



Federal Registry Information Viewable in NMLS Consumer Access

Institution and Mortgage Loan Originator information is viewable in NMLS Consumer Access if the institution or individual:

- (1) currently holds an active registration; or
- (2) held an active registration within the past five (5) years.

In addition, state regulators may post state regulatory action information to Institution and Mortgage Loan Originator records in NMLS. Public state regulatory action information is viewable in Consumer Access for Institutions and Mortgage Loan Originators named as respondents to the action. Limited Identifying Information also is viewable for Institutions and Individuals not in Consumer Access based on registration status (see above) but named as respondents to a public state regulatory action posted in NMLS. Mortgage Loan Originators and other individuals with state regulatory action information posted to their record will remain viewable in NMLS indefinitely, regardless of registration status.

Use the Links below to access details regarding the data fields and registration information viewable in NMLS Consumer Access.

[Form MU1R Data](#)

[Form MU4R Data](#)

[Consumer Access Document](#)

[The Information about NMLS Consumer Access document provides detail on Consumer Access and how individuals may request suppression of their information on Consumer Access.](#)

Personal Identifying Information (e.g. Date of Birth, Social Security Number, Residential Address, and e-mail address) and Criminal Background History are not viewable in NMLS Consumer Access.



Form MU1R Data

Identifying Information

Entity Name*

Main Address (Number & Street, City, State, Country/Province, and Postal Code)

Mailing Address (PO Box or Number & Street, City, State, Country/Province, and Postal Code)

Business Phone

Fax Line

E-mail Address

Registration Information

Institution registration information is considered to be Publicly Viewable if the registration has been in an “Active” status within the past five (5) years.

The following information related to a Publicly Viewable registration is displayed:

Primary Federal Regulator (*Federal Agency primarily responsible for regulating the Institution*)

Status (*Current registration status: Active or Inactive*)



Form MU4R Data

Personal Identifying Information (e.g. Date of Birth, Social Security Number, Residential Address, and e-mail address), and Criminal Background History are not viewable in NMLS Consumer Access. Certain Disclosure Question and Disciplinary Action information is viewable in Consumer Access as of March 2013.

Identifying Information

Full Name*

Business Phone

Extension

Fax Line

Other Names

Other names of the individual including First, Middle, Last and Suffix* (e.g. nicknames, aliases, maiden name)

Employment History List/Details (Unlinked Employments)

Employer (company name)

From (MM/YYYY)

To (MM/YYYY) – for past employment records

Address (City, State, Country/Province, and Postal Code only)

Is the employment financial services-related? (Yes/No)

Employment History List/Details (Linked Employments)

Employer NMLS ID

Employer Name (legal name from Employer base record)

From (MM/DD/YYYY)

To (MM/DD/YYYY) – for terminated records

Employer (entered name)

Work Location (Address, City, State, Country/Province and Postal Code)

Is the employment financial services-related? (Yes/No)

Active Linked Employments are displayed as 'Authorized to Represent' and Terminated Employments are displayed as 'Previously Authorized to Represent' on the Individual Details Page.

The following Work Location information is displayed for each Publicly Viewable Licenses held by an Individual: *(Only Work Locations associated to Active Linked Employments are displayed in the 'Office Location' section of the Individual Details Page.)*

Employer (entered name)

Work Location (Address, City, State, Country/Province and Postal Code)

Employment Start Date

(continued on next page)

**Disciplinary Action Information**

(Only displays if the Individual has responded “Yes” to any disclosure question and provided additional Disciplinary Action information.)

Action Type

Authority Type

Name of Authority (if required)

Date of Action (MM/DD/YYYY)

Disciplinary Action Detail (if the individual provided any detail)

Applicable Question

Supporting File

Federal MLO Registration Information

Registration information is considered to be Publicly Viewable if the registration has been in an “Active” status within the past five (5) years.

The following information related to a Publicly Viewable registration is displayed:

Registration Name

Status *(Current registration status: Active or Inactive)*

Authorized to Conduct Business Flag *(Active Status = yes; Inactive Status = no)*