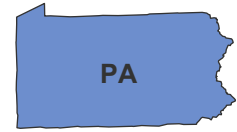




Surrender Request Jurisdiction-Specific Requirements



PENNSYLVANIA PARTIALLY EXEMPT MORTGAGE COMPANY REGISTRATION

Instructions

1. Registrant is required to notify the Pennsylvania Department of Banking within ten (10) days of terminating business under this registration. Notification needs to be made by surrendering the registration through Form MU1 in NMLS.
2. Registrant is required to update the Books and Records section of Form MU1 with the Records Custodian name and the physical location where the books and records will be maintained subsequent to surrender. If the Records Custodian or location changes after surrender, you must provide written notice of changes to the Pennsylvania Department of Banking. Books and records *must* be kept for four (4) years from the date of surrender.
3. Complete an Annual Report that covers Pennsylvania activities from January 1, of the current year, through the effective date of surrender. Annual Report Forms are located on the Pennsylvania Department of Banking website at: http://www.portal.state.pa.us/portal/server.pt/community/licensees/18274/mortgage_lenders_2_9/621975. Once the Annual Report is completed, send it to the Pennsylvania Department of Banking outside of NMLS along with the checklist.
4. Jurisdiction-specific requirements as identified on the checklist below need to be received with this checklist within 5 business days of the electronic submission of your surrender through the NMLS at the following:

For U.S. Postal Service:

*The Pennsylvania Department of Banking
Licensing Division
17 N 2nd Street
Ste 1300
Harrisburg, PA 17101-2290*

For Overnight Delivery:

*The Pennsylvania Department of Banking
Licensing Division
17 N 2nd Street
Ste 1300
Harrisburg, PA 17101-2290*

NMLS Unique ID Number: _____

Registrant's Legal Name: _____

ATTACHED	NOT APPLICABLE	ITEM
<input type="checkbox"/>	<input type="checkbox"/>	REASON FOR SURRENDER OF REGISTRATION. Return with this checklist a letter that provides the reason for registration surrender.
<input type="checkbox"/>	<input type="checkbox"/>	CONTACT AND BOOKS/ RECORDS INFORMATION. Verify that the information on Form MU1 for the Consumer Complaint Employee Information for Pennsylvania and the Books and Records section has been updated and is accurate.

WHO TO CONTACT – Contact the PA Department of Banking licensing staff by phone at (717) 787-3717 or send your questions via e-mail to ra-asklicensing@state.pa.us for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.