



NMLS Company Renewal Query Field Definitions

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Conference of State Bank Supervisors
1129 20th Street NW, Ninth Floor
Washington, D.C. 20036

Company Renewal Query Field Definitions

This document provides field definitions for the renewal queries available to state licensed companies through the Renewals tab in NMLS. The information contained in the results (known as a renewal reports), is for entities that are relevant to the company who is requesting the report.

Renewal queries provide real-time results in the form of an Excel CSV file and cannot be obtained as of a previous date.

The document contains two sections:

1. **A listing of reports containing:**
 - a. A brief description of the query results report
 - b. The report criteria filter options available
 - c. The fields contained in the report with field definitions.
2. **Appendix** containing the license status definitions referenced in the reports.

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Company Report Field Definitions

Renewal Activity – Company and Branch

This report is available on the Renewals tab year-round and displays a list of all active company and branch licenses that satisfy one of the following conditions:

- (i) Ineligible for Renewal i.e.
 - The Regulator has marked license as prevent renewal OR
 - The license is in non-renewable status but not a Terminal status OR
 - One or more financial statement license items exist
- (ii) Requested for Renewal
- (iii) Eligible to be renewed but NOT requested for renewal

Submitted by the company as 'Do not Renew'

Report Field	Description
Company ID	Identifies the NMLS ID for the company.
Company Name	Full legal name of the company.
Branch ID	Identifies the NMLS ID for the branch.
Branch City	The name of the city in which the branch is located.
Branch State	The name of the state in which the branch is located.
License Number	The license number (if any) associated with the license.
License Name	The name of the license consisting of Jurisdiction name, License type.
License Status	Current status of the license. Refer to Appendix A: License Statuses and Definitions
License Status Date	Date on which the license status is effective.
Prevent Renewal Regulator	Indicates if the Regulator has flagged the license to prevent renewal.
Has Active Financial Statement License Item	Indicates if the license has an active financial statement license item.
Has Active Mortgage Call Report License Item	Indicates if the license has an active Mortgage Call Report license item.
Renewed Through Year	The year the license is renewed through.
Renewal Status	The status of the license renewal. Renewal statuses include: <ul style="list-style-type: none"> • Failed To Renew • Not Renewing • Not Requested • Renewal Approved • Renewal Rejected • Renewal Requested
Renewal Action Submitted Date	The date the renewal request was submitted.
Renewal Action Submitted By	The user name of the individual who submitted the renewal request or designated "Not Renewing".
Query Name	The name of the query (report).

Report Field	Description
Renewal Year	The year the license is being renewed for.
Generated Time	The date and time the query (report) was generated.

Renewal Activity – Sponsored Individuals

This report is available on the Renewals tab year-round and displays a list all individual licenses that have an active sponsorship with the company and satisfy one of the following conditions:

- (i) Ineligible for Renewal i.e.
 - The Regulator has marked license as prevent renewal or
 - The license is in non-renewable status but not a Terminal status or
 - The license requires and enforces State CE compliance and the individual is NOT compliant.
- (ii) Requested for Renewal
- (iii) Eligible to be renewed but NOT requested for renewal
- (iv) Submitted by the individual as 'Not Renewing'

Report Field	Description
Individual ID	Identifies the NMLS ID for the individual.
Individual Last Name	Individual's last name.
Individual First Name	Individual's first name.
Individual Middle Name	Individual's middle name.
Individual Suffix	Individual's suffix.
Individual Email Address	The filing email address for the individual.
License Number	The license number (if any) associated with the license name.
License Name	The name of the license consisting of Agency name and License type
License Status	Current status of the license. Refer to Appendix A: License Statuses and Definitions
License Status Date	Date on which the license status is effective.
Prevent Renewal Regulator	Indicates if the Regulator has flagged the license to prevent renewal.
Renewed through year	The year the license is renewed through.
Renewal Status	The status of the license renewal. Renewal statuses that will be reflected in the report include: <ul style="list-style-type: none"> • Failed To Renew • Not Renewing • Not Requested • Renewal Approved • Renewal Rejected • Renewal Requested
Renewal Submitter Entity ID	The NMLS ID of the individual who submitted the renewal request.
Renewal Submitter Entity Name	The entity name corresponding to the Renewal Submitter Entity ID.
Renewal Submitted Date	The date the renewal request was submitted. NULL if attestation has not yet been completed.
Renewal Submitted By	The user name of the individual who submitted the renewal request or designated "Not Renewing".
Attested Date	The date of the renewal attestation.
CE Compliance Year	The CE Compliance year is determined by the date of the report request: <ul style="list-style-type: none"> • <i>If report requested January 1 to May 31:</i> CE Compliance Year is the Previous Calendar Year(i.e. Current year-1).

