



# Surrender Jurisdiction-Specific Requirements



## RHODE ISLAND LENDER LICENSE

### Instructions

1. Licensee must notify the RI Department of Business Regulation through the NMLS within 24 hours of terminating business under this license.
2. The licensee must return the original license to the *State of Rhode Island Division of Banking* within 5 business days of the electronic submission of your surrender request to the following:

*For U.S. Postal Service/Overnight Delivery*

*State of Rhode Island  
Department of Business Regulation  
Division of Banking  
1511 Pontiac Avenue, Bldg. 68-2  
Cranston, Rhode Island 02920*

NMLS Unique ID Number: \_\_\_\_\_

Licensee Legal Name: \_\_\_\_\_

Rhode Island License Number: \_\_\_\_\_

ATTACHED	NOT APPLICABLE	ITEM
<input type="checkbox"/>	<input type="checkbox"/>	<b>LICENSE.</b> Enclose the original license as issued by the State of Rhode Island Division of Banking. If the license has been lost or misplaced, provide an attestation to that affect.
<input type="checkbox"/>	<input type="checkbox"/>	<b>FINAL ANNUAL REPORT.</b> - The Final Annual Report is available online at <a href="http://www.dbr.ri.gov">www.dbr.ri.gov</a> and must be filed no later than thirty (30) days of receipt by the <i>State of Rhode Island Division of Banking</i> of notice through the NMLS of the surrender of the license in order to avoid a delayed filing penalty of \$25.00 per day of delay. The Final Annual Report filing fee is \$55.00 and be paid directly to the Rhode Island Division of Banking and not through the NMLS.

**WHO TO CONTACT** – Contact Division of Banking licensing staff by phone at 401-462-9503 or send your questions via e-mail to [bankinquiry@dbr.state.ri.us](mailto:bankinquiry@dbr.state.ri.us) for additional assistance.

YOU ARE NOT AUTHORIZED TO ENGAGE IN MORTGAGE BROKERING OR MORTGAGE LENDING ACTIVITIES IN THE STATE OF RHODE ISLAND UNTIL YOU HAVE RECEIVED APPROVAL THROUGH THE NMLS.AND HAVE RECEIVED A LICENSE CERTIFICATE FROM THE RHODE ISLAND DEPARTMENT OF BUSINESS REGULATION.