and that you are identifying the correct license for the activity you wish to conduct in each jurisdiction. Select the jurisdiction from the following list to view the jurisdiction-specific requirements:

o [Alaska](#)

Below are the individual license(s)/registration(s) available in the jurisdiction(s) you selected. Please select the license(s)/registration(s) you wish to apply for or transition and click **Next**.

☐ Alaska Mortgage Loan Originator License



**Select the license type you wish to transition or apply for and click Next.**

## License Wizard

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.



Total Charges: \$0.00

### EXISTING LICENSEES/REGISTRANTS

For any EXISTING licenses/registrations being transitioned on to NMLS, enter the license/registration number exactly as provided to you by the state regulator. *FAILURE TO DO SO MAY RESULT IN YOU BEING CHARGED NEW APPLICATION FEES.* If you do not see your existing license/registration listed below, click **Previous** to verify the state and license/registration selections on the prior screens. Click **Next** after all existing license/registration numbers have been provided.

License/Registration Type	Existing License/Registration Number (for transitions only)
Alaska Mortgage Loan Originator License	<input type="text"/>

### NEW LICENSE/REGISTRATION APPLICANTS

If you are applying for a new license/registration, please click **Next**.

[Previous](#) [Next](#) [Cancel](#)

**When you are transitioning an existing LO license, enter your current LO license number (not the company number). If you do not have a current license, leave blank. Click Next.**

## License Wizard

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.



Total Charges: \$0.00

Below is a list of the individual license(s)/registration(s) you have identified to apply for or transition at this time.

If this list is correct, click **Finish** to save these license(s)/registration(s) and continue with the filing. You will be returned to the License/Registration Information page and can complete and/or amend information on your record before submitting the filing.

If this is not correct, click **Previous** to return to the previous pages and make changes.

- Alaska Mortgage Loan Originator License



**Confirm the license type(s) selected are accurate.  
Click Finish.**

You are currently:

State

License/Registration Information

Identifying Information

Other Names

Residential History

Employment History

Other Business

Disclosure Questions

Disclosure Explanations

Criminal Background Check

Credit Report Request

Attest and Submit

License/Registration Information

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.

Total Charges: \$4,310.00

To begin, follow the instructions below and refer to the [State-Specific Checklist](#) for licensing requirements.

- Click [Add](#) to request a new license/registration or transition an existing license.
- To Amend, Review, or Edit your record select the appropriate section of the application on the navigation panel.
- Select [Attest and Submit](#) on the left panel to attest and/or submit the filing.

Unsubmitted License/Registration Requests

The list below reflects license, transition, surrender and/or withdrawal requests that will be submitted with this filing. Click **Cancel Action** to remove the request from the filing.

Regulator	License	Current Status	License Number	Pending Action	Available Action
Alaska	Mortgage Loan Originator License			License Requested	<b>Cancel Action</b>

Submitted License/Registration Requests

Below is a list of license(s)/registration(s) that have been submitted to your regulator along with the status. Select the appropriate button to *surrender, withdraw, or cancel* a license/registration.

Regulator	License	Status	License Number	Available Action
Arizona	Loan Originator License	Approved		<b>Surrender</b>
Kentucky	Loan Originator License	Approved	TI001	<b>Surrender</b>
Montana	Mortgage Loan Originator License	Approved	TI001	<b>Surrender</b>
Tennessee	Mortgage Loan Originator License	Approved	TI001	<b>Surrender</b>

Add

©2012 SRR | All Rights Reserved | SRR is a trademark of the State Regulatory Registry | [Privacy Policy](#) | [Download PDF Reader](#)

For additional information, please visit the [NMLS Resource Center](#) | For help with navigation please contact the NMLS Call Center at (240) 395-4444.

Next

**Total Charges appear in the upper right hand corner of the screen. The globe icon will link you back to the State Specific Requirement Checklists. To continue, click Next.**

40

## Identifying Information



Total Charges: \$0.00

Provide the information requested below. The Government Issued Identification and/or Passport Information is not required in all instances. Review the [state licensing requirements](#) to determine if this information is required by your regulator.

### Identifying Information:

Full Name: Amy Smart  
Gender: ☒ Female ☐ Male  
State of Birth: Colorado  
Country / Province of Birth: United States  
US Citizen: ☒ Yes ☐ No  
State of Government Issued Identification:   
Government Issued Identification Number: (letters, numbers, spaces and dashes only)  
Passport Issuing Country:   
Passport Number: (letters, numbers, spaces and dashes only)

### Contact Information:

Business Phone: 303-956-6285 999-999-9999  
Extension: x99999  
Home Phone: 303-777-0583 999-999-9999x99999  
Cell Phone: 303-956-6285 999-999-9999x99999  
Fax Line: 999-999-9999  
Work Email Address (for regulatory contact): test@test.com  
Personal Email Address (for system notifications and password resets): test@test.com [Update User Profile](#)

### Mailing Address:

Mailing Address: 1234 S. Street  
City: Denver  
State: Colorado  
Country / Province: United States  
Postal Code: 80210

### Do you want to change your Full Name in NMLS?

If yes, check here: ☐  
First Name:   
Full Middle Name:   
Last Name:   
Suffix:

### Any other business activities?

Are you currently engaged in any other business as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise? ☐ Yes ☒ No

Save

**Enter all data. Click Save. Then click Next.**

You are currently:

★ State ▼

License/Registration Information

Identifying Information

Other Names

Residential History

Employment History

Other Business

Disclosure Questions

Disclosure Explanations

Criminal Background Check

Credit Report Request

Attest and Submit

## Other Names

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.

