



Surrender Jurisdiction-Specific Requirements



RHODE ISLAND LOAN BROKER BRANCH CERTIFICATE

Instructions

1. Licensee must notify the RI Department of Business Regulation through the NMLS within 24 hours of terminating business under this license.
2. Any Surrender must also be reported on the applicable Form MU3.
3. The licensee must return the original license to the *State of Rhode Island Division of Banking* within 5 business days of the electronic submission of your surrender request to the following:

For U.S. Postal Service/Overnight Delivery

*State of Rhode Island
Department of Business Regulation
Division of Banking
1511 Pontiac Avenue, Bldg. 68-2
Cranston, Rhode Island 02920*

NMLS Unique ID Number: _____

Licensee Legal Name: _____

Rhode Island License Number: _____

| ATTACHED | NOT APPLICABLE | ITEM |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | LICENSE. Enclose the original license as issued by the State of Rhode Island Division of Banking. |

WHO TO CONTACT – Contact Division of Banking licensing staff by phone at 401-462-9503 or send your questions via e-mail to bankinquiry@dbr.state.ri.us for additional assistance.

YOU ARE NOT AUTHORIZED TO ENGAGE IN MORTGAGE BROKERING OR MORTGAGE LENDING ACTIVITIES IN THE STATE OF RHODE ISLAND UNTIL YOU HAVE RECEIVED APPROVAL THROUGH THE NMLS.AND HAVE RECEIVED A LICENSE CERTIFICATE FROM THE RHODE ISLAND DEPARTMENT OF BUSINESS REGULATION.