



NMLS Release 2014.1.2 – Release Notes

Release Date: June 23, 2014

The purpose of these Release Notes is to provide a summary of system enhancements included in NMLS Release 2014.1.2.

The following roadmap enhancement is included in Release 2014.1.2:

Single Sign-on: This enhancement will allow NMLS Support Users to use their NMLS credentials to access data and reports in the NMLS Data Analytics database.

Further details regarding the roadmap enhancement and other general and system maintenance enhancements included in this release can be found below.

Single Sign-on

SUMMARY: This enhancement will allow NMLS Support Users to use their NMLS credentials to access data and reports in the NMLS Data Analytics database. QlikView currently contains reports regarding MCR data.

Additional enhancements will be made in connection with the roll-out of single sign-on for regulator users later in 2014.

General Enhancements

NOTIFICATIONS

Updated System Emails (State Context)

Minor text updates will be made to the to the following system emails:

- **License Item Added/Modified (Company and Individual)**
- **Account Locked (All User Types)**

REPORTS

Updated Reports (State Context)

The following reports will now use the most recent license status on or before the last updated date to retrieve records for the report:

- **Individual Roster (Regulator and Company)**
- **Company and Branch Roster (Regulator and Company)**
- **Company and Branch Roster by Contact Info (Regulator and Company)**
- **License Status (Regulator)**

The **Authorized Agents Roster Report (Regulator)** will be updated to include the Company EIN.

General Enhancements

SCR Number	Title	Description	Context
17194	Account Administration: Delete cart items and cart when corresponding role is removed	When a role is removed for a company user for which a corresponding cart exists, any cart items will be deleted. This will ensure that the Company Dashboard reflects zero items, rather than perpetually reflecting items in a cart the user can no longer access. The following carts are impacted: <ul style="list-style-type: none"> • Test Enrollment Cart (Role: Manage Test Enrollments) • Sponsorship Cart (Role: Manage Company Relationships) • Renewals Cart (Role: Manage Renewals) 	State
18866	Account Administration: Save changes prompt added to Update User Profile page	Users navigating away from the Update User Profile page after making changes without saving them will be prompted to save their changes. <ul style="list-style-type: none"> • If users click the OK button, the changes will be saved and they will be taken to the requested page. • If users click the Cancel button after accessing the Update User Profile page from the Home tab or <i>edit</i> link, they will be taken to the requested page without the changes being saved. • If users click the Cancel button while completing the Quarterly Profile Update, they will remain on the Update User Profile page where their changes are deleted and fields are greyed out. 	State
18736, 18653, 18834, 18838, 18890	Company/Individual Dashboard: Support User Access	Support Users will be able to access the Dashboard from Composite View. The Support User must select a company user to view a Company Dashboard. The system will prompt Support Users if they click a link in the Dashboard that would take them to a page to which they do not have access.	State
18070	Company License Item List: License items for sponsored individuals made viewable if another company created review item	Any company sponsoring an individual license will be allowed to access information regarding active license items placed on the sponsored license, even if another sponsoring company has created a review item for the license item.	State

General Enhancements

SCR Number	Title	Description	Context
18688	Composite View: Change placement of External Notes column on the License Item Information and License Item History pages	The placement of the External Notes column on the View License Item page under the Composite View tab will be changed as follows: <ul style="list-style-type: none"> On the License Item Information page, the External Notes column will appear as the last column. (This applies to both the Active and Inactive License Items tables.) On the License Item History page, the External Notes column will appear to the left of the Cleared column. (The Cleared column will remain as the last column.) 	State
18661	Composite View: Update column headers on License Item Information page	The "Last Updated Date" and "Last Updated By" columns on the License Item Information page under the Composite View tab will be changed to "Updated Date" and "Updated By." These changes will be implemented for Company, Branch, and Individual Composite View.	State
18944, 18977, 18976	License Items: Suppress notification for annually placed CE license item	NMLS will no longer generate the default system notification that is sent when NMLS places the CE license item on July 15th of each year. The notification will still be sent when the license item requiring CE for the upcoming renewal period is placed on a license set to an "Approved" status between July 15th and October 31st.	State
18644, 18931	State Renewals: Update Individual Renewals Home page text when not in Renewal or Reinstatement Period	When outside of the Renewal or Reinstatement Period, the instructional text on the Individual Renewals Home page will be updated to better clarify the end of the Reinstatement Period and maintain consistency with changes recently made to the Renewals Home page.	State
18731, 18732	General: NMLS logo to include registered trademark symbol	The registered trademark symbol will added to the NMLS logo and abbreviation in NMLS, Consumer Access, and the State and Federal Registry Resource Centers. It will also be added to the first occurrence of "NMLS" on the Login page.	State and Federal
18846	General: Update error message text for open text fields where special character failure can be triggered	The error message presented for open text fields where special characters will create a failure will be updated to read: "An error has occurred; please remove any special characters from the text."	State and Federal