Total Charges: \$4,310.00

List all name(s) you are using or have used since the age of 18, other than your legal name. Examples include nicknames, aliases, and names used before or after marriage. If you do not have any other names, continue to [Residential History](#).

No Record found.

Add

Previous

Next

**Enter any “other names” you have been known by or used since the age of 18 by clicking the “Add” button. Click Next.**

You are currently:

★ State ▼

License/Registration Information

Identifying Information

Other Names

▶ **Residential History**

Employment History

Other Business

Disclosure Questions


Disclosure Explanations

Criminal Background Check

Credit Report Request

Attest and Submit


## Residential History


Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV. 


Total Charges: \$4,310.00

Click **Add** to provide your residential history. A complete ten years is required without gaps.

No Record found.



 Previous

Next 

**Ten years of residential history with NO GAPS is required. Click Add to enter details for each location. Once complete, click Next.**

You are currently: ★ State

- License/Registration Information
- Identifying Information
- Other Names
- Residential History**
- Employment History
- Other Business
- Disclosure Questions
- Disclosure Explanations
- Criminal Background Check
- Credit Report Request
- Attest and Submit

## Residential History

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV. ⚙️ 🗺️ 📄 ?

Total Charges: \$4,310.00

Enter your residential address in the fields below. You can enter a mailing address on the Identifying Information screen. If your mailing address has changed, please return to the [Identifying Information](#) section to make the appropriate updates.

From:   
(MM/YYYY)

To:  Do not enter a To date if this is your current address.  
(MM/YYYY)

☐ Check here if this is your current address.

Address:

City:

State:

Country / Province:

Postal Code:


**When entering your CURRENT address, leave the “To” field blank.  
The format for any dates input should be “MM/YYYY.”**

You are currently:

★ State ▼

- License/Registration Information
- Identifying Information
- Other Names
- Residential History
- Employment History**
- Other Business
- Disclosure Questions
- Disclosure Explanations
- Criminal Background Check
- Credit Report Request
- Attest and Submit


## Employment History



Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV. 

Total Charges: \$4,310.00

Click **Add** to provide a complete employment history for the past ten years without gaps. Account for all time including full & part-time employment, self-employment, military service, and homemaking. Also include periods such as unemployment, retirement, full-time student, extended travel, etc.

There are no existing employment records.



 Previous Next 

**Ten years of employment history with NO GAPS is required. Click Add to enter details for each period. Once complete, click Next.**

You are currently: ★ State

- License/Registration Information
- Identifying Information
- Other Names
- Residential History
- Employment History**
- Other Business
- Disclosure Questions
- Disclosure Explanations
- Criminal Background Check
- Credit Report Request
- Attest and Submit

## Employment Record

**Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.**

Total Charges: \$4,310.00

Provide your employment information below. The 'To' field should be left blank if you are entering your current employer.

Employer (company name):

From:   
(MM/YYYY)

☐ Check here if this is your current employer.

To:  Do not enter a To date if this is your current employer.  
(MM/YYYY)

Position Held:   
(no abbreviations)

Address:

City:

State:

Country / Province:

Postal Code:

Is the employment financial services-related? ☐ Yes ☐ No

©2012 SRR | All Rights Reserved | SRR is a trademark of the State Regulatory Registry | [Privacy Policy](#) | [Download PDF Reader](#)  
For additional information, please visit the [NMLS Resource Center](#) | For help with navigation please contact the NMLS Call Center at (240) 385-4444.

**If a student, enter school name and address.**

**If unemployed, enter “Unemployed” in “Position Held” field and list home address.**

**Indicate at the bottom of the screen if the position held is financial services related. Click Save.**

**The format for any dates input should be “MM/YYYY.”**

You are currently: ★ State

- License/Registration Information
- Identifying Information
- Other Names
- Residential History
- Employment History
- Other Business**
- Disclosure Questions
- Disclosure Explanations
- Criminal Background Check
- Credit Report Request
- Attest and Submit

## Other Business

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV. 🔍 📄 🌐 ?

Total Charges: \$4,310.00

Click **Add** to identify any other business that you are currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. Please exclude non-financial services-related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt. Proceed to the [Disclosure Questions](#) section if you are not engaged in any other business.

No Record found.

Add

◀ Previous Next ▶

**Add any “Other Business” you are involved in.  
If none, click Next.**

## Disclosure Questions

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.



Total Charges: \$4,310.00

Answer each disclosure question below. If the answer to any question is "Yes", you must provide complete details of all events or proceedings in the [Disclosure Explanation](#) section. Remember to file updates to these disclosures as needed.

### Financial Disclosure

(A)

(1) Have you filed a personal bankruptcy petition or been the subject of an involuntary bankruptcy petition within the past 10 years?

☒ Yes ☐ No

(2) Based upon events that occurred while you exercised control over an organization, has any organization filed a bankruptcy petition or been the subject of an involuntary bankruptcy petition within the past 10 years?

☐ Yes ☒ No

(3) Have you been the subject of a foreclosure action within the past 10 years?

☐ Yes ☒ No

(B) Has a bonding company ever denied, paid out on, or revoked a bond for you?

