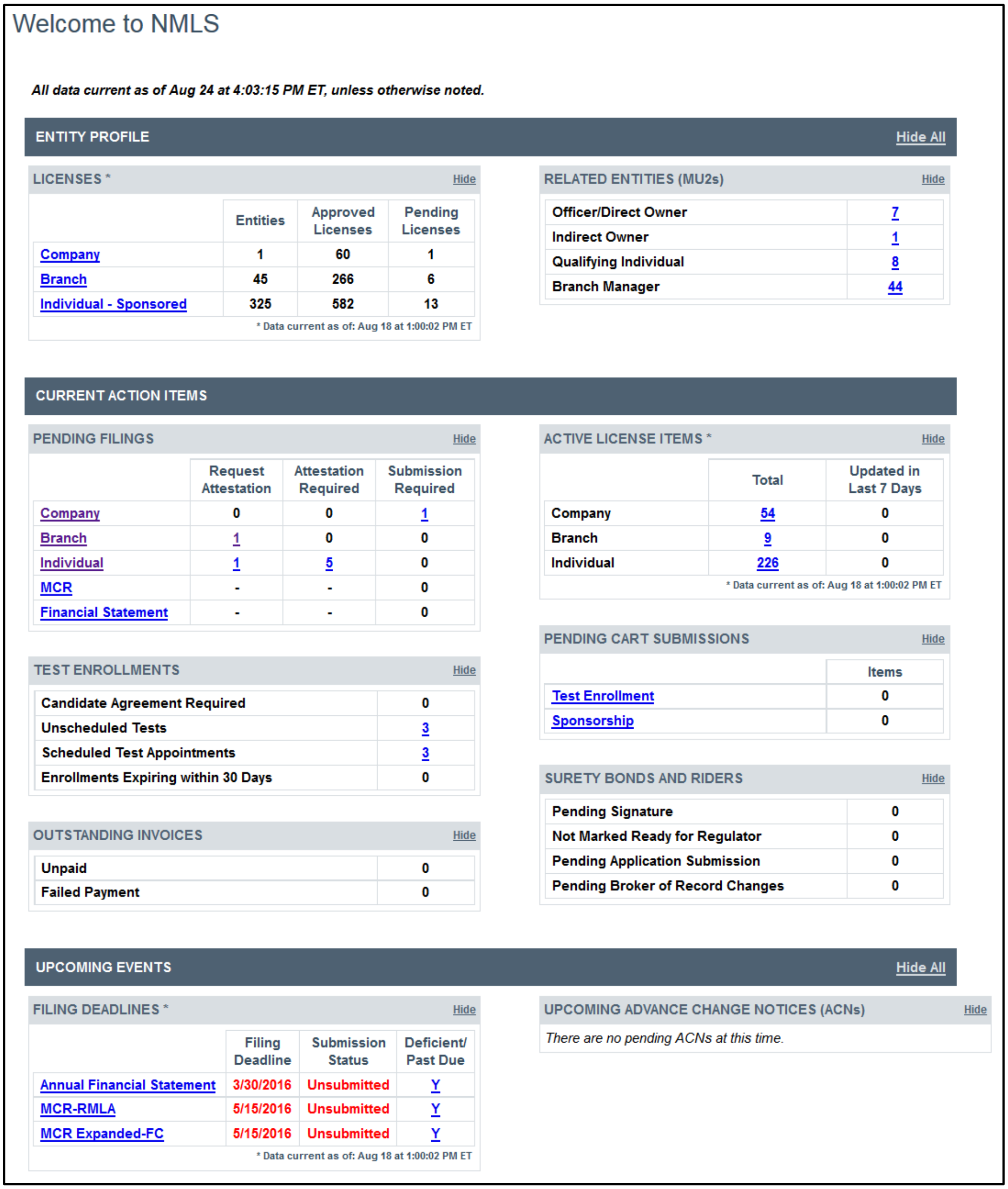
Company Dashboard

The Company Dashboard provides company users with a summary of information related to their NMLS records. Company users can use the links on the Dashboard to access relevant pages in NMLS. See *Figure 1* below for an image of the Company Dashboard, located under the Home tab.



Welcome to NMLS

All data current as of Aug 24 at 4:03:15 PM ET, unless otherwise noted.

