



Surrender
Jurisdiction-Specific Requirements



INDIANA-SOS PRINCIPAL MANAGER LICENSE

NMLS Unique ID Number: _____

Licensee Legal Name: _____

Instructions

1. Licensee must notify the *Indiana Secretary of State* through the NMLS within 2 days of terminating business under the license by submitting a surrender request.

For U.S. Postal Service and Overnight Delivery:

*Indiana Secretary of State
Securities Division
302 W. Washington St.
Room E-111
Indianapolis, IN 46204*

WHO TO CONTACT – Contact *Indiana Secretary of State, Securities Division* licensing staff by phone at 317-232-6681 or send your questions via e-mail to nljones@sos.in.gov for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.