☐ Yes ☒ No

(C) Based upon activities that occurred while you exercised control over an organization, has any bonding company ever denied, paid out on, or revoked a bond for any organization?

☒ Yes ☐ No

(D) Do you have any unsatisfied judgments or liens against you?

☐ Yes ☒ No

(E) Are you delinquent on any court ordered child support payments?

☐ Yes ☒ No

### Criminal Disclosure

(F)

(1) Have you ever been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?

☐ Yes ☒ No

**Answer all disclosure questions. Any "yes" answer will require explanation to be provided in the Disclosure Explanations section.**

You are currently:

★ State ▼

License/Registration Information

Identifying Information

Other Names

Residential History

Employment History

Other Business

Disclosure Questions


**Disclosure Explanations**

Criminal Background Check

Credit Report Request


Attest and Submit

## Disclosure Explanations


Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV. 


Total Charges: \$4,310.00


Click **Add** to provide an explanation if you answered "Yes" to one or more Disclosure Questions. You should provide a separate explanation for each event. Explanations for "No" responses cannot be provided through NMLS.

Disclosure explanations previously provided are listed below. Click  to edit or delete existing explanations.

An explanation must be provided for each disclosure question with a "Yes" response. Click Add to provide explanation(s).



 Previous

Next 

**An explanation is required for each disclosure question with a 'Yes' response. Explanations cannot be provided for 'No' responses. Select Add to provide an explanation if necessary. For more details on completing disclosure explanations, see the [Disclosure Explanations Reference Guide](#).**

You are currently: ★ State ▼

- License/Registration Information
- Identifying Information
- Other Names
- Residential History
- Employment History
- Other Business
- Disclosure Questions
- Disclosure Explanations
- Criminal Background Check**
- Credit Report Request
- Attest and Submit

## Criminal Background Check

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV. ⚙️ 📄 📧 ?

Total Charges: \$4,310.00

Select the checkbox below to request a federal criminal background check. You must also provide the request method and demographic information. If a previous request is pending, a criminal background check cannot be requested. You can access [Composite View](#) to view the status of previous requests.

☐ I am requesting a Federal Criminal Background Check.

Confirm background check method:

☐ Submit New Prints

[FBI Required Privacy Act Statement](#)

### Demographics

The FBI requires the following information to be provided:

Eye color: Blue ▼ Hair color: Blond or Strawberry ▼

Height: 5 ▼ ft 2 ▼ in Weight: 115 lbs

Race: White (includes Mexican and Latino) ▼

Date of Birth is included with the demographic information provided to the FBI and can be verified against your record in [Composite View](#).

Save

◀ Previous Next ▶

**Indicate if you are requesting a Federal Criminal Background Check. Complete the demographic information and click Save. Select Next to continue with the filing.**

You are currently: ★ State

License/Registration Information

Identifying Information

Other Names

Residential History

Employment History

Other Business

Disclosure Questions

Disclosure Explanations

Criminal Background Check

**Credit Report Request**

Attest and Submit

## Credit Report Request

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.

Total Charges: \$4,460.00

Select the checkbox below to request a credit report. If a credit report was generated less than 30 days ago, you may choose to use the recent credit report.

By requesting a credit report in connection with this filing you agree and instruct us to provide access to the credit report to each state regulator you:

- i. Have a pending or active license/registration with
- ii. Are requesting a license/registration from in connection with this filing

In addition, if you are an MU2 Individual, you agree and instruct us to provide access to the credit report to each state regulator that any company associated with you through NMLS:


- i. Has a pending or active license/registration with
- ii. Is requesting a license/registration from in connection with this filing

☒ Request a new credit report

**IMPORTANT NOTICE:** If you have a security freeze on your TransUnion credit report, it must be lifted prior to submitting a filing with a credit report request. No refunds will be offered if you attempt to submit a credit report with a freeze. [Information regarding temporarily lifting the security freeze](#) can be found on the NMLS Resource Center.

Save

Previous Next

**Select that you are requesting a new credit report. Turn on the Completeness Check icon (  ) to generate the Identify Verification link. Select the Identify Verification (IDV) link in the yellow bar.**

License/Registration Information

Identifying Information

Other Names

Residential History

Employment History

Other Business

Disclosure Questions

Jurisdiction Participation

Criminal Background Check

**Credit Report Request**

Completeness Check and Submit

## Credit Report Request

ETHEL I LACHENAUER (103035) MU4 filing created 10/27/2010 by LACHENAEI.

Total Charges: \$15.00

### Completing the Identity Verification

The Identity Verification (IDV) is a series of questions pertaining to the credit history of an individual and is used to validate the identity of an individual requesting a credit report. The IDV must be completed only by the individual whose credit report is being requested. The IDV is administered by TransUnion, the third-party provider under contract with NMLS to generate credit reports for use in NMLS.

You must lift any security freeze on your TransUnion credit report prior to completing your IDV. [Information regarding temporarily lifting the security freeze](#) can be found on the NMLS Resource Center.

Click **Proceed to IDV** to complete the IDV. Click **Return to Filing** if you do not wish to complete the IDV at this time or if you have returned to this page after completing the IDV.

Proceed to IDV

Return to Filing

©2010 SRR | All Rights Reserved | Use is governed by the Applicant/Licensee [Terms of Use](#) and the State Agency [Terms of Use](#) | [Privacy Policy](#)  
SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 388-4444.

