

Change Log

Date	Change
2021-01-22	<ul style="list-style-type: none"> The length of Company StockSymbol is changing from 4 characters to 20 characters.
2019-08-07	<ul style="list-style-type: none"> Added TemporaryAuthorityBeginDate column to IndividualLicenseHistory Added Website labels to IndividualLicenseHistory, CompanyLicenseHistory and BranchLicenseHistory
2017-12-15	<ul style="list-style-type: none"> Added NameType of <i>priorinstitutionlegal</i> to the <i>CompanyTradeName</i> file. Changed Data Type to String(21).
2015-01-26	<ul style="list-style-type: none"> Added <i>IndividualAgencyRegistration</i> file which contains details of all registrations connected to an individual. This file renders obsolete the file <i>IndividualRegistration</i> Added <i>IndividualRegistrationHistory</i> containing historical detail on federal registrations.
2014-10-06	<ul style="list-style-type: none"> Added three new files with historical detail on state licenses. <ul style="list-style-type: none"> <i>BranchLicenseHistory</i> <i>CompanyLicenseHistory</i> <i>IndividualLicenseHistory</i>
2014-05-12	<ul style="list-style-type: none"> Added <i>BranchManagerStates</i> file. Added new field to <i>BranchManager</i> to link to <i>BranchManagerStates</i> to allow for additional detail for different branch managers overseeing different industries, by state. Added <i>CompanyWebsites</i> file.
2014-03-31	<ul style="list-style-type: none"> Added <i>BranchManager</i> file.

Files

Descriptions are written with reference to the NMLS Consumer Access website. Please refer to help text on that website for any further clarification needed.

Branch

Note: Federally regulated entities do not register their branches.

Column Name	Data Type	Description	Website Label
BranchNMLSID	Integer	NMLS ID of the Branch.	NMLS ID
CompanyNMLSID	Integer	NMLS ID of the company to which the branch belongs.	NMLS ID
Name	String(300)	Name of the branch.	
Street	String(50)	Line one of the branch's street address.	Street Address
Street2	String(50)	Line two of the branch's street address.	Street Address
City	String(50)	City of the branch's street address.	Street Address
State	String(20)	State of the branch's street address.	Street Address
PostalCode	String(16)	Postal code of the branch's street address.	Street Address
Country	String(50)	Country of the branch's street address. Note that Consumer Access only displays the country value for addresses outside the USA.	Street Address
IsAuthorized	String(3)	'Yes' denotes that the branch holds at least one license that is valid for conducting business; 'No' denotes that the branch does NOT hold any licenses that are valid for conducting business.	Authorized to Conduct Business

BranchLicense

Contains the branch's state licenses.

Column Name	Data Type	Description	Website Label
BranchNMLSID	Integer	NMLS ID of the Branch.	NMLS ID
Regulator	String(500)	Regulating state or territory that issued the license.	Regulator
LicenseID	Integer	Unique identifier for the license.	
LicenseNumber	String(50)	License number assigned by the regulator.	Lic/Reg #
LicenseType	String(500)	The name of the license.	Lic/Reg Name
IssueDate	Date	Date on which the license was first approved.	Original Issue Date
Status	String(500)	Current status of the license.	Status
StatusDate	Date	Effective date of the current status of the license.	Status Date
IsAuthorized	String(3)	"Yes" or "No" designation of whether the license authorizes the branch to do business.	Authorized To Conduct Business
RenewedThrough	String(4)	Licenses are renewed annually in November or December. Upon completion of renewal this field will be updated to show that the license has been renewed for the next year. The field will show "None" for non-approved licenses.	Renewed Through

BranchLicenseHistory

Contains the history of a branch license in terms of when the licensee was, or was not, authorized to do business under that license.

Column Name	Data Type	Description	Website Label
LicenseID	Integer	Unique identifier for the license.	
StartDate	Date	First day of the historical period for the value of IsAuthorized.	Start
EndDate	Date	Last day of the historical period for the value of IsAuthorized. This date will be null for the most recent history record for each license, which represents the current authorization status for the license.	End
IsAuthorized	String(3)	"Yes" or "No" designation of whether the license authorized the branch to do business during the historical period (StartDate through EndDate).	Authorized to Conduct Business

BranchTradeName

Contains branch trade names for state licenses.