ENTITY PROFILE — Hide All

LICENSES * — Hide

	Entities	Approved Licenses	Pending Licenses
Company	1	60	1
Branch	45	266	6
Individual - Sponsored	325	582	13

* Data current as of: Aug 18 at 1:00:02 PM ET

RELATED ENTITIES (MU2s) — Hide

Officer/Direct Owner	7
Indirect Owner	1
Qualifying Individual	8
Branch Manager	44

CURRENT ACTION ITEMS

PENDING FILINGS — Hide

	Request Attestation	Attestation Required	Submission Required
Company	0	0	1
Branch	1	0	0
Individual	1	5	0
MCR	-	-	0
Financial Statement	-	-	0

ACTIVE LICENSE ITEMS * — Hide

	Total	Updated in Last 7 Days
Company	54	0
Branch	9	0
Individual	226	0

* Data current as of: Aug 18 at 1:00:02 PM ET

TEST ENROLLMENTS — Hide

Candidate Agreement Required	0
Unscheduled Tests	3
Scheduled Test Appointments	3
Enrollments Expiring within 30 Days	0

PENDING CART SUBMISSIONS — Hide

	Items
Test Enrollment	0
Sponsorship	0

OUTSTANDING INVOICES — Hide

Unpaid	0
Failed Payment	0

SURETY BONDS AND RIDERS — Hide

Pending Signature	0
Not Marked Ready for Regulator	0
Pending Application Submission	0
Pending Broker of Record Changes	0

UPCOMING EVENTS — Hide All

FILING DEADLINES * — Hide

	Filing Deadline	Submission Status	Deficient/ Past Due
Annual Financial Statement	3/30/2016	Unsubmitted	Y
MCR-RMLA	5/15/2016	Unsubmitted	Y
MCR Expanded-FC	5/15/2016	Unsubmitted	Y

* Data current as of: Aug 18 at 1:00:02 PM ET

UPCOMING ADVANCE CHANGE NOTICES (ACNs) — Hide

There are no pending ACNs at this time.

Figure 1: Company Dashboard

Below is a detailed breakdown of each section, including link destinations and common usage.

Entity Profile

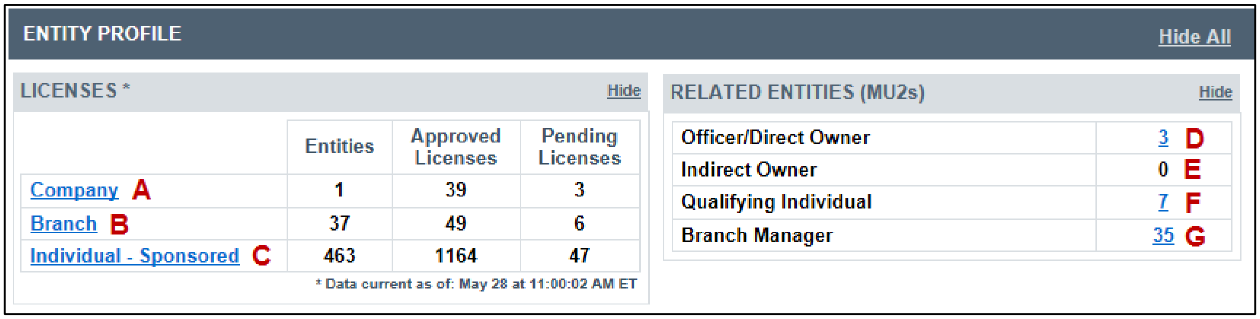


Figure 2: Entity Profile

Licenses (see *Figure 2*)

	Heading	Destination	Used To:
A	Company	Composite View tab: *View License/Registration List*	Check the status of approved and pending company licenses; view outstanding license items.
B	Branch	Composite View tab: *Branch Search*	Check the status of approved and pending branch licenses; view outstanding license items.
C	Individual - Sponsored	Composite View tab: *View Licensed Individuals Summary*	Check the status of approved and pending individual licenses; view outstanding license items.

Figure 3: Licenses Section of the Company Dashboard

NOTE:

- Data contained within the Licenses section is refreshed every two hours.
- Please refer to the [License Status Definitions] document that identifies each license status in NMLS and provides suggested next steps.