**Select “Proceed to IDV” to complete the Identify Verification Process.**



## Identity Verification

[Log Out](#)

**ETHEL LACHENAUER**



Unverified

SSN: XXX-XX-6454  
DOB: XXX/XX/1980

1666 BLACKWALL ..  
SIMI VALLEY, CA 93063

Verify Me

### Verification History

Event Date	Event Description
10/27/2010 2:13 PM	Identity details communicated to TransUnion

### Frequently Asked Questions ?

[What is TransUnion?](#)[I am unable to log in. Who do I contact?](#)[My information needs to be updated?](#)[What should I do?](#)[Is there an activity statement I can keep for my records?](#)[What information do I need to provide in order to verify my identity?](#)[Why am I being asked to verify my identity?](#)[How long will the identity verification process take?](#)

Prev [Next](#)

2010 TransUnion LLC All Rights Reserved | [Privacy Statement](#) | [Terms of Use](#) | [Contact Us](#)

POWERED BY  
 TransUnion.

**Select “Verify Me” to proceed to the verification questions.  
Answer the questions appropriately and select Continue.  
Proceed back to NMLS to complete the MU4 filing.**

License/Registration  
Information

Identifying Information

Other Names

Residential History

Employment History

Other Business

Disclosure Questions

Jurisdiction  
Participation

Criminal Background  
Check

▶ **Credit Report  
Request**

Completeness Check  
and Submit

## Credit Report Request

ETHEL I LACHENAUER (103035) MU4 filing created 10/27/2010 by LACHENAEI.



Total Charges: \$15.00

### Completing the Identity Verification

The Identity Verification (IDV) is a series of questions pertaining to the credit history of an individual and is used to validate the identity of an individual requesting a credit report. The IDV must be completed only by the individual whose credit report is being requested. The IDV is administered by TransUnion, the third-party provider under contract with NMLS to generate credit reports for use in NMLS.

You must lift any security freeze on your TransUnion credit report prior to completing your IDV. [Information regarding temporarily lifting the security freeze](#) can be found on the NMLS Resource Center.

Click **Proceed to IDV** to complete the IDV. Click **Return to Filing** if you do not wish to complete the IDV at this time or if you have returned to this page after completing the IDV.

Proceed to IDV

Return to Filing

©2010 SRR | All Rights Reserved | Use is governed by the Applicant/Licensee [Terms of Use](#) and the State Agency [Terms of Use](#) | [Privacy Policy](#)  
SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 388-4444.

**Select “Return to Filing” to complete the Individual Filing (MU4).**

Return to MU1

Identifying Information

Other Names

Residential History

Employment History

Other Business

Disclosure Questions

Disclosure  
Explanations

Criminal Background  
Check

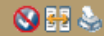
Credit Report Request

Document Uploads

Attest and Submit

## Document Uploads

HELP ?



Total Charges: \$0.00

Use this section to add, amend, or delete documents relevant to your license(s). You can also undo document amendments that have not been submitted.

**Helpful Tips:** Review the [state licensing checklist\(s\)](#) for your relevant license(s) to determine document upload requirements. Refer to the [Document Descriptions and Examples Guide](#) for guidance on documents that can be uploaded in NMLS and document access restrictions.

Documents with a are restricted and cannot be opened.

Click  to upload a new document.

[Expand all](#) | [Collapse all](#)

### Personal Financial Statement (1)

Available Actions	File Name	State	Status	Updated Date	Uploaded By	Comment
<input type="button" value="Delete"/> <input type="button" value="Amend"/>	<a href="#">Virginia Personal Financial Statement.pdf</a>	Virginia	New	4/25/2016 1:12:38 PM	SchmidtLM	Personal Financial Statement for VA.

### Verification of Experience (1)

Available Actions	File Name	State	Status	Updated Date	Uploaded By	Comment
<input type="button" value="Delete"/> <input type="button" value="Amend"/>	<a href="#">Connecticut Verification of Experience.pdf</a>	Connecticut	New	4/25/2016 1:14:33 PM	SchmidtLM	

Upload any required documentation listed in your state licensing checklist. Refer to the [Individual Document Upload](#) quick guide for assistance. The [Document Descriptions and Examples Guide](#) explains the documents that can be uploaded and access restrictions.

You are currently:  
 ★ State ▼

- License/Registration Information
- Identifying Information
- Other Names
- Residential History
- Employment History
- Other Business
- Disclosure Questions
- Disclosure Explanations
- Criminal Background Check
- Credit Report Request
- Attest and Submit**

## Attest and Submit

Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.

! Please be aware that certain information from this filing and related to your licenses/registrations will be considered public. [For details on what is considered public, click here.](#)  
 ! You have updated the current employment in Employment History. Please review the Email Address and Business Phone in the Identifying Information section for accuracy.  
 ! SAFE Pre-Licensure Education requirements have not been met. [For information regarding pre-licensure education requirements, click here.](#)  
 ! One or more SAFE required test components have not been successfully completed. [For information regarding testing requirements, including the MLO Testing Handbook, click here.](#)

Clear all outstanding items below before you can proceed. Click on the hyperlink to access the section of the filing that needs to be completed or corrected. After completing all outstanding items, you can attest to and submit your filing.