Column Name	Data Type	Description	Website Label
BranchNMLSID	Integer	NMLS ID of the Branch.	NMLS ID
Regulator	String(500)	The regulating state or territory in which the other trade name is used.	Regulator
OtherTradeName	String(150)	Current and Prior other trade name used by the branch in the specified jurisdiction.	Other Trade Names used in [regulator]; Prior Other Trade Names
NameType	String(10)	Returns the following based on the name type represented:	

		<ul style="list-style-type: none"> • “other” for “Other Trade Names” • “priorother” for “Prior Other Trade Names” 	
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BranchManager

Contains branch manager information. If a branch manager oversees multiple industries, the file contains one row for each industry.

Column Name	Data Type	Description	Website Label
BranchManagerIndustryID	Integer	Unique identifier for each branch manager per industry.	
BranchNMLSID	Integer	NMLS ID of the Branch.	NMLS ID
IndividualNMLSID	Integer	NMLS ID of the individual. The individual may or may not hold an Individual license.	NMLS ID
BranchManagerName	String(150)	Name of Branch Manager.	Branch Manager(s)
BranchManagerIndustry	String(150)	Industry which the branch manager oversees.	Industry

BranchManagerStates

Contains the states associated to the branch manager and industry combination. The file contains one row per state.

Column Name	Data Type	Description	Website Label
BranchManagerIndustryID	Integer	Unique identifier for each branch manager per industry.	
BranchManagerIndustryState	String(50)	State that the branch manager oversees for the particular industry.	Industry

Company

Includes state and federally regulated companies. If a company is federally regulated the “PrimaryFederalRegulator” and “RegistrationStatus” fields will be populated. If a company is state regulated, the company will have records in the CompanyLicense table. It is also possible for a company to be dually regulated.

Column Name	Data Type	Description	Website Label
CompanyNMLSID	Integer	NMLS ID of the Company.	NMLS ID
Name	String(150)	The company’s legal name.	
Street	String(50)	Line one of the company’s street address.	Street Address
Street2	String(50)	Line two of the company’s street address.	Street Address
City	String(50)	City of the company’s street address.	Street Address
State	String(20)	State of the company’s street address.	Street Address
PostalCode	String(16)	Postal code of the company’s street address.	Street Address
Country	String(50)	Country of the company’s street address. Note that Consumer Access only displays the country value for addresses outside the USA.	Street Address
PrimaryFederalRegulator	String(500)	Federal Agency which regulates the federally regulated company.	Primary Federal Regulator
RegistrationStatus	String(20)	Status of the company’s federal registration (Active or Inactive).	Status
BusinessStructure	String(50)	The organizational type of the company (Corporation, Sole Proprietorship, etc.). The field will be NULL for federally registered institutions that have not held a state license.	Business Structure

FiscalYearEnd	String(5)	Month and day (MM/DD) of the company's fiscal year as specified by the company. The field will be NULL for federally registered institutions that have not held a state license.	Fiscal Year End
FormedIn	String(50)	State and country in which the corporation was formed. For example 'Virginia, United States'. The field will be NULL for federally registered institutions that have not held a state license. Sole proprietorships will have a value of "Not provided".	Formed in
DateFormed	Date	Date of formation of the company. The field will be NULL for federally registered institutions that have not held a state license, and for Sole proprietorships.	Date Formed
StockSymbol	String(4) String(20)	Corporate stock symbol. The field will be NULL for federally registered institutions that have not held a state license. The field will be "None" for state licensees without a stock symbol.	Stock Symbol

CompanyLicense

Contains the company's state-regulated licenses.

Column Name	Data Type	Description	Website Label
CompanyNMLSID	Integer	NMLS ID of the Company.	NMLS ID
Regulator	String(500)	Regulating state or territory that issued the license.	Regulator
LicenseID	Integer	Unique identifier for the license.	
LicenseNumber	String(50)	License number assigned by the regulator.	Lic/Reg #
LicenseType	String(500)	The name of the license.	Lic/Reg # Name
IssueDate	Date	Date on which the license was first approved.	Original Issue Date
Status	String(500)	Current status of the license.	Status
StatusDate	Date	Effective date of the current status of the license.	Status Date
IsAuthorized	String(3)	"Yes" or "No" designation of whether the license authorizes the company to do business.	Authorized To Conduct Business
RenewedThrough	String(4)	Licenses are renewed annually in November or December. Upon completion of renewal this field will be updated to show that the license has been renewed for the next year. The field will show "None" for non-approved licenses.	Renewed Through

CompanyLicenseHistory

Contains the history of a company license in terms of when the licensee was, or was not, authorized to do business under that license.

