



Surrender
Jurisdiction-Specific Requirements



NORTH DAKOTA MONEY BROKER LICENSE

Instructions

1. Licensee must notify the North Dakota Department of Financial Institutions through the NMLS within 15 days of terminating business under this license.
2. North Dakota does not charge fees for surrender at this time.
3. A record of all sums collected and of all loans and leases completed must be maintained for a period of six years from the date of last entry thereon. The records may be maintained electronically provided they can be reproduced upon request by the Department of Financial Institutions.
4. The licensee must submit any documents required on the checklist below with this checklist within 5 business days of the electronic submission of your surrender through the NMLS at the following:

For U.S. Postal Service and Overnight Delivery send to:

ND Dept. of Financial Institutions
Consumer Division
2000 Schafer Street, Suite G
Bismarck, ND 58501

NMLS Unique ID Number: _____

Licensee Legal Name: _____

ATTACHED	NOT APPLICABLE	ITEM
<input type="checkbox"/>	<input type="checkbox"/>	LICENSE: Enclose the original license as issued by the North Dakota Department of Financial Institutions. If the license has been lost or misplaced, provide an attestation to that affect.
<input type="checkbox"/>	<input type="checkbox"/>	SURRENDER REASON: Return with this checklist a letter that provides the reason for license surrender.
<input type="checkbox"/>	<input type="checkbox"/>	NORTH DAKOTA MORTGAGE LOAN PIPELINE: Provide a detailed report concerning the status of any pending loan applications that were approved and not closed, or not funded and disbursed as of the license surrender date.

WHO TO CONTACT – Contact the Consumer Division licensing staff by phone at (701) 328-9933 or send your questions via e-mail to dfi@nd.gov for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.