Section	Action Required
<a href="#">Employment History</a>	At least 10 years of employment history is required. There cannot be a gap between employment records.
<a href="#">Employment History</a>	There must be at least one current employment record.
<a href="#">Residential History</a>	There must be at least one current residential address.
<a href="#">Residential History</a>	At least 10 years of residential history is required. There cannot be a gap between residential addresses.
<a href="#">Disclosure Explanations</a>	You must check the appropriate box to associate an explanation to each Disclosure Question with a "Yes" response. You can add a new explanation, if necessary, or update an existing explanation.

Subject	Fee Type	Amount
Alaska Mortgage Loan Originator License	Application Fee	\$250.00
Alaska Mortgage Loan Originator License	License/Registration Fee <small>Includes \$150.00 Recovery Fund Fee</small>	\$4,000.00
Alaska Mortgage Loan Originator License	NMLS Processing Fee	\$60.00
Credit Report Check	Credit Report Processing Fee	\$150.00
<b>Total Charges</b>		<b>\$4,460.00</b>

**The completeness check will list any incomplete areas of the MU4. Click on the link to go to an incomplete area. When all outstanding items are satisfied, click on the Attest and Submit section again to see a breakdown of fees, attestation language, and submit.**

You are currently: ★ State

- License/Registration Information
- Identifying Information
- Other Names
- Residential History
- Employment History
- Other Business
- Disclosure Questions
- Disclosure Explanations
- Criminal Background Check
- Credit Report Request
- Attest and Submit**

## Attest and Submit

**Vallarie Bloom (14932) MU4 filing created 7/23/2012 by BloomV.**

⚠ Please be aware that certain information from this filing and related to your licenses/registrations will be considered public. [For details on what is considered public, click here.](#)

⚠ SAFE Pre-Licensure Education requirements have not been met. [For information regarding pre-licensure education requirements, click here.](#)

⚠ One or more SAFE required test components have not been successfully completed. [For information regarding testing requirements, including the MLO Testing Handbook, click here.](#)

All completeness checks are clear. You can attest to the filing below for submission.

Subject	Fee Type	Amount
Total Charges		\$0.00

I **Vallarie Bloom (14932)**, (Applicant) on this date **Monday, July 23, 2012** swear (or affirm) that I executed this application on my own behalf, and agree to and represent the following:

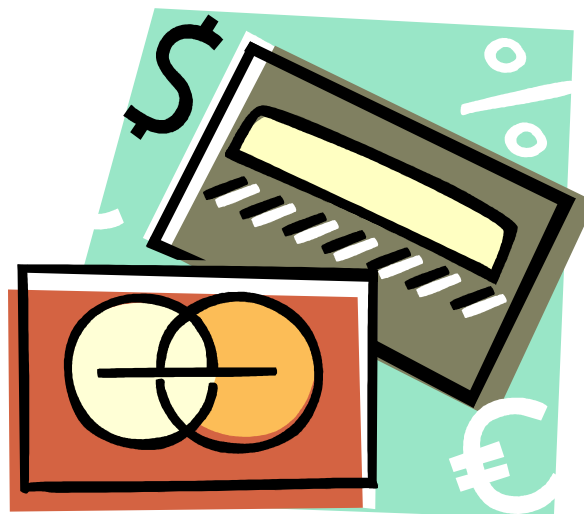
- (1) That the information and statements contained herein, including exhibits attached hereto, and other information filed herewith, all of which are made a part of this application, are current, true, accurate and complete and are made under the penalty of perjury, or un-sworn falsification to authorities, or similar provisions as provided by law;
- (2) To the extent any information previously submitted is not amended and hereby, such information remains accurate and complete;
- (3) That the jurisdiction(s) to which an application is being submitted may conduct any investigation into my background, in accordance with all laws and regulations;
- (4) To keep the information contained in this form current and to file accurate supplementary information on a timely basis; and
- (5) To comply with the provisions of law, including the maintenance of accurate books and records, pertaining to the conduct of business for which I am applying.

If an Applicant has made a false statement of a material fact in this application or in any documentation provided to support the foregoing application, then the foregoing application may be denied.

☐ I verify that I am the named person above and agree to the language as stated.

Submit Filing

**Once complete and all Jurisdiction Specific Requirements have been dropped in the mail, review the legal attestation and click Submit Filing. *If you submitted a new fingerprint CBC request, wait for the filing to process and select the link to schedule your fingerprint appointment.***



**You can pay the licensing and processing fees by Visa, Master Card or ACH. Once you pay, you will receive a payment confirmation number. Print the confirmation number for your records.**