Column Name	Data Type	Description	Website Label
LicenseID	Integer	Unique identifier for the license.	
StartDate	Date	First day of the historical period for the value of IsAuthorized.	Start
EndDate	Date	Last day of the historical period for the value of IsAuthorized. This date will be null for the most recent history record for each license, which represents the current authorization status for the license.	End
IsAuthorized	String(3)	"Yes" or "No" designation of whether the license authorized the company to do business during the historical period (StartDate through EndDate).	Authorized to Conduct Business

CompanyTradeName

Contains company trade names for state licensees and federal institutions.

Column Name	Data Type	Description	Website Label
CompanyNMLSID	Integer	NMLS ID of the company.	NMLS ID
Regulator	String(500)	The regulating state or territory in which the other trade name is used.	Regulator
OtherTradeName	String(150)	Prior Legal Name, Other trade name or Prior Other Trade Name used by the company in the specified jurisdiction. (Note that Prior Legal Names are not jurisdiction specific.) For federal institutions, only Prior Legal Names are included, and are not jurisdiction specific.	Prior Legal Names; Other Trade Names used in [regulator]; Prior Other Trade Names
NameType	String(21)	Returns the following based on the name type represented: <ul style="list-style-type: none">• “other” for “Other Trade Names”• “priorlegal” for “Prior Legal Names”• “priorother” for “Prior Other Trade Names”• “priorinstitutionlegal” for “Prior Legal Names” (federal institutions only)	

CompanyWebsites

Includes the websites of the state-regulated companies. A company could have more than website; therefore, one record will exist per website.

Column Name	Data Type	Description	Website Label
CompanyNMLSID	Integer	NMLS ID of the Company.	NMLS ID
Website	String(3950)	The website of the state regulated company.	Websites

IndividualDisciplinaryActions

Individuals who hold a Federal Registration are required to self report disciplinary actions taken against them. These self-reported actions may or may not have a corresponding action reported by a state regulator in the RegulatoryActions file.

Column Name	Data Type	Description	Website Label
IndividualNMLSID	Integer	NMLS ID of the Individual.	
AuthorityType	String(500)	Authority Type is a description of the legal authority that took or issued the disciplinary action being disclosed.	Authority Type
ActionType	String(500)	Action Type is a general description of the disciplinary action being disclosed.	Action Type
DateOfAction	Date	Date the action was taken by the authority.	Date of Action
NameOfAuthority	String(500)	Name of the authority.	Name of Authority
DisciplinaryActionDetail	String(4000)	Detail text provided by the individual.	Self-reported Disciplinary Action Detail
AssociatedDocumentURL	String(200)	URL for any documentation posted on NMLS	Supporting File

		Consumer Access related to this action.	
StateActionID	Integer	When there is a linked regulatory action, this field references the record using the corresponding value for StateActionID in the RegulatoryActions file. If no related regulatory action record exists, the field will be null.	n/a

Individual

Column Name	Data Type	Description	Website Label
IndividualNMLSID	Integer	NMLS ID of the individual.	NMLS ID
FirstName	String(50)	First name of the individual.	
MiddleName	String(50)	Middle name of the individual.	
LastName	String(50)	Last name of the individual.	
Suffix	String(10)	Suffix of the individual.	

IndividualAgencyRegistration

Contains each registration belonging to a federally registered individual that is authorized to conduct business or has been authorized in the past five years. Note that this data represents the underlying registrations used to derive the singular Individual Registration displayed in Consumer Access (provided in **IndividualRegistration**).

Column Name	Data Type	Description	Website Label
IndividualNMLSID	Integer	NMLS ID of the individual.	
RegistrationID	Integer	Unique identifier for the registration	
PrimaryFederalRegulator	String(500)	Federal Agency regulating the individual.	
Status	String(10)	Status of the individual's federal registration (Active or Inactive).	
StatusDate	Date	Date of the latest status change for the Registration	

IndividualLicense

Contains the individual's state-regulated licenses.