Report Field	Description
	<i>If report requested June 1 to December 31:</i> CE Compliance Year is the current Calendar year.
Federal CE Compliance Status	The compliance status for the individual's Federal CE requirements for the CE Compliance Year. If the individual became compliant with Federal PE requirements during the CE Compliance Year, this column will reflect a status of Compliant and the remaining CE Compliance columns will be NULL.
State CE Compliance Status	The compliance status for the individual's State CE requirements for the license reflected in the row.
State CE Compliance Effective Date	The effective date for the individual's State CE requirements for the license reflected in the row.
CE State Hours Applied	The number of hours applied to State specific CE requirements.
CE State Hours Required	The number of hours required to be in compliance with State CE.
CE Total Hours Applied	The total combined number of hours applied to State and Federal CE requirements.
CE Total Hours Required	The total combined number of hours required to be in compliance with State and Federal CE requirements.
Prevent Renewal CE	Indicates if the individual is prevented from renewing their license based on non-compliance with CE requirements.
CBC Required at Renewal	Indicates if a new criminal background check is required in order to renew the license.
Latest CBC Request Status	The status of the latest CBC request: <ul style="list-style-type: none"> • Closed • Expired • Pending Fingerprint • Processing Fingerprint • Processing Name Check
Latest CBC Request Status Date	The date of the latest criminal background check request.
Lastest CBC Completed On Date	The date of the latest criminal background check results.
Fingerprint Expiration Date	The date the individual's fingerprint record expires.
Credit Report Required at Renewal	Indicates if a new credit report is required in order to renew the license.
Latest Credit Report Generated Date	The date the latest credit report was generated.
Query Name	The name of the query (report).
Renewal Year	The year the license is being renewed for.
Generated Time	The date and time the query (report) was generated.

Appendix A

License Status and Description	
Approved	Status assigned when the regulator has reviewed the license or transition request and decided to issue a license to the applicant through NMLS.
Approved - Conditional	Status assigned when the regulator has reviewed the license or transition request and decided to issue a license to the applicant through NMLS provided certain conditions imposed by the regulator are met. This status also may be used (i) when a regulator has imposed an increased financial responsibility requirement on the licensee or (ii) if a licensee is subject to conditions set by an administrative order that do not otherwise restrict their ability to conduct lawful activities under the license.
Approved - Deficient	Status assigned when a regulator determines an entity is entitled to conduct business, but may be required to provide additional documentation/information to the regulator to comply with licensing requirements.
Approved - Failed to Renew	Status assigned when a licensee with a perpetual license fails to file for renewal prior to December 31st.
Approved - Inactive	<p>Status assigned when (i) the last active sponsorship is removed from a mortgage loan originator license; or (ii) when an entity or individual informs a regulator (outside NMLS) that they do not intend to conduct business under the license for a period of time, but will continue to comply with certain licensing requirements in order to retain the license. The status may also apply when a new license applicant is not currently employed as a mortgage loan originator but meets all other licensing requirements. In such cases, the license may be displayed as "Approved-Inactive" until sponsorship by a mortgage company is acquired and approved by the regulator. Until sponsored and approved, the individual is prohibited from engaging in the business of a mortgage loan originator.</p> <p>This status also may be used for company licenses if the company is not associated with an approved qualifying individual.</p>
Approved - On Appeal	Status assigned when a regulator has taken action to suspend or revoke an approved license, the entity has appealed the decision and the entity is authorized to continue to conduct business while the action is on appeal. This status also may be used if a regulator rejects a renewal request and the licensee appeals the rejection.
Approved-Surrender/Cancellation Requested	Status assigned when a licensee submits a Surrender/Cancellation Request to a regulator.
Denied	Status assigned when regulator has reviewed the license request and determined that sufficient grounds exist to deny the request. This status also may be used by regulators who deny a renewal request for a license.
Denied - On Appeal	Status assigned when regulator has reviewed the license request and determined that sufficient grounds exist to deny the request but applicant has appealed the decision.