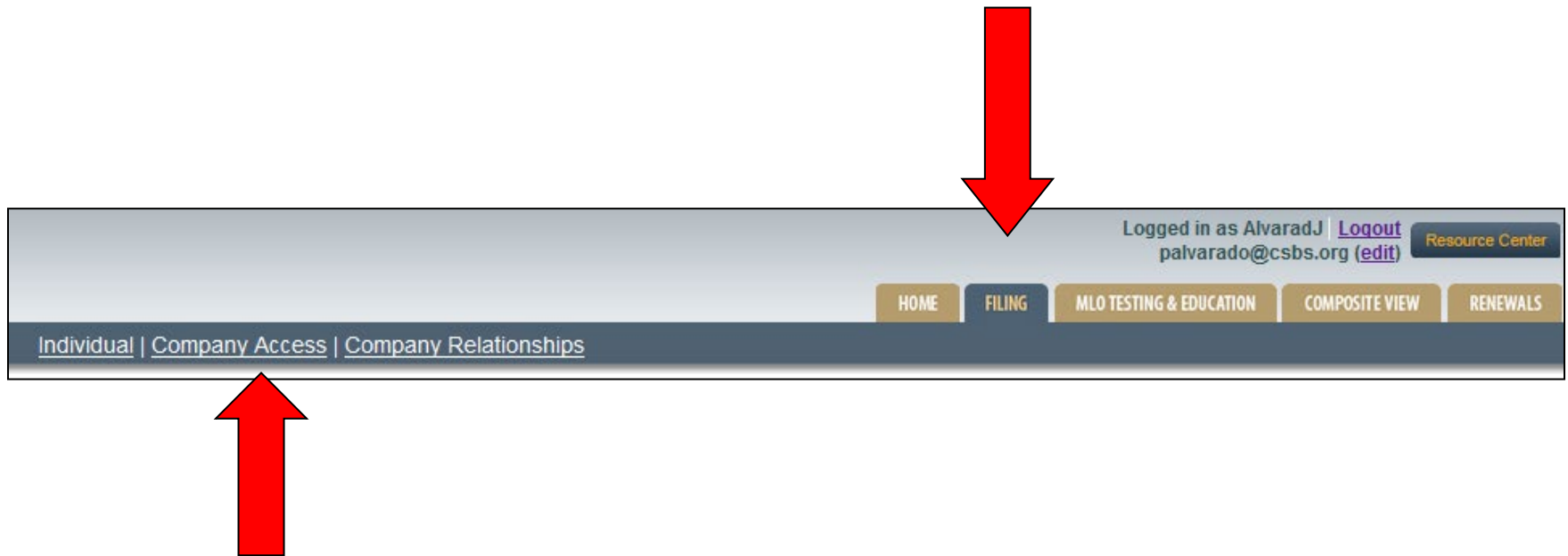
# Next Steps

---



1. Provide your company access to your NMLS record so that they can sponsor your license (if their license is managed through NMLS).
2. Follow-up on your license status.

**The next few slides will show you how.**



**To provide Company Access to your NMLS record:**

- 1. Login to NMLS**
- 2. Click the Filing tab**
- 3. Click Company Access**

You are currently:  
★ State  
Company Access

## Company Access

Companies listed below have been granted access to your record. Access allows a company to view your record, submit a filing to a regulatory agency, create an employment relationship, and sponsor specific licenses. Any submission to a regulatory agency requires your review and attestation.

### Current Company Access

Company ID	Company Name	Company Main Address
<input type="checkbox"/> 14799	Rainy Days Mortgage	800 12th Avenue Worcester, South Carolina 58692
<input type="checkbox"/> 26152	Mortgage Associates, Inc.	22 Hampton Avenue Jamestown, Pennsylvania 78956

To grant a company access, click **Add** to search for the company. To remove access, select the checkbox(es) above and click **Remove**.

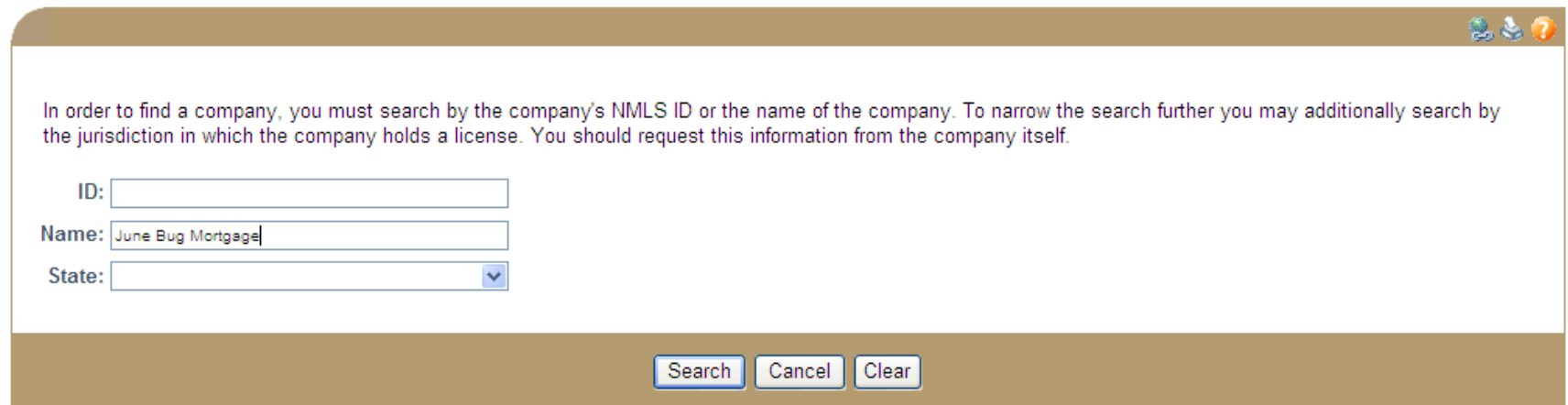
NOTE: You cannot remove a company's access to your record if you have an employment relationship with the company. To terminate an employment relationship with a company and remove access, select **Company Relationships** above. You will also need to update your Employment History by submitting an amended individual filing when employment is changing. See the [Employment Changes Quick Guide](#) for help with this process.

Add Remove

©2012 SRR | All Rights Reserved | SRR is a trademark of the State Regulatory Registry | [Privacy Policy](#) | [Download PDF Reader](#)  
For additional information, please visit the [NMLS Resource Center](#) | For help with navigation please contact the NMLS Call Center at (240) 388-4444.