Column Name	Data Type	Description	Website Label
IndividualNMLSID	Integer	NMLS ID of the individual.	NMLS ID
Regulator	String(500)	Regulating state or territory that issued the license.	Regulator
LicenseID	Integer	Unique identifier for the license.	
LicenseNumber	String(50)	License number assigned by the regulator.	Lic/Reg #
LicenseType	String(500)	The name of the license.	Lic/Reg Name
IssueDate	Date	Date on which the license was first approved.	Original Issue Date
Status	String(500)	Current status of the license.	Status
StatusDate	Date	Effective date of the current status of the license.	Status Date
IsAuthorized	String(3)	"Yes" or "No" designation of whether the license authorizes the individual to do business.	Authorized To Conduct Business
RenewedThrough	String(4)	Licenses are renewed annually in November or December. Upon completion of renewal this field will be updated to show that the license has been renewed for the next year. The field will show "None" for non-approved licenses.	Renewed Through

IndividualLicenseHistory

Contains the history of an individual license in terms of when the licensee was, or was not, authorized to do business under that license.

Column Name	Data Type	Description	Website Label
LicenseID	Integer	Unique identifier for the license.	
StartDate	Date	First day of the historical period for the value of IsAuthorized.	Start
EndDate	Date	Last day of the historical period for the value of IsAuthorized. This date will be null for the most recent history record for each license, which represents the current authorization status for the license.	End
IsAuthorized	String(3)	"Yes" or "No" designation of whether the license authorized the individual to do business during the historical period (StartDate through EndDate).	
TemporaryAuthorityBeginDate	Date	This field is populated with the license status date (not the historical date) corresponding to the first time the individual license attains Temporary Authority. An individual can only attain Temporary Authority once in the life of the license therefore this field will never be populated for more than one row per license. If the license becomes authorized apart from Temporary Authority then the field will not be populated for that license.	Temporary Authority Begin Date

IndividualLocation

Office Locations for the individual. These represent either a company or branch address for state-regulated companies or an employment address with federally regulated companies. For federally regulated companies, the name and address are taken from employment information submitted by the individual. For state-regulated companies and branches, the information is taken directly from the company or branch record. It is possible that the company or branch referenced here is not available in the Company or Branch files (and detail information does not exist in the NMLS Consumer Access website).

Column Name	Data Type	Description	Website Label
IndividualNMLSID	Integer	NMLS ID of the individual.	NMLS ID
CompanyName	String(150)	The legal name of the company to which the location belongs.	Company
LocationNMLSID	Integer	Company or Branch NMLS ID of the location.	NMLS ID
LocationType	String(6)	Either "Branch", "Main" or "Work". When "Main" the LocationNMLSID refers to a company. When "Branch" the LocationNMLSID refers to a Branch. When "Work", the LocationNMLSID refers to the employing (federally regulated) institution. However, the address is not the corporate address of the institution. It is the work address found on the individual's record.	Type
Street	String(101)	Line one and line two of the location's street address separated by a space.	Street Address
City	String(50)	City of the location's street address.	Street Address
State	String(20)	State of the location's street address.	Street Address
PostalCode	String(16)	Postal code of the location's street address.	Street Address

Country	String(50)	Country of the location's street address. Note that Consumer Access only displays the country value for addresses outside the USA.	Street Address
StartDate	Date	The date on which the individual first worked out of the location.	Start Date

IndividualOtherNames

Contains all other names for the individual other than their current legal name.

Column Name	Data Type	Description	Website Label
IndividualNMLSID	Integer	NMLS ID of the individual.	NMLS ID
FirstName	String(50)	First name of the individual.	Other Names
MiddleName	String(50)	Middle name of the individual.	Other Names
LastName	String(50)	Last name of the individual.	Other Names
Suffix	String(10)	Suffix of the individual.	Other Names
NameType	String(10)	Return the following based on the name type represented: <ul style="list-style-type: none"> • "other" for "Other Names" • "priorother" for "Prior Other Names" • "priorlegal" for "Prior Legal Names" 	

IndividualRegistration

Note that this data is a "rollup" and returns one, and only one, record for any individual who has at some point held an active federal registration. If at least one of the individual's registrations is Active the status is returned as "Active", otherwise returned as "Inactive". This data corresponds to the manner in which registrations are shown in Consumer Access. Agency-specific registration records from which this data is derived are provided separately in IndividualAgencyRegistration.

