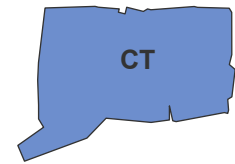




Surrender
Jurisdiction-Specific Requirements



CONNECTICUT MORTGAGE CORRESPONDENT LENDER LICENSE

Instructions

1. Notification of a Main Office closure/license surrender must be made through the NMLS within 15 days of the event.
2. Connecticut does not charge fees for either an office closure or license surrender.
3. The licenses of Loan Originators sponsored by a Mortgage Correspondent Lender that has surrendered its Main Office license or closed its Main Office will be reclassified as inactive as of the office closure/license surrender date.
4. Jurisdiction-specific requirements as identified on the checklist below must be received with this checklist within 5 business days of the electronic submission of your filing through the NMLS at the following:

*Connecticut Department of Banking
Consumer Credit Division
260 Constitution Plaza
Hartford, CT 06103*

MLS Unique ID Number: _____

Applicant Legal Name: _____

| ATTACHED | NOT APPLICABLE | ITEM |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | MORTGAGE LOAN PIPELINE. Provide a detailed report concerning the status of any pending loan applications including applications that were approved and not closed, or not funded and disbursed as of the license surrender date. |
| <input type="checkbox"/> | <input type="checkbox"/> | OUTSTANDING FEES. Submit payment for any outstanding compliance examination fees owed to the Connecticut Department of Banking. Checks to be made payable to: "Treasurer, State of Connecticut". |

WHO TO CONTACT - Contact Consumer Credit Division licensing staff:

- Joseph Wallace at 860-240-8210 or joseph.wallace@ct.gov or
- Beata Zuber at 860-240-8278 or beata.zuber@ct.gov

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.