General Enhancements

SCR Number	Title	Description	Context
16281	Individual Filing: Update text for Identifying Information section regarding email addresses	The text associated to email addresses in the Identifying Information section of an Individual filing (MU2/MU4/MU4R), Print Filing, Composite View, and Individual Snapshot will be updated for clarity.	State and Federal
18844	General: Update Paperwork Reduction Act Statement	The text of the Paperwork Reduction Act Statement above the Industry Terms of Use on the federal Login page will be updated to reflect the current OMB Control Numbers and expiration dates.	Federal
15925	Analytics: Increase refresh rate for the NMLS Data Analytics environment	The data refresh rate for the NMLS Data Analytics environment will be changed from weekly to daily.	N/A
18521	Analytics: Include work addresses for state and federal MLOs in NMLS Data Analytics environment	Work addresses for state and federal mortgage loan originators will be provided to the NMLS Data Analytics environment.	N/A

System Maintenance Updates

SCR Number	Category	Description	Context
18525	Administration	For Support Users, the <i>Company / Institution</i> and <i>Individual</i> buttons on the Create Record page under the Admin tab will display horizontally.	State and Federal
18624	Advance Change Notice	The Advance Change Notice List page will be updated to ensure use of the following filtering options together will not produce an error: <ul style="list-style-type: none"> - Change Type selected - At least one of the ACN Status option selected - At least one of the Regulator Status option selected - Entity Type selected - At least a four character Entity Name entered 	State
18709	Advance Change Notice	All columns will now display when sorting the table on the View Advance Change Notice History Detail page in Composite.	State
18895	Composite	The License Number search on the Company Search page will be updated to only return a single match for the license number entered instead of including results for prior names and other business names.	State
18597	Composite	A <i>Find Company</i> link will display for regulator users on the left navigation panel of the Composite View tab when viewing a company.	State
18898	Composite	Under the Composite View tab, when federal regulator users click the <i>View Personal Information</i> link for an MLO not relevant to their jurisdiction, they will remain on the View Personal Information page and an error message will display.	Federal
18638	Dashboard	The logic for the Active License Items count on the Company and Individual Dashboard will be updated to display any license item updated in the last seven calendar days under the Updated in Last 7 Days count without looking at the exact time the update was made. When company users click a link under the Updated in Last 7 Days column of the Dashboard, the Last Updated From and Last Updated To filtering options on the License Item List will automatically display a range of the last seven days.	State
18534	Education	For Support Users, the system will be updated to display the results from the filter parameters on the initial display of the View Posted/Retracted Courses page for support users.	State

System Maintenance Updates

SCR Number	Category	Description	Context
18221	Entitlement	For Support Users, the spell check option will be removed from the Reason for Rejection field on the Process Company Account Request page.	State and Federal
17887	Form Filing: Individual Filing	The first, middle, and last name validations on the MU2, MU4, or MU4R Identifying Information pages will be updated to verify names start with an alphabetic character.	State and Federal
18733	Form Filing	To avoid errors when users attempt to delete the same filing in two different browser sessions, the system will be updated to check for the existence of a filing before attempting to delete it.	State and Federal
18840	Form Filing	The first, middle, and last name validations on the Create Individual Record pages will be updated to verify names start with an alphabetic character.	State and Federal
18250	Form Filing: MU4R	The system will be updated to allow an institution user to submit an MU4R filing after attempting to view a section in the filing.	Federal
18841	MLO Batch Upload	The first, middle, and last name validations for the MLO Batch Upload will be updated to verify names start with an alphabetic character.	Federal
18018, 18616	Regulator: Regulator Log	The spell check option for Internal Notes fields in Review Items and pop-up windows will be fixed.	State
18670	Regulator: Regulator Log	The system will be updated to allow the user to correct the note, when they click the Correct icon for an Internal Note on the License Item History page.	State
18826	Regulator: Tasks	The regulator user Home page will be updated to exclude the Tasks tab description if the user does not have a task-related role.	State
18558	Regulator: Work List	The system will be updated to prevent a regulator user from reactivating a sponsorship if there is another active (Requested, Accepted, or Deficient) sponsorship for the same company and license.	State
18777	Regulator: Work List	The system will be updated to wrap the text of a Work Item Internal Note.	State
18778	Regulator: Work List	The Entity Name data field on the Regulator Work List page will be updated to allow for no more than 300 characters to be entered.	State
17485	Reports	The PE and Testing Non-Compliance Report (Company) will be updated to exclude individuals that are actively licensed in a state that has adopted the National–Uniform State Test (UST) and the individual has a passing score on the National-UST instead of the state-specific test.	State

System Maintenance Updates

SCR Number	Category	Description	Context
18498	Reports	The report logic for the Individual Roster Report (Regulator) will be updated to include the Record Id in the sort criteria (as a tie-breaker) so the correct status is retrieved when duplicate timestamp status records exist.	State
18769	Reports	The PE and Testing Compliance Report (Company) will be updated to include individuals that are actively licensed in a state that has adopted the National–Uniform State Test (UST) and the individual has a passing score on the National-UST instead of the state-specific test.	State
18635	Sponsorship	The system will now allow a regulator user to navigate from the Manage Sponsorship page to the License List under the Tasks tab without having to search for the Individual again.	State