Entity Profile (continued)

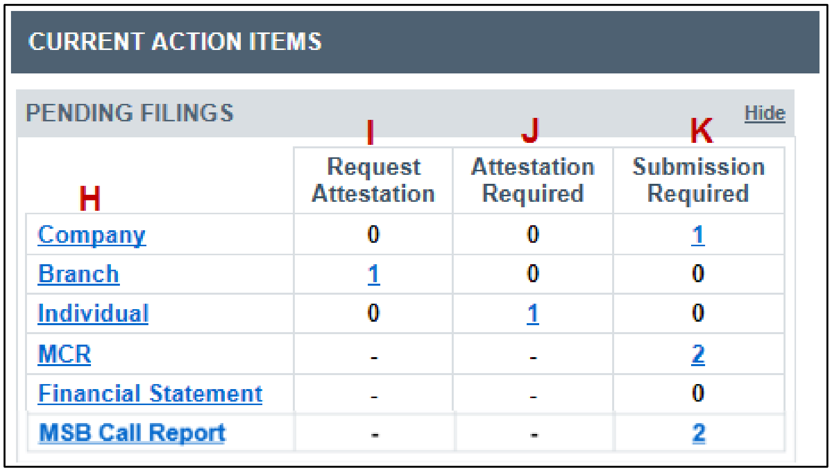
Related Entities (MU2s) (see *Figure 2*)

	Heading	Destination	Used To:
D	Officer/Direct Owner	Composite View tab: *View Current MU2 Associations*	View individuals identified in the *Direct Owners/Executive Officers* section of the Company (MU1) Form.
E	Indirect Owner	Composite View tab: *View Current MU2 Associations*	View individuals identified in the *Indirect Owners* section of the Company (MU1) Form.
F	Qualifying Individual	Composite View tab: *View Current MU2 Associations*	View individuals identified in the *Qualifying Individuals* section of the Company (MU1) Form.
G	Branch Manager	Composite View tab: *View Current MU2 Associations*	View individuals identified in the *Branch Managers* section of the Branch (MU3) Form.

Figure 4: Related Entities Section of the Company Dashboard

Current Action Items

Pending Filings



CURRENT ACTION ITEMS

PENDING FILINGS Hide

H	I Request Attestation	J Attestation Required	K Submission Required
Company	0	0	1
Branch	1	0	0
Individual	0	1	0
MCR	-	-	2
Financial Statement	-	-	0
MSB Call Report	-	-	2

Figure 5: Current Action Items - Pending Filings

Pending Filings (see *Figure 5*)

	Link	Destination
H	Row Headers	
	Company	Create a Company Filing
	Branch	Branch Filing(s)
	Individual	Individual Filing(s)
	MCR	Mortgage Call Reports
	MSB Call Report	MSB Call Report
	Financial Statement	Manage Filings – Financial Statements
I	Request Attestation Column	
	Company	Company Filing – MU2 Forms
	Branch	Branch Filing(s)
	Individual	Individual Filing(s) – Filtered to display filings in a Request Attestation status
J	Attestation Required Column	
	Company	Company Filing – MU2 Forms
	Branch	Branch Filing(s)
	Individual	Individual Filing(s) – Filtered to display filings in an Attestation Requested status
K	Submission Required Column	
	Company	Company Filing – Business Activities Page
	Branch	Branch Filing(s)
	Individual	Individual Filing(s) – Filtered to display filings in a Submission Required status
	MSB Call Report	MSB Call Report

Figure 6: Pending Filings Section of the Company Dashboard

Current Action Items (continued)

Active License Items

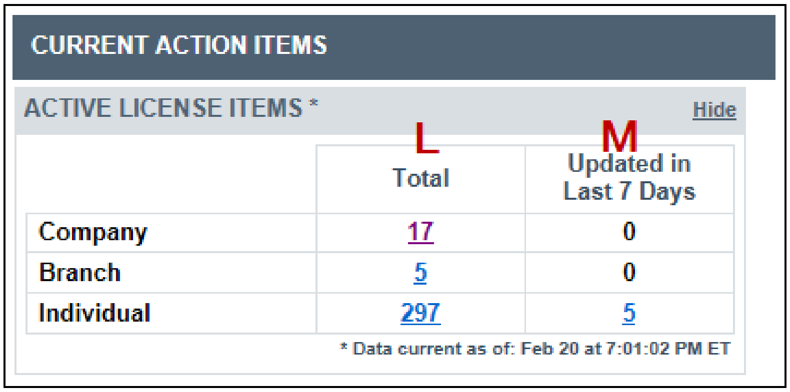


Figure 7: Current Action Items – Active License Items

NOTE: Data contained within the Licenses section is refreshed every two hours.

