COMMERCE DEPARTMENT

BIOGRAPHICAL STATEMENTS - Addendum to MU2

INSTRUCTIONS:

Company NMLS ID Number: _____

Company Name: _____

MU2 Individual Name: _____

MU2 NMLS ID Number: _____

Have you ever been convicted of a crime? **NO _____** **YES_____**

If yes, explain and give full history of charge, the year, place and final disposition:

Have you ever been found civilly liable for an offense involving moral turpitude, including forgery, embezzlement, obtaining money under false pretenses, larceny, extortion, conspiracy to defraud, or any other similar offense or violation, or any violation of a federal or state law or regulation in connection with activities relating to the rendition of debt management services or involving any consumer fraud, false advertising, deceptive trade practices, or similar consumer protection law?

NO _____ **YES _____**

If yes, explain and give full history of charge, the year, place and final disposition:

Have any judgments, private or public litigation, tax liens, written complaints, administrative actions, or investigations by any government agency against you, unresolved or otherwise, filed or otherwise commenced within the preceding ten years? **NO _____** **YES _____**

If yes, explain and give full history of the action, the year, place, agency, and final disposition:

Do you have a record of having defaulted in the payment of money collected for others, including the discharge of debts through bankruptcy proceedings? **NO _____** **YES _____**

If yes, explain:

Are you now serving or have you ever served in the following capacities? **NO _____ YES _____**

If yes, give full details, include dates services commenced and terminated: (If voluntary resignation, so state.)

	NO	YES	Service Start Date	Service End Date	Voluntary Resignation?	Details
a. Trustee:						
b. Guardian:						
c. Executor:						
d. Administrator:						
e. Similar fiduciary capacity:						

Any other matters you feel bear upon your character, experience and general fitness to engage in the industrial loan and thrift company business (for example civic and professional affiliations)?

TENNESSEN WARNING

(a) Purpose and Intended Use of the Data

The data you give us about yourself is needed to:

- Identify you;
- Enable us to contact you when required;
- Assist us in determining your qualifications and eligibility for the license you are applying for;
- Comply with certain federal and state reporting requirements; and
- Evaluate the administration and management of this licensing/registration program.

(b) Disclosure: Mandatory or Voluntary?

You are legally required to supply all of the data required on the application pursuant to Minnesota Statutes, section 332B.04, subdivision 1. In particular, you must provide your Minnesota business identification number pursuant to Minnesota Statutes, section 270C.72, subdivision 4.

(c) Consequences of Supplying or Refusing to Supply Requested Data

If you supply all of the requested data, your application will be processed. If you refuse to supply data requested on the application, your application will not be processed. Whatever information you do supply to the Department will be maintained by us, whether or not the application is approved.

(d) Others Authorized to Receive the Data

The information about you that is collected on the application will be classified as either public or private data. Public data will be accessible to the public. Private data about you will be accessible only to:

- You;
- State personnel who determine your eligibility for licensure;
- Employees of license database vendors;
- The Minnesota Department of Revenue (Minnesota Statutes, section 270C.72, subd. 4);
- The public authority responsible for child support in Minnesota (Minnesota Statutes, section 256.978);
- Any appropriate person(s) or agency, if the Commissioner of Commerce determines that failure to make the data accessible is likely to create a clear and present danger to public health or safety;
- Person(s) authorized by a court order; or
- Any other person authorized by state or federal law.