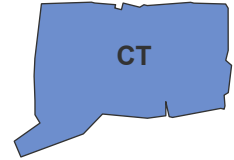




**Surrender**  
Jurisdiction-Specific Requirements



**CONNECTICUT MORTGAGE LENDER BRANCH LICENSE**

**Instructions**

1. Notification of a Branch Office closure/license surrender must be made through the NMLS within 15 days of the event.
2. Connecticut does not charge fees for either an office closure or license surrender.
3. The licenses of Loan Originators sponsored by a Mortgage Lender that has surrendered a Branch Office license or closed a Branch Office will be reclassified as inactive as of the office closure/license surrender date, unless transferred to a different licensed location.
4. Jurisdiction-specific requirements as identified on the checklist below must be received with this checklist within 5 business days of the electronic submission of your filing through the NMLS at the following address:

*Connecticut Department of Banking  
Consumer Credit Division  
260 Constitution Plaza  
Hartford, CT 06103*

NMLS Unique ID Number: \_\_\_\_\_

Applicant Legal Name: \_\_\_\_\_

ATTACHED	NOT APPLICABLE	ITEM
<input type="checkbox"/>	<input type="checkbox"/>	<b>MORTGAGE LOAN PIPELINE.</b> Provide a detailed report concerning the status of any pending loan applications including applications that were approved and not closed, or not funded and disbursed as of the license surrender date.
<input type="checkbox"/>	<input type="checkbox"/>	<b>OUTSTANDING FEES.</b> Submit payment for any outstanding compliance examination fees owed to the Connecticut Department of Banking. Checks to be made payable to: "Treasurer, State of Connecticut".

**WHO TO CONTACT** - Contact Consumer Credit Division licensing staff:

- Joseph Wallace at 860-240-8210 or [joseph.wallace@ct.gov](mailto:joseph.wallace@ct.gov) or
- Beata Zuber at 860-240-8278 or [beata.zuber@ct.gov](mailto:beata.zuber@ct.gov)

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.