## **CHECKLIST SECTIONS**

- General Information
- Requirements Completed in NMLS

## **GENERAL INFORMATION**

## Instruction

- 1. File the surrender request through NMLS.
- 2. There is no fee to surrender.

# **Help Resources**

- Company License Surrender Requests Quick Guide
- License Status Review & Definitions Quick Guide

# **Agency Contact Information**

Contact Utah - DFI licensing staff by phone at 801 538 8830 or send your questions via e-mail to Paul Cline at pcline@utah.gov (801 538 8842) for additional assistance.

For U.S. Postal Service:

Utah Department of Financial Institutions PO Box 146800 Salt Lake City, UT 84114-6800 **For Overnight Delivery:** 

Utah Department of Financial Institutions 324 S. State Street #201 Salt Lake City, UT 84111

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

Updated: 9/1/2022 Page 1 of 2

REQUIREMENTS COMPLETED IN NMLS		
Complete	UT-DFI Commercial Financing Registration	Submitted via
	Submission of Surrender Request through Company Form (MU1): Request the surrender of the license through the submission of the Company Form (MU1). See the Company License Surrender Requests Quick Guide for instructions.	NMLS

Updated: 9/1/2022 Page 2 of 2