Click Add.

## Company Access



The screenshot shows a web application window titled "Company Access". Inside the window, there is a search form with three fields: "ID:", "Name:", and "State:". The "Name:" field contains the text "June Bug Mortgage". Below the fields are three buttons: "Search", "Cancel", and "Clear". Above the fields, there is a paragraph of instructions. In the top right corner of the window, there are three small icons: a globe, a person, and a question mark.

In order to find a company, you must search by the company's NMLS ID or the name of the company. To narrow the search further you may additionally search by the jurisdiction in which the company holds a license. You should request this information from the company itself.

ID:

Name:

State:

©2009 SRR | All Rights Reserved | Use is governed by the Applicant/Licensee [Terms of Use](#) and the State Agency [Terms of Use](#) | [Privacy Policy](#)  
SRR is a trademark of the State Regulatory Registry | For assistance contact the NMLS Call Center at (240) 388-4444.

**Obtain the NMLS ID from your company. Enter the company NMLS ID number or the company exact name and click Search.**

You are currently:

★ State ▼

Company Access

## Company Access

From the search results below, select the company you wish to grant access to and click **Save**.

	NMLS ID	Name	Matched Name	Street	City	State	Country	Postal Code
<input checked="" type="checkbox"/>	8189	General Mortgage Co.	General Mortgage Co.	1234 Market Street	South	North Carolina	United States	58552

[Save](#) [New Search](#) [Cancel](#)

©2012 SRR | All Rights Reserved | SRR is a trademark of the State Regulatory Registry | [Privacy Policy](#) | [Download PDF Reader](#)  
For additional information, please visit the [NMLS Resource Center](#) | For help with navigation please contact the NMLS Call Center at (240) 388-4444.

**Check the box next to the company or branch you are associated with and click Save.**

You are currently:

★ State

Company Access

## Company Access

Companies listed below have been granted access to your record. Access allows a company to view your record, submit a filing to a regulatory agency, create an employment relationship, and sponsor specific licenses. Any submission to a regulatory agency requires your review and attestation.

### Current Company Access

	Company ID	Company Name	Company Main Address
<input type="checkbox"/>	14799	Rainy Days Mortgage	800 12th Avenue Worcester, South Carolina 58692
<input type="checkbox"/>	26152	Mortgage Associates, Inc.	22 Hampton Avenue Jamestown, Pennsylvania 78956

To grant a company access, click **Add** to search for the company. To remove access, select the checkbox(es) above and click **Remove**.

NOTE: You cannot remove a company's access to your record if you have an employment relationship with the company. To terminate an employment relationship with a company and remove access, select **Company Relationships** above. You will also need to update your Employment History by submitting an amended individual filing when employment is changing. See the [Employment Changes Quick Guide](#) for help with this process.

©2012 SRR | All Rights Reserved | SRR is a trademark of the State Regulatory Registry | [Privacy Policy](#) | [Download PDF Reader](#)  
For additional information, please visit the [NMLS Resource Center](#) | For help with navigation please contact the NMLS Call Center at (240) 388-4444.

**The company selected now has access to your NMLS record.**

**Notify your company that access has been granted so they can sponsor your license.**



# **Viewing your Status in NMLS**

NMLS

Logged in as AlvaradJ [Logout](#) [edit](#) [Resource Center](#)

[HOME](#) [FILING](#) [MLO TESTING & EDUCATION](#) [COMPOSITE VIEW](#) [RENEWALS](#)

[View Individual](#)

You are currently: [State](#) [View Home](#)

### View - Home

#### VIEWING INDIVIDUAL RECORDS

The record of an individual (registrant/licensee, control person, qualifying individual or branch manager) can be viewed here. You may view your own record (including historical filings), the status of license/registration requests made through NMLS, state-specific requirements placed on your record by the regulators, and information regarding company relationships and MU2 associations. You also can use this tab to view any required testing and education compliance results, the status of criminal background check and credit requests, and any regulatory actions associated with your record.

To view your record, select **View Individual** above.

To edit your record, select the **FILING** tab above.

NOTE: Any company to whom you have granted access to your record can also view the above items.

Individuals are fully accountable for information contained in their record in NMLS each time they attest to a filing.

**To check your license, test, CBC, and education status:**

- 1. Click the Composite View tab**
- 2. Click View Individual**



[View Individual](#)

You are currently:

★ State

[View Individual](#)

[View Personal Information](#)

[View License/Registration List](#)

[View Relationships](#)

[View MU2 Associations](#)

[View Renewals Attestation History](#)

[View Historical Filings](#)

[View Education Record](#)

[View Testing Information](#)

[View Criminal Background Check Requests](#)

[View Regulatory Actions and T&E Investigations](#)

[View Individual Snapshot](#)

## View License/Registration List

John Alvarado (44617)

[???](#) [HELP](#)

License Number	License Name	Status	Status Date	Original License Date	License Items	Adverse Status	Current Renewal Status	Renewed Through Year
CA-BRE: B20201	<a href="#">California - BRE Real Estate Broker License</a>	Terminated - Failed to Renew	12/9/2013	2/28/2011	<a href="#">2</a>	No	Renewal Requested	
CA-BRE: I1230012	<a href="#">California - BRE Real Estate Salesperson License</a>	Terminated - Failed to Renew	12/9/2013	1/20/2011	<a href="#">2</a>	No	Renewal Requested	
FL:400512	<a href="#">Florida Mortgage Loan Originator License</a>	Approved	1/23/2014	1/20/2011	<a href="#">0</a>	No	Failed To Renew	2014
	<a href="#">Washington Loan Originator License</a>	Pending - Incomplete	12/17/2013		<a href="#">0</a>	No		



