



Surrender Jurisdiction-Specific Requirements



RHODE ISLAND LOAN BROKER LICENSE

Instructions

1. Licensee must notify the RI Department of Business Regulation through the NMLS within 24 hours of terminating business under this license.
2. The licensee must return the original license to the *State of Rhode Island Division of Banking* within 5 business days of the electronic submission of your surrender request to the following:

For U.S. Postal Service/Overnight Delivery

*State of Rhode Island
 Department of Business Regulation
 Division of Banking
 1511 Pontiac Avenue, Bldg. 68-2
 Cranston, Rhode Island 02920*

NMLS Unique ID Number: _____

Licensee Legal Name: _____

Rhode Island License Number: _____

ATTACHED	NOT APPLICABLE	ITEM
<input type="checkbox"/>	<input type="checkbox"/>	LICENSE. Enclose the original license as issued by the State of Rhode Island Division of Banking. If the license has been lost or misplaced, provide an attestation to that affect.
<input type="checkbox"/>	<input type="checkbox"/>	FINAL ANNUAL REPORT. - The Final Annual Report is available online at www.dbr.ri.gov and must be filed no later than thirty (30) days of receipt by the <i>State of Rhode Island Division of Banking</i> of notice through the NMLS of the surrender of the license in order to avoid a delayed filing penalty of \$25.00 per day of delay. The Final Annual Report filing fee is \$55.00 and be paid directly to the Rhode Island Division of Banking and not through the NMLS.

WHO TO CONTACT – Contact Division of Banking licensing staff by phone at 401-462-9503 or send your questions via e-mail to bankinquiry@dbr.state.ri.us for additional assistance.

YOU ARE NOT AUTHORIZED TO ENGAGE IN MORTGAGE BROKERING OR MORTGAGE LENDING ACTIVITIES IN THE STATE OF RHODE ISLAND UNTIL YOU HAVE RECEIVED APPROVAL THROUGH THE NMLS AND HAVE RECEIVED A LICENSE CERTIFICATE FROM THE RHODE ISLAND DEPARTMENT OF BUSINESS REGULATION.