

CHECKLIST SECTIONS

- General Information
- Requirements Completed in NMLS
- Requirements Submitted Outside of NMLS

GENERAL INFORMATION

Instruction

- 1. File the surrender request through NMLS.
- 2. There is no fee to surrender.
- 3. We may request additional information upon review of your surrender; watch your email for such requests.
- 4. The licenses of Loan Originators or Loan Processor/Underwriters sponsored by the Exempt Registrant that has surrendered its registration will be reclassified as inactive as of the surrender date.

Help Resources

- Company License Surrender Requests Quick Guide
- License Status Review & Definitions Quick Guide

Agency Contact Information

Contact Consumer Credit licensing staff by phone at (860) 240-8225 or send your questions via email to dob.ccl@ct.gov for additional assistance.

For U.S. Postal Service & Overnight Delivery:

CT Department of Banking Consumer Credit Division 260 Constitution Plaza Hartford, CT 06103

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

Updated: 2/12/2020 Page 1 of 2

REQUIREMENTS COMPLETED IN NMLS		
Complete	CT Exempt Registrant	Submitted via
	Submission of Surrender Request through Company Form (MU1): Request the surrender of the license through the submission of the Company Form (MU1). See the Company License Surrender Requests Quick Guide for instructions.	NMLS

Updated: 2/12/2020 Page 2 of 2