Column Name	Data Type	Description	Website Label
IndividualNMLSID	Integer	NMLS ID of the individual.	NMLS ID
RegistrationName	String(60)	Always returned as "Federal Mortgage Loan Originator-Registration"	Registration Name
Status	String(10)	Status of the individual's federal registration (Active or Inactive).	Status
AuthorizedToConductBusiness	String(5)	"Yes" or "No" designation of whether the federal registration authorizes the individual to conduct business.	Authorized to Conduct Business

IndividualRegistrationDetail

Contains the records of federally registered companies that the individual is currently or was previously authorized to represent.

Column Name	Data Type	Description	Website Label
IndividualNMLSID	Integer	NMLS ID of the individual.	NMLS ID
EmployerName	String(150)	The name of the federally regulated company as entered by the individual in their employment history on their MU4R.	Company
InstitutionName	String(150)	The legal name of the federally regulated company.	Company Legal Name
InstitutionNMLSID	Integer	The NMLS ID of the federally regulated company.	NMLS ID

PrimaryFederalRegulator	String(500)	Federal Agency which regulates the company.	Primary Federal Regulator
StartDate	Date	The date that the individual was first authorized to represent this company.	Start Date
EndDate	Date	The date that the individual was last authorized to represent this company. When EndDate is null the record contains a company that the individual is currently authorized to represent. When EndDate is populated the record contains a company that the individual was previously authorized to represent.	End Date

IndividualRegistrationHistory

Contains the history of all individual registrations contained in *IndividualAgencyRegistration* in terms of when the individual was or was not authorized to do business under that registration.

Column Name	Data Type	Description	Website Label
RegistrationID	Integer	Unique identifier for the registration that corresponds to an IndividualAgencyRegistration.	
PrimaryFederalRegulator	String(500)	Federal Agency regulating the individual.	Agency
StartDate	Date	First day of the historical period for the value of IsAuthorized.	Start
EndDate	Date	Last day of the historical period for the value of IsAuthorized. This date will be null for the most recent history record for each registration, which represents the current authorization status for the registration.	End
IsAuthorized	String(3)	"Yes" or "No" designation of whether the registration authorized the individual to do business during the historical period (StartDate through EndDate).	Authorized to Conduct Business

IndividualSponsorship

Information for state-regulated companies that the individual is currently or previously authorized to represent by the referenced license. It is possible that the company referenced here is not available in the Company file (and detail information does not exist in the NMLS Consumer Access website).

Column Name	Data Type	Description	Website Label
IndividualNMLSID	Integer	NMLS ID of the individual.	NMLS ID
CompanyNMLSID	Integer	NMLS ID of the company sponsoring the license.	NMLS ID
CompanyName	String(150)	Legal name of the company sponsoring the license.	Company
Regulator	String(500)	Regulating state or territory that issued the license being sponsored.	Regulator
LicenseID	Integer	Unique identifier for the license.	
LicenseType	String(500)	The name of the license.	Lic/Reg Name
StartDate	Date	The date on which the individual was first authorized to represent the company.	Start Date
EndDate	Date	The date on which the individual was last authorized to represent the company. If EndDate is empty, then the sponsorship is currently active.	End Date

RegulatoryActions

Contains administrative or enforcement actions taken by a state agency which they have posted in Consumer Access.

Column Name	Data Type	Description	Website Label
StateActionID	Integer	Primary key that may be referenced by a record in the IndividualDisciplinaryActions file.	n/a
NMLSID	Integer	NMLS ID of the entity (company, branch or individual)	NMLS ID
EntityType	String(20)	Identifies whether the entity is: COMPANY, BRANCH or INDIVIDUAL.	n/a
Regulator	String(500)	Regulating state or territory that posted the action.	Regulator
ActionType	String(70)	Category for the type of action taken by the agency.	Action Type
DateOfAction	Date	Date of the action.	Date of Action
MultiStateActionID	String(20)	If action was a collective action by multiple-states, this field provides an ID to tie it together with other posted actions. The field will show "N/A" if null.	Multi-state Action ID
DocketNumber	String(40)	Docket number for the agency.	Docket Number
AssociatedDocumentURL	String(100)	URL for any documentation posted on NMLS Consumer Access related to this action. If more than one document exists, multiple rows will be provided.	Associated Document(s)