License Status and Description	
Pending - Review	Status assigned once the regulator has received external documents per checklist, completes a preliminary review of new license request and decides to accept the filing for processing. Once the request is placed in this status, the regulator review clocks start.
Pending - Deficient	Status assigned when regulator has determined documents or information are incomplete or missing from the license request.
Pending - Incomplete	Status assigned upon submission of a new license request.
Pending - Withdrawal Requested	Status assigned when the applicant submits a filing to withdraw a license request.
Revoked	Status assigned when a regulator has taken action to revoke the license. Pursuant to federal SAFE legislation, placement of a mortgage loan originator license into this status will render the mortgage loan originator unable obtain or retain a license to conduct mortgage business in any jurisdiction.
Revoked - On Appeal	Status assigned when a licensee has appealed a regulator's action to revoke the license
Suspended	Status assigned when a regulator has taken action to suspend the license.
Suspended - On Appeal	Status assigned when a licensee has appealed a regulator's action to suspend the license
Temporary Cease and Desist	Status assigned when a regulator issues a Temporary Cease and Desist order against the licensee.
Terminated - Expired	Status assigned to a non-perpetual license when entity fails to renew a license by the end of the Reinstatement period or the jurisdiction's deadline (whichever is earlier), marks a license as Do Not Renew or has failed to address outstanding issues with a license that has been suspended.
Terminated - Failed to Renew	Status assigned to a non-perpetual license when a licensee fails to file for renewal prior to December 31st or marks a license as Do Not Renew. The licensee is not authorized to conduct business with a license in this status.
Terminated - Ordered to Surrender	Status assigned when a regulator orders a licensee to surrender their license.
Terminated - Surrendered/Cancelled	Status assigned when a regulator approves a surrender/cancellation request submitted by the licensee.
Transition Cancelled	Status assigned upon submission of a filing requesting cancellation of a transition request.
Transition Rejected	Status assigned when regulator decides not to approve a transition request. Also used by regulators when the incorrect license type is identified for transition.
Transition Requested	Status assigned upon submission of a transition request.
Withdrawn - Application Abandoned	Status assigned with applicant has not responded to regulator requests for additional information within a timely manner.
Withdrawn - Voluntary Without Licensure	Status assigned upon acceptance of a withdrawal request submitted by the applicant.

Appendix B

Active/Inactive License Statuses	Is Active?
Approved	Y
Approved - Conditional	Y
Approved - Deficient	Y
Approved - Failed to Renew	Y
Approved - Inactive	Y
Approved - On Appeal	Y
Approved - Surrender/Cancellation Requested	Y
Denied	N
Denied - On Appeal	Y
Pending - Review	Y
Pending - Deficient	Y
Pending - Incomplete	Y
Pending - Withdrawal Requested	Y
Revoked	N
Revoked - On Appeal	Y
Suspended	Y
Suspended - On Appeal	Y
Temporary Cease and Desist	Y
Terminated - Expired	N
Terminated - Failed to Renew	Y
Terminated - Ordered to Surrender	N

Active/Inactive License Statuses	Is Active?
Terminated - Surrendered/Cancelled	N
Transition Requested	Y
Transition Cancelled	N
Transition Rejected	N
Withdrawn - Application Abandoned	N
Withdrawn - Voluntary Without Licensure	N