The Active License Items section only reflects current license items on approved and pending licenses.

Active License Items (see *Figure 7*)

	Heading	Destination	Used To:
L	Total	Tasks: *License Item List*	View all outstanding active license items.
M	Updated in Last 7 Days	Tasks: *License Item List*	View outstanding active license items that have been placed or updated in the past 7 calendar days.

Figure 8: Active License Items Section of the Company Dashboard

NOTE: Data contained within the Active License Items section is refreshed every two hours.

Current Action Items (continued)

Test Enrollments

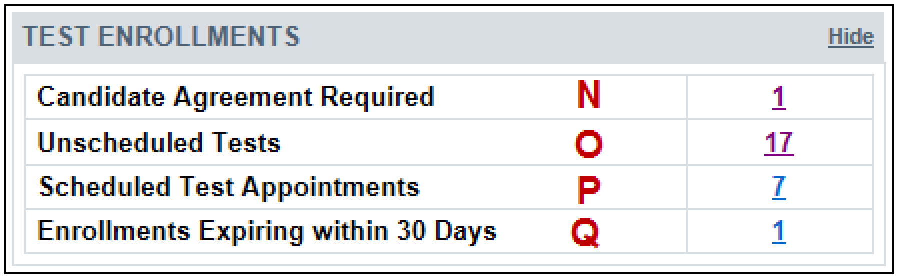


Figure 9: Test Enrollments Section of the Company Dashboard

Test Enrollments (see *Figure 9*)

	Heading	Destination	Used To:
N	Candidate Agreement Required	*Awaiting Candidate Agreement Acceptance* displaying results for individuals who need to accept the Candidate Agreement.	Identify individual MLOs who have not accepted the Candidate Agreement (must be completed by MLO prior to scheduling an appointment).
O	Unscheduled Tests	*Schedule Appointments* displaying open enrollment windows without a current test appointment.	Schedule test appointments.
P	Scheduled Test Appointments	*Reschedule/Cancel Appointments* displaying current test appointments	Manage scheduled test appointments.
Q	Enrollments Expiring within 30 Days	*All Open Test Enrollment Windows* displaying open test enrollment windows expiring within 30 days	Identify open test enrollment windows expiring within 30 days.

Outstanding Invoices and Pending Cart Submissions

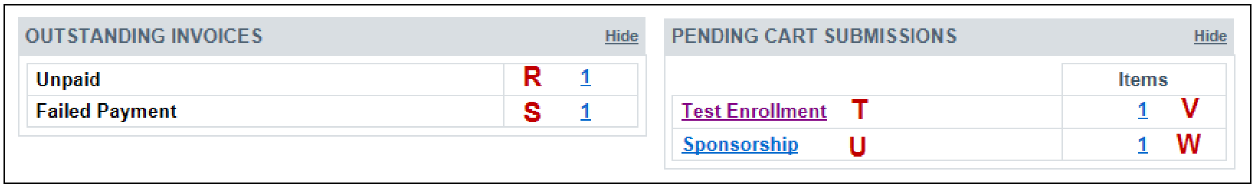


Figure 10: Current Action Items – Outstanding Invoices and Pending Cart Submissions

Outstanding Invoices (see *Figure 10*)

	Heading	Destination	Used To:
R	Unpaid	*Invoice Search* displaying results for invoices in an Unpaid status	Pay an invoice created by a state regulator or the system.
S	Failed Payment	*Invoice Search* displaying results for invoices in a Failed Payment status	Re-pay a failed payment.

Figure 11: Outstanding Invoices Section of the Company Dashboard

Pending Cart Submissions (see *Figure 10*)

	Heading	Destination	Used To:
T	Test Enrollment	MLO Testing & Education: *MLO Testing & Education – Home*	Navigate to the Testing Home page to pay for SAFE exams, such as the National Component with Uniform State Content.
U	Test Enrollment Items	MLO Testing & Education: *Test Enrollment Cart*	Pay for SAFE exams added to the testing cart, but not yet submitted for payment.
V	Sponsorship	Filing: *Sponsorship Cart*	Pay for individual sponsorship requests not yet submitted to a state regulator.
W	Sponsorship Item	Filing: *Sponsorship Cart*	Pay for individual sponsorship requests not yet submitted to a state regulator.