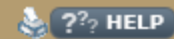
**Click View License/Registration List on the left navigation panel.**

**Filings you have submitted will appear with the current status.**

**Click on the link under License Items to see Requirements or Deficiencies noted by the Regulator.**

**A Pending-Incomplete Status will appear for all new applicants and a Transition Requested Status will appear for those transitioning until the regulator changes the status during the review process.**

## License Item Information



License Name Alabama Mortgage Loan Originator License

Individual ID 1234

### Active License Items

License Item Type	Title	Created Date	Created By	Updated Date	Updated By	External Note
<a href="#">Sponsorship</a>	Sponsorship	8/8/2013	AndersoD	8/8/2013	-	Applicant must be sponsored by a company.

### Inactive License Items

License Item Type	Title	Created Date	Created By	Cleared Date	Cleared By	External Note
<a href="#">ACH Payment</a>	Funds Pending - Filing	2/26/2013	System	3/6/2013	System	

**The regulator will indicate items needed to resolve Deficiencies.**

**A common Deficiency is Company Sponsorship. Once you have submitted your MU4 filing, alert your company so they can create a sponsorship request.**

View Individual

View Personal Information

View License/Registration List

View Relationships

View MU2 Associations

View Renewals Attestation History

View Historical Filings

View Education Record

View Testing Information


View Criminal Background Check Requests

View Regulatory Actions

View Individual Snapshot

View Individual Information

Tallie Schmidt (37082)

 ?? HELP

The Work Email Address will be used by a Regulator to contact you and can be updated by submitting a form filing. The Personal Email Address will be used to send system notifications and can be updated in the User Profile Page.

**Name:** Tallie Schmidt

**Social Security Number:** xxx-xx-2815

**Individual ID:** 37082

**Mailing Address:** 123 Main  
Hunter, Pennsylvania 15869

**Business Phone Number:** 555-555-5555

**Extension:**

**Work Email Address (for regulatory contact):** test@testfake.com

**Personal Email Address (for system notifications):** test@test.com [Update User Profile](#)

**US Citizen:** Yes

**Viewable Regulatory Actions:** Yes

Select “View Education Record” from the left hand navigation panel to determine PE and CE compliance details.

View Individual

View Personal Information

View License/Registration List

View Relationships

View MU2 Associations

View Renewals Attestation History

View Historical Filings

View Education Record

▶

View Testing Information

View Criminal Background Check Requests

View Regulatory Actions

View Individual Snapshot

View Testing Information

Tallie Schmidt (37082)

???

HELP

Official Test Results

Below is a list of official test results for each unique national and/or state test the Individual has taken. The Result Changed flag, if applicable, indicates the result (Pass/Fail) for the corresponding test has been changed due to a rescoring of the test.

Click the Test Name link to view all results for the selected test.

No Official Test Results were found.

If the Uniform State Test is taken, the score is combined with the National Test score and the final result and score will be presented as the SAFE Mortgage Loan Originator Test – National Component with Uniform State Content above.

[View Failed and Expired Test Results Replaced by Uniform State Test Results](#)

Open Enrollment Windows

Below is a list of open enrollment windows for the Individual. Additional tests can be selected and paid for under the [MLO Testing & Education](#) tab. See the [MLO Testing Handbook](#) for more information on Open Enrollment Windows.

Test Name	Window Begin Date	Window End Date	Status	Last Updated	Created By Entity ID
SAFE Mortgage Loan Originator Test - National Component with Uniform State Content	2/4/2014	2/6/2014	Open	2/4/2014 1:45:45 PM	37082

[View Closed Enrollment Windows](#)

Select “View Testing Information” from the left hand navigation panel to see open test enrollment windows and test results.

You are currently:

★ State

View Individual

View Personal Information

View License/Registration List

View Relationships

View MU2 Associations

View Renewals Attestation History

View Historical Filings

View Education Information

View Testing Information

View Criminal Background Check Requests

View Regulatory Actions

View Individual Snapshot

View Criminal Background Check Requests

Vallarie Bloom (14932)

1

A criminal background check request is pending until fingerprints have been submitted. [Schedule your fingerprinting appointment.](#)

Criminal Background Check Requests

Status	Reason Closed	Status Date	Method	Fingerprints Taken Date
<a href="#">Pending Fingerprint</a>		1/28/2010	Fingerprint	

©2012 SRR | All Rights Reserved | SRR is a trademark of the State Regulatory Registry | [Privacy Policy](#) | [Download PDF Reader](#)  
For additional information, please visit the [NMLS Resource Center](#) | For help with navigation please contact the NMLS Call Center at (240) 388-4444.

**Select “View Criminal Background Check Requests” from the left hand navigation panel to view pending fingerprint requests and closed requests.**

# Final Notes

---



1. Monitor your license status until Approval is confirmed.
2. Keep your record up to date.  
Amendments to your record require a new filing to your form.
3. Additional Quick Guides can be found on the NMLS Resource Center.
4. For additional assistance, contact the NMLS Call Center at 1-855-NMLS-123 (1-855-665-7123).