Figure 12: Pending Cart Submissions Section of the Company Dashboard

Surety Bonds

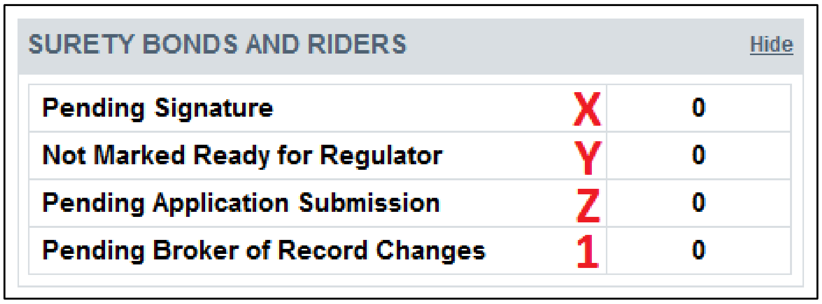


Figure 13: Surety Bonds

Surety Bonds (see *Figure 103*)

	Heading	Destination	Used To:
X	Pending Signature	Surety Bond Management: *Manage Bonds*	Count of bonds or riders pending principal signature.
Y	Not Marked Ready for Regulator	Surety Bond Management: *Manage Bonds*	Count of bonds or riders that are signed but not marked ready for the regulator.
Z	Pending Application Submission	Surety Bond Management: *Manage Bonds*	Count of surety bonds that are marked ready but are awaiting submission of a MU1.
1	Pending Broker of Record Changes	Surety Bond Management: *Manage Bonds*	Count of Broker of Records that are pending a signature.

Figure 13: Surety Bonds Section of the Company Dashboard

Upcoming Events

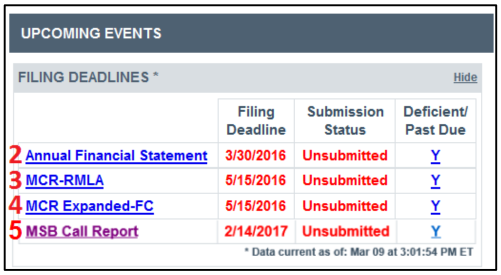


Figure 145: Upcoming Events

Filing Deadlines (see *Figure 14*)

	Link	Destination
2	Annual Financial Statement: Row Header	Filing: *Manage Filing – Financial Statements*
	Annual Financial Statement: Deficient/Past Due	Tasks: *License Item List* filtered to display outstanding Financial Statement license items
3	MCR-RMLA: Row Header	Filing: *Mortgage Call Reports*
	MCR-RMLA: Past Due/Deficient	Tasks: *License Item List* filtered to display outstanding Mortgage Call Report license items
4	*MCR Standard-FC: Row Header	Filing: *Mortgage Call Reports*
	MCR Standard-FC: Deficient/Past Due	Tasks: *License Item List* filtered to display outstanding Mortgage Call Report license items.
5	MSB Call Report: Row Header	Filing: MSB Call Report
	MSB Call Report: Deficient/Past Due	Tasks: *License Item List* filtered to display all Money Services Businesses license items.

Figure 156: Filing Deadlines Section of the Company Dashboard

*The MCR Standard-FC link **DOES NOT DISPLAY** for companies who are Fannie Mae, Freddie Mac Seller/Service, or a Ginnie Mae Issuer. The MCR Expanded-FC link **DOES DISPLAY** for companies who are a Fannie Mae or Freddie Mac Seller/Servicer or a Ginnie Mae Issuer. Companies can make this designation on the Company (MU1) Form.

Upcoming Advance Change Notices (ACNs)

UPCOMING ADVANCE CHANGE NOTICES (ACNs)			Hide
Days Until Effective Date		Company	Branch
15 or Less	5	0	0
16 - 30	6	0	1
31 or More	7	0	0

Figure 17: Upcoming Advance Change Notices (ACNs)

Upcoming Advance Change Notices (ACNs) (see *Figure 15*)

	Heading	Company	Branch
5	15 or Less	Links from the counts will allow users to navigate to the View Advance Change Notices page.	Links from the counts will allow the user to navigate to a list of branches with upcoming ACNs, where they can select a branch for more information.
6	16-30		
7	31 or More		

Figure 18: Upcoming Advance Change Notices (ACNs) Section of the Company Dashboard

NOTE:

- The MCR row headers only display if a company holds a license associated to the mortgage industry.
- Data contained within the Upcoming Events section is refreshed every two hours.

For more information, contact the NMLS Call Center at 1-855-NMLS-123 (